



# FOURTEENTH INTERNATIONAL SCHOOL ON VACUUM, ELECTRON AND ION TECHNOLOGIES

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## **Cathodic arc plasma deposition: From fractal spots to energetic condensation**

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A scanning electron micrograph (SEM) showing a highly textured, porous surface. The surface is covered with numerous small, rounded features and larger, elongated structures, giving it a complex, three-dimensional appearance. The lighting highlights the edges and ridges of these features, creating a sense of depth. The overall texture is reminiscent of a rough, eroded surface or a network of interconnected fibers.

# The Physics of Cathodic Arc Discharges

— 10  $\mu\text{m}$

# **Introduction: Definitions, Measurements & Phenomenology**

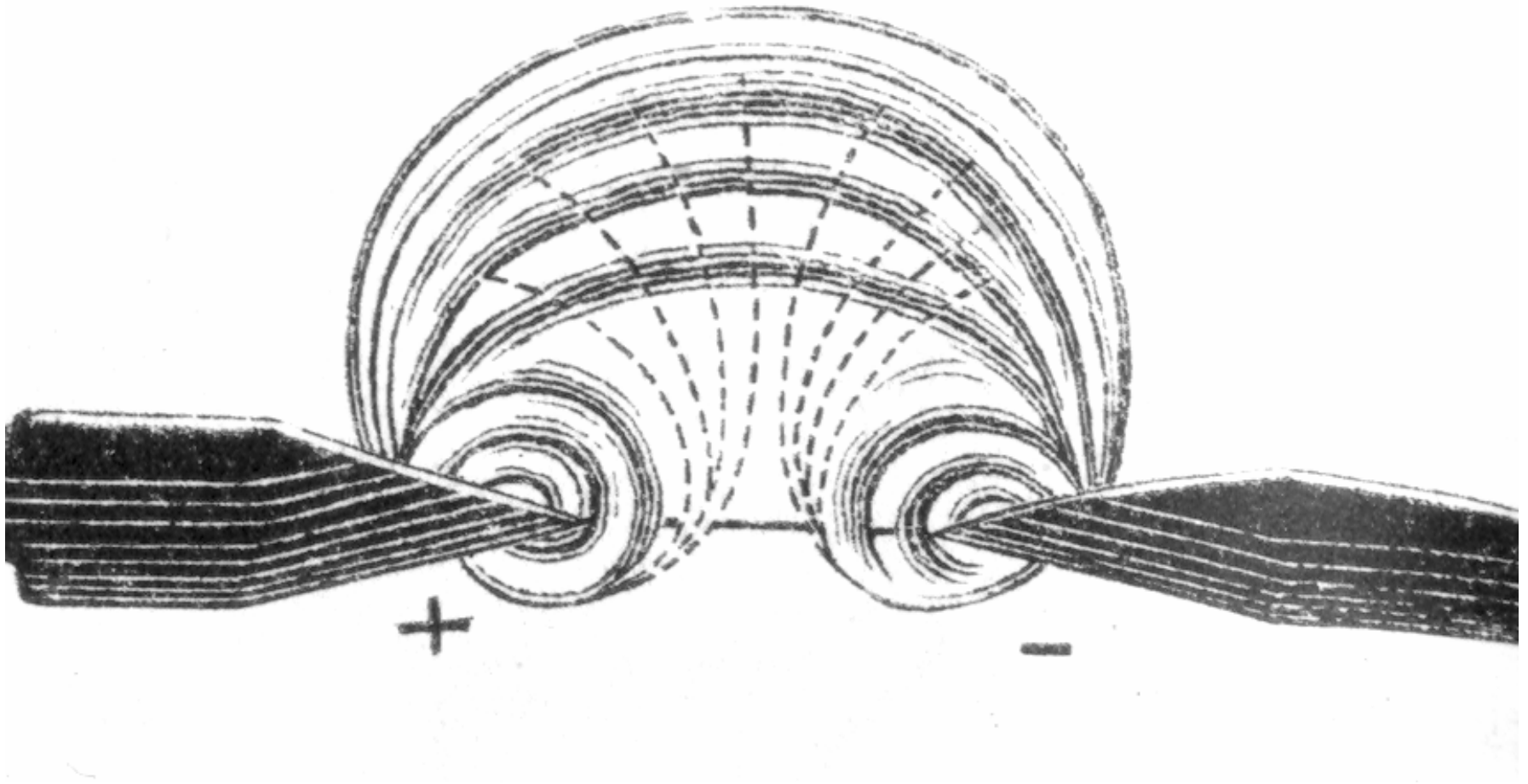


# What is a cathodic (vacuum) arc?

- Arc discharge:
  - Electrical discharge through a plasma, characterized by
    - Relatively high current (typically  $> 5$  A)
    - Relatively low voltage (typically  $< 50$  V)
    - collective electron emission mechanism at the cathode
- “Vacuum” arc discharge:
  - Arc discharge whose plasma is produced at electrodes
- *Cathodic* (vacuum) arc discharge:
  - Vacuum arc discharge whose plasma is produced at cathode spots
- *Anodic* (vacuum) arc discharge:
  - Vacuum arc discharge whose plasma is produced from evaporating anode material



# Arc Discharge



# Arc Discharge





# Electron Emission Mechanisms

Physics problem:

- Electron transfer over the cathode's potential barrier

Nature's solution:

- “*collective*” electron emission mechanisms:

- Thermionic emission
- Field emission
- Thermo-field emission
- Explosive emission (this includes cathode plasma)

**arc discharge**

- As opposed to “*individual*” e-emission mechanisms:

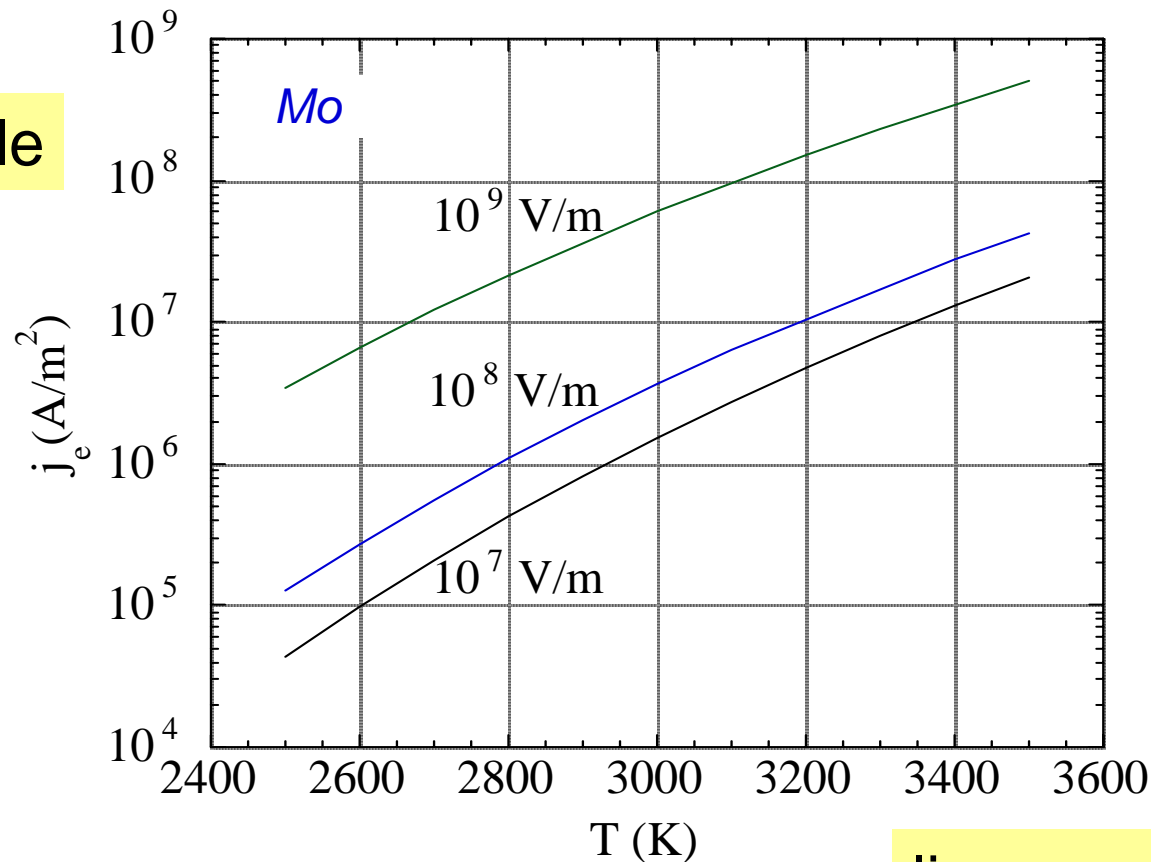
- Secondary electron emission by primary particle impact:
  - Ion
  - Electron
  - Excited / energetic atom
  - Photon

**glow discharge**

# Thermofield Electron Emission

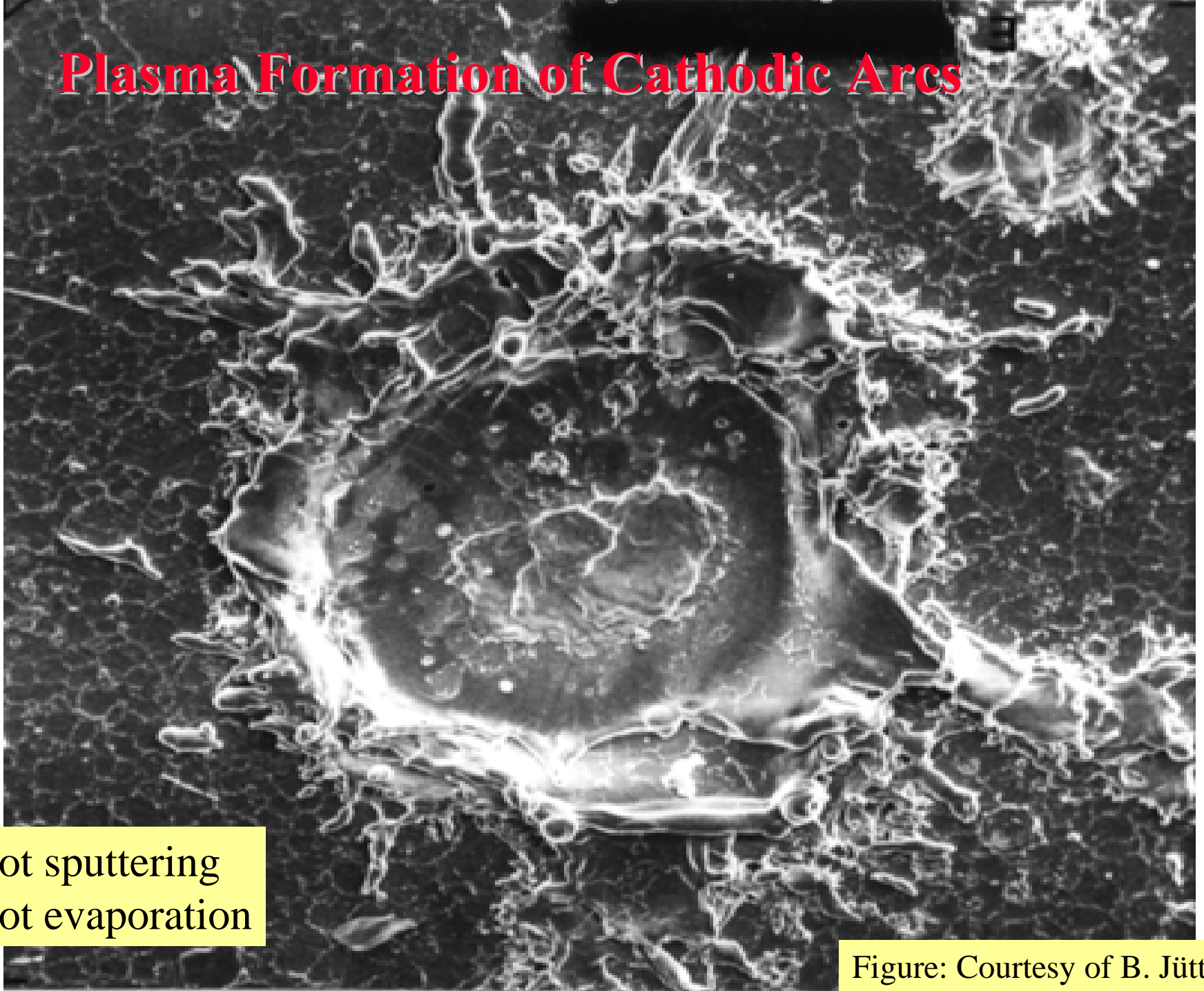
- Current density of thermofield emission is necessarily associated with great power density → plasma formation can become explosive in nanosecond time scale

log scale



linear scale

# Plasma Formation of Cathodic Arcs



- not sputtering
- not evaporation

Figure: Courtesy of B. Jüttner

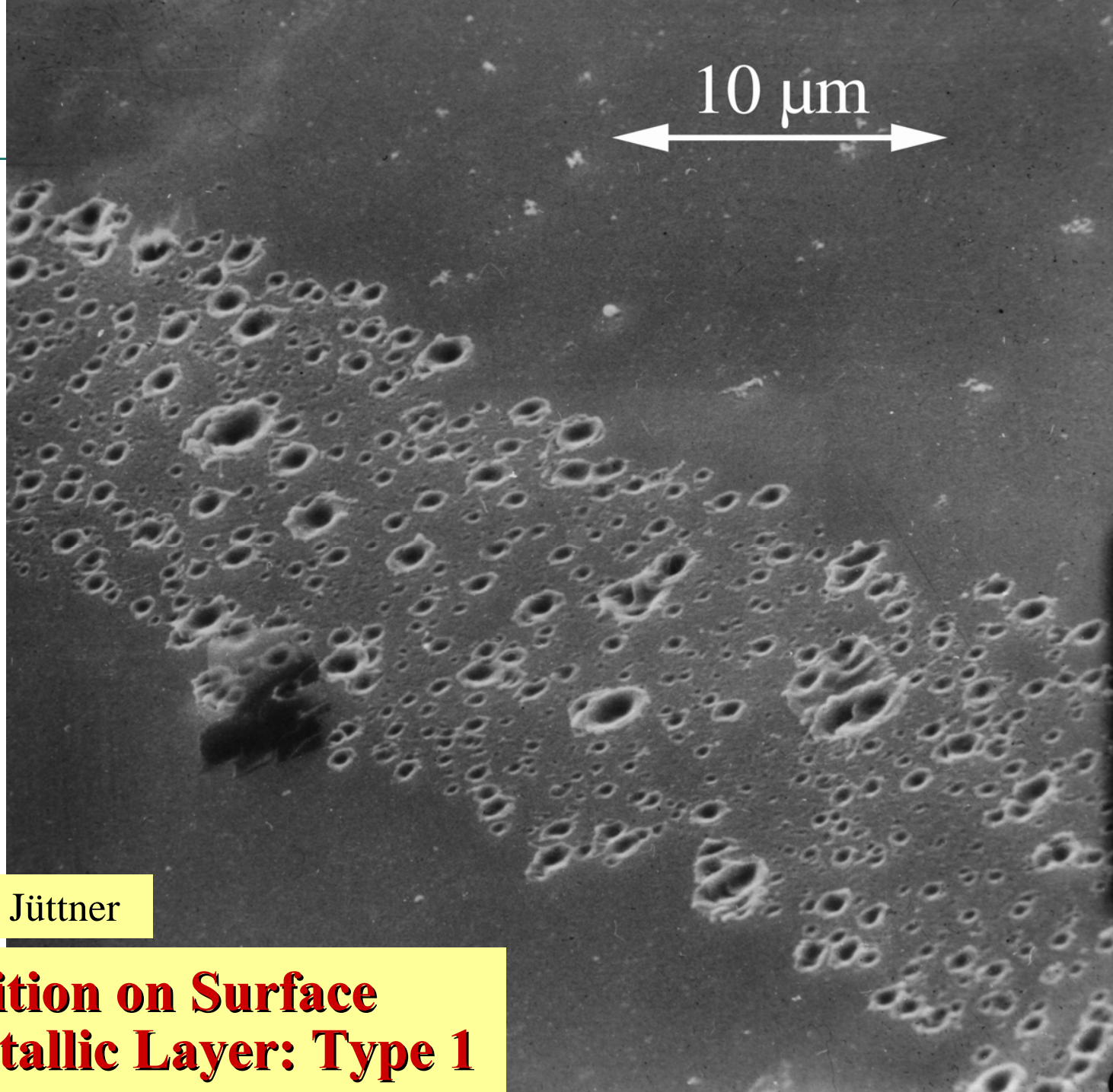


photo courtesy of B. Jüttner

**Spot Ignition on Surface  
with Non-metallic Layer: Type 1**



10  $\mu\text{m}$

photo courtesy of B. Jüttner

**Spot Ignition on Clean  
Metal Surface: Type 2**

# The Experimental Basis: Cathode Erosion and Plasma Formation

- arc spots / spot fragments leave crater traces
- type or mode depends on surface condition

**Corresponds to metal mode**

type 2

type 1

**Corresponds to poisoned mode**

10  $\mu\text{m}$

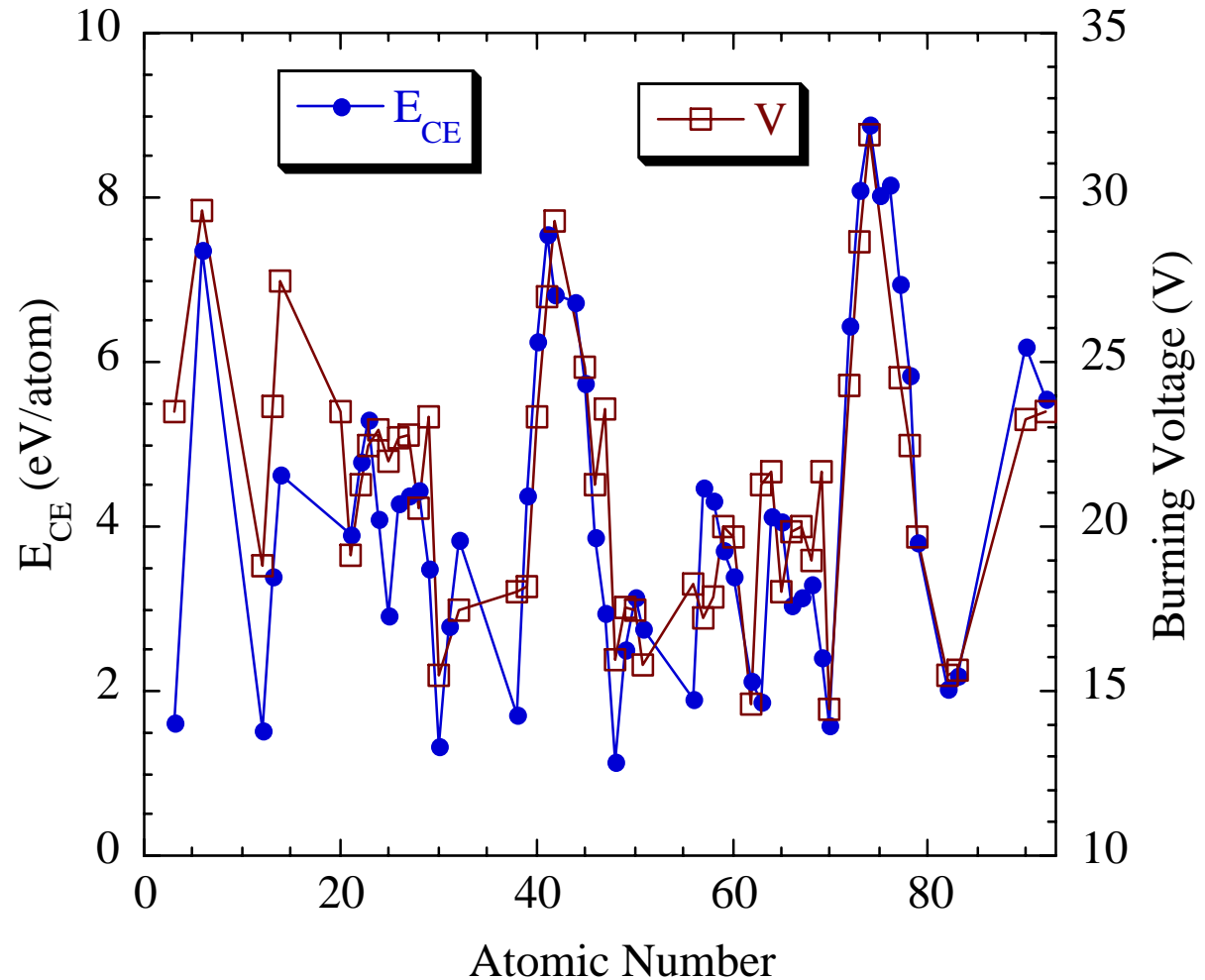
from A. E. Guile, B. Jüttner,  
ZIE Preprint 80-2, Berlin, 1980



# Cohesive Energy Rule

Energy balance consideration:

There is a direct correlation between cohesive energy of the cathode solid and burning voltage of cathodic arc



*cohesive energy = energy needed to free an atom from the solid*



# Properties of Cathodic Arc Plasmas

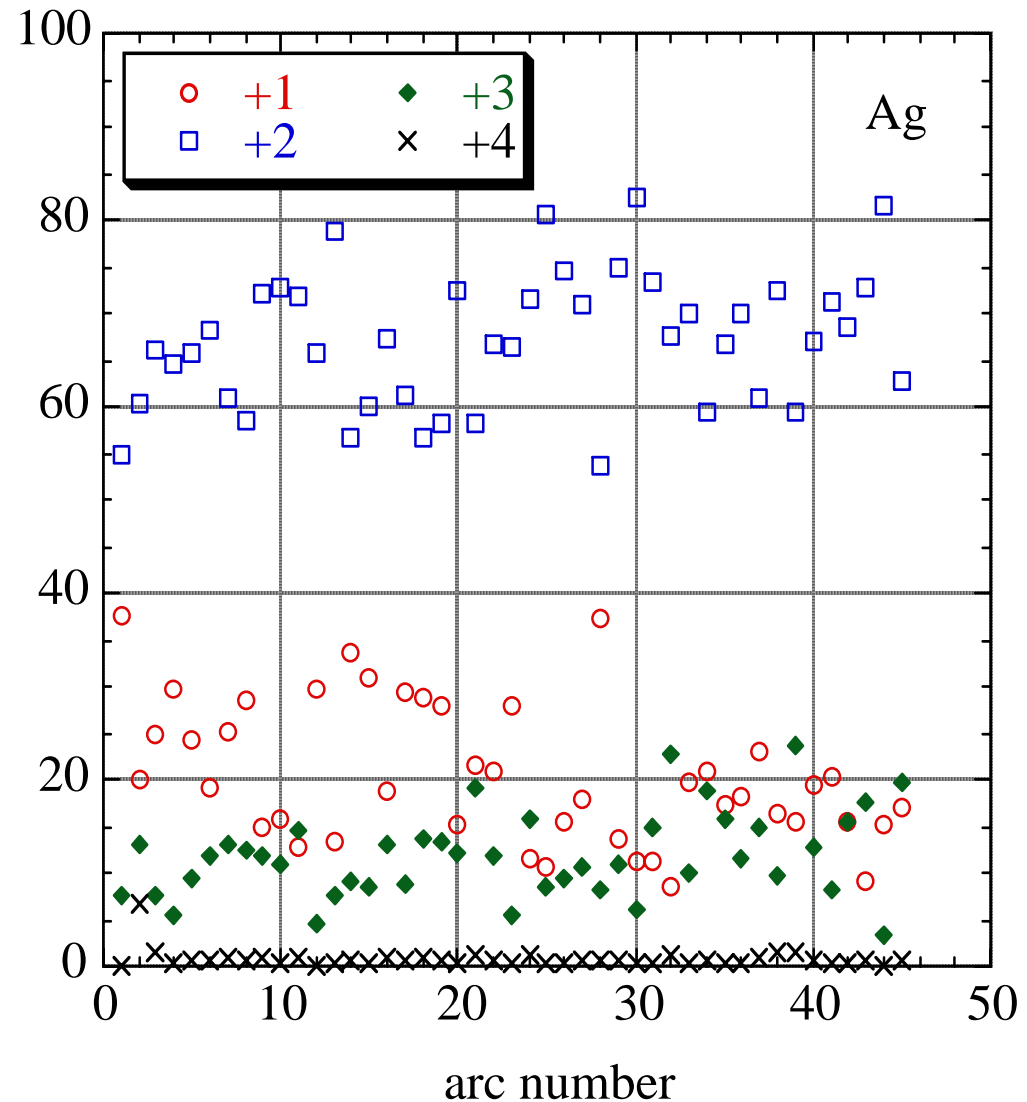
- Plasma expands from near solid state density ( $10^{27} \text{ m}^{-3}$ ) in the cathode spot to very rarified plasma far from spot (e.g. down to  $10^{14} \text{ m}^{-3}$ );
- for “large” distances from spot: plasma is in non-equilibrium
- *Jüttner's formula*: in absence of magnetic field and for  $r > 100 \text{ } \mu\text{m}$ 

$n \approx \gamma I_{\text{arc}} / r^2$
- For copper cathode:  $\gamma \approx 10^{13} \text{ A}^{-1} \text{ m}^{-1}$
- electron temperature near spot 2-4 eV
- Average ion velocity 

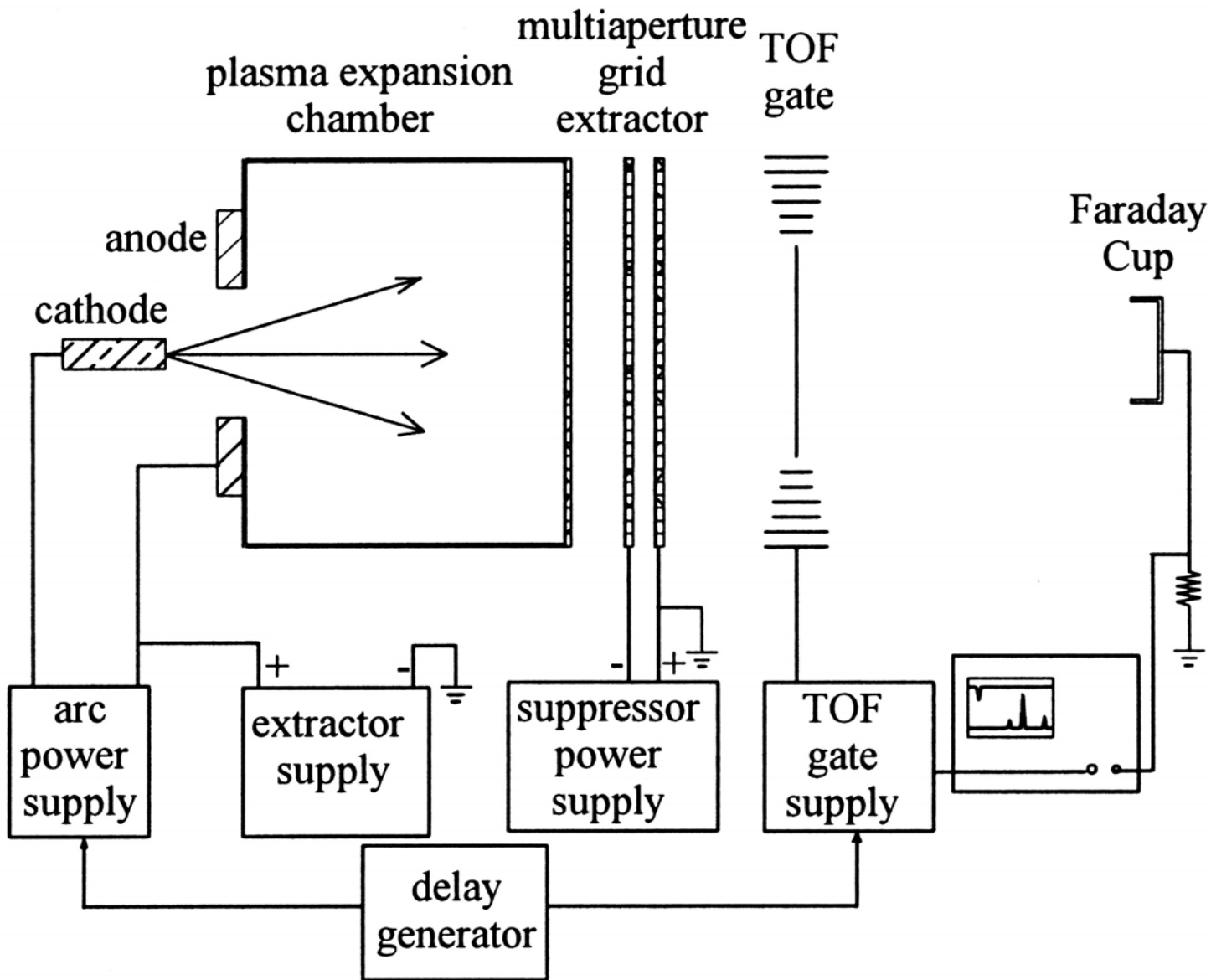
$v_i \approx 0.8 - 2.2 \times 10^4 \text{ m / s}$
- Electron current > arc current (this is not a typo!)

# “Noise” or Fluctuations

- Noise due to the explosive nature of plasma production
- Noise is present in practically all parameters (voltage, density, temperature...)
- Noise is of little or no concern for plasma deposition (averaging effect)
- Example: CSD sampling of pulsed silver arc

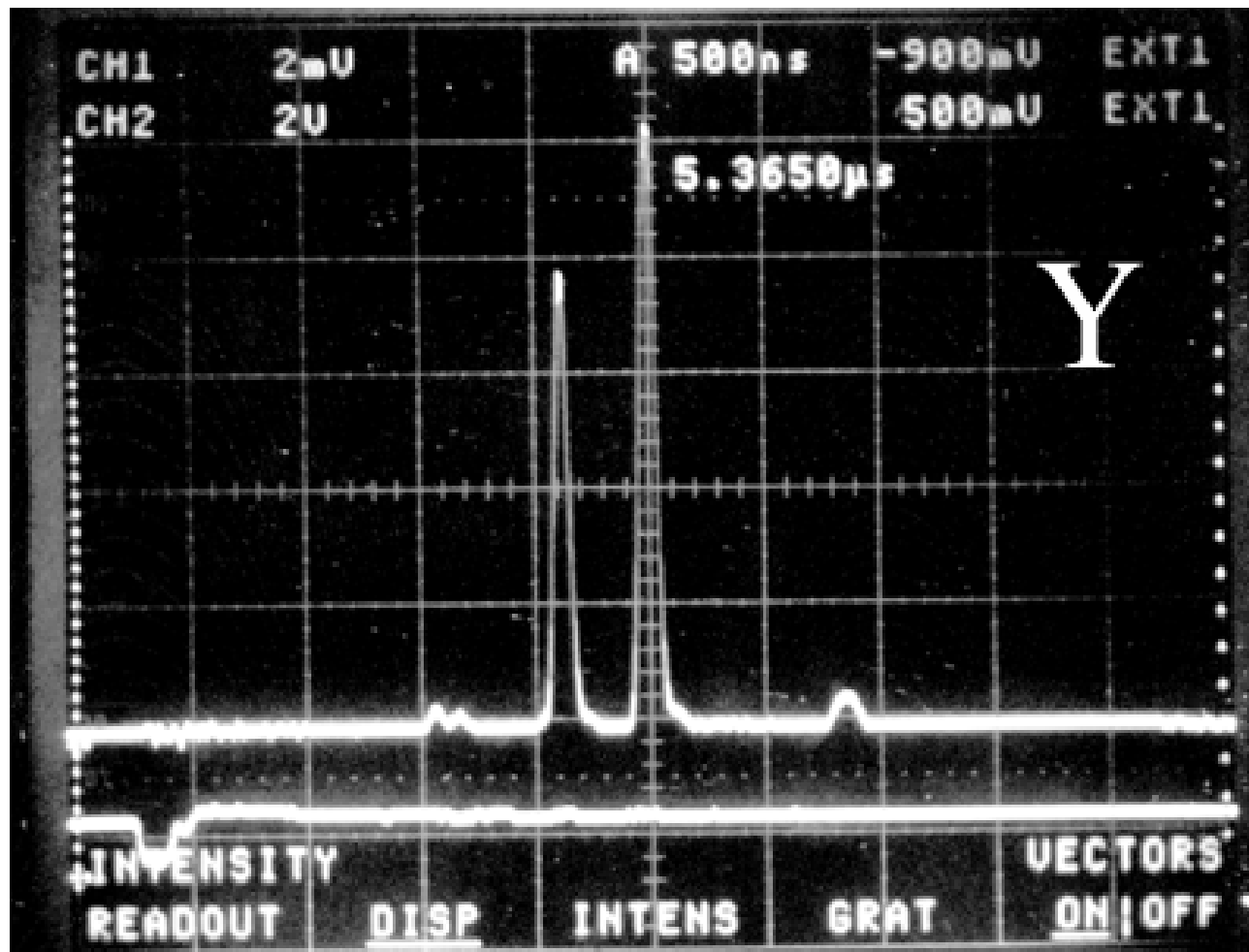


# Ion Charge-State Spectrometry



## Example of TOF Raw Data

- Yttrium plasma, with ion charge states 1+, 2+, and 3+





# Ion Charge State Distributions

□ Ion charge state distributions (CSDs) of over 50 elements and alloys have been measured:

□ Mean ion charge state typically  $> 1$ ; CSD is noisy

Brown, Rev. Sci. Instrum. **65** (1994) 3091

□ CSD is enhanced at beginning of each arc discharge and reaches steady-state after about 100-200  $\mu\text{s}$

□ CSD can be enhanced by

□ Magnetic field

□ High current (self field)

□ Current spikes

Oks et al. IEEE Trans. Plasma Sci. **24** (1996) 1174

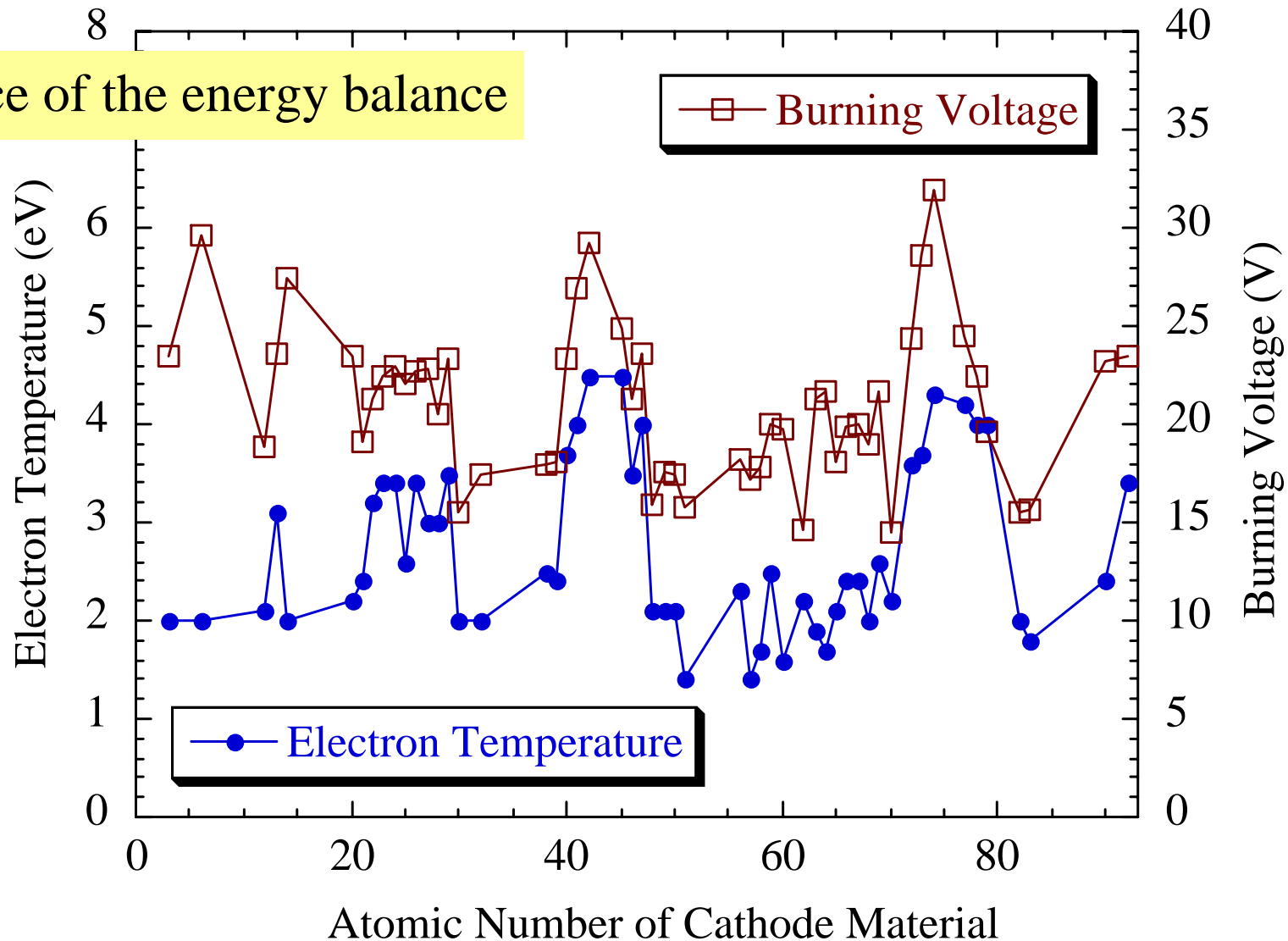
□ CSD can be reduced by background gas

□ Model of Local Saha Equilibrium includes charge state “freezing”

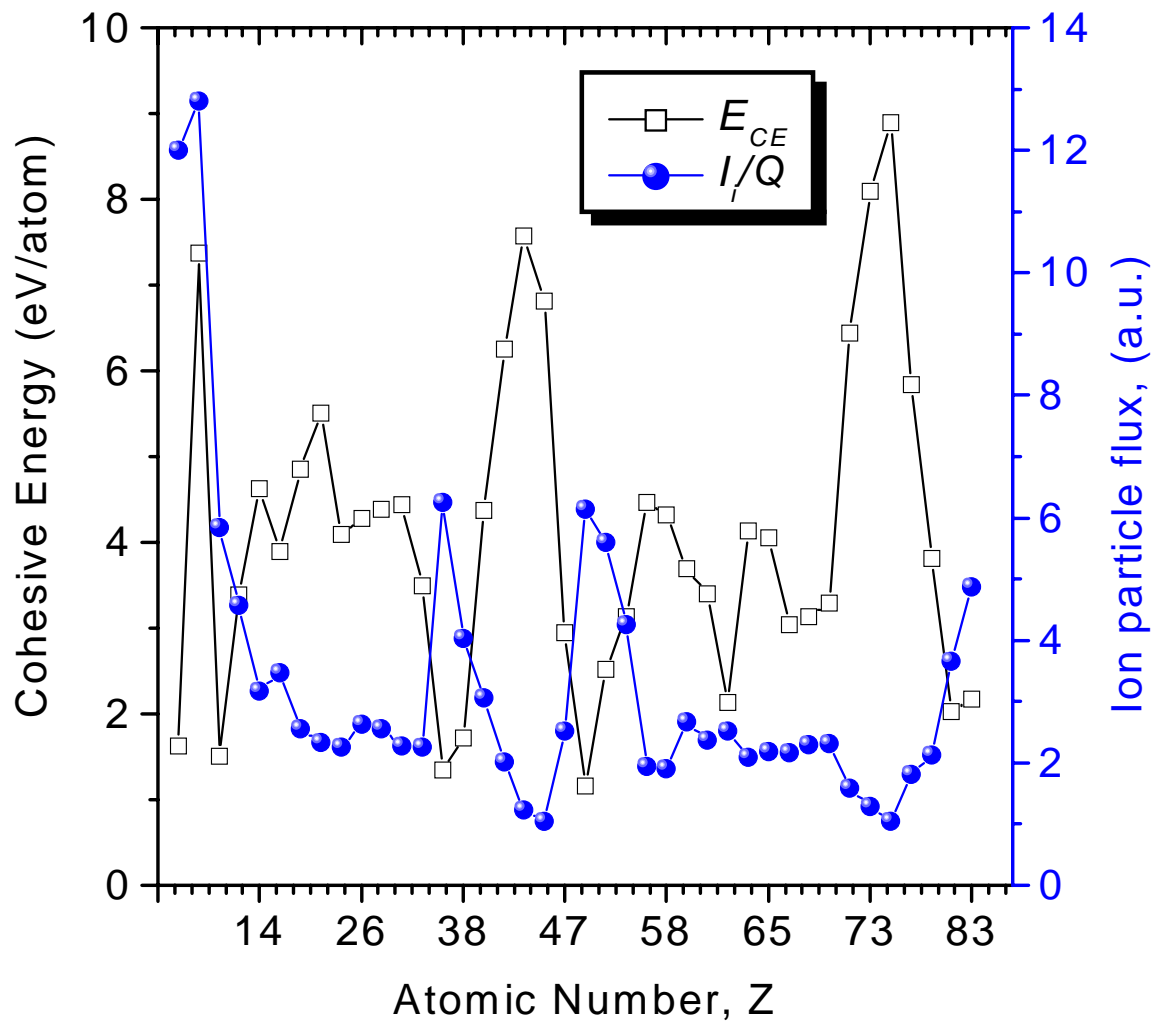
A. Anders, Phys. Rev. E **55** (1997) 969

# Secondary Relations Follow From Cohesive Energy Rule

A consequence of the energy balance

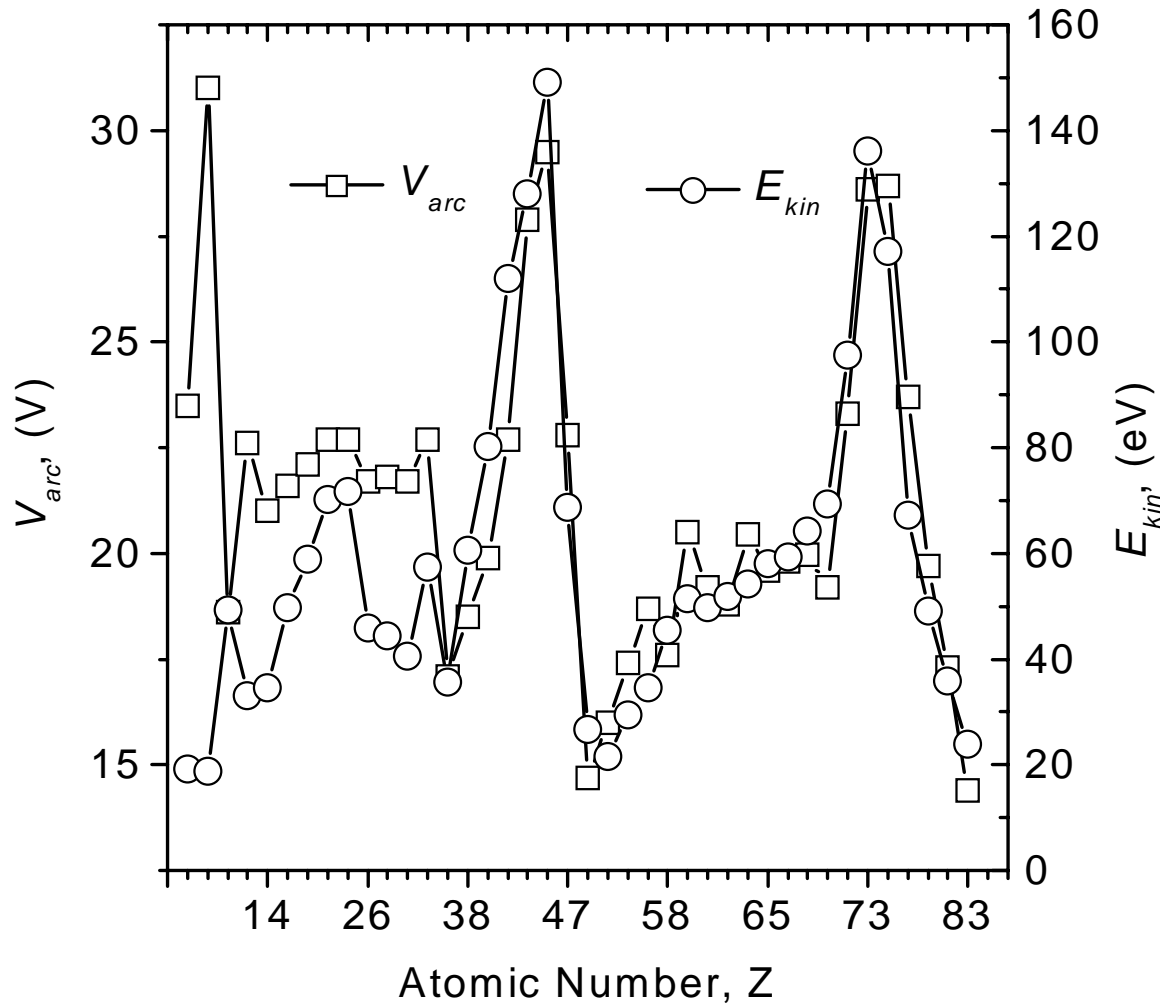


# Erosion Rate and Cohesive Energy

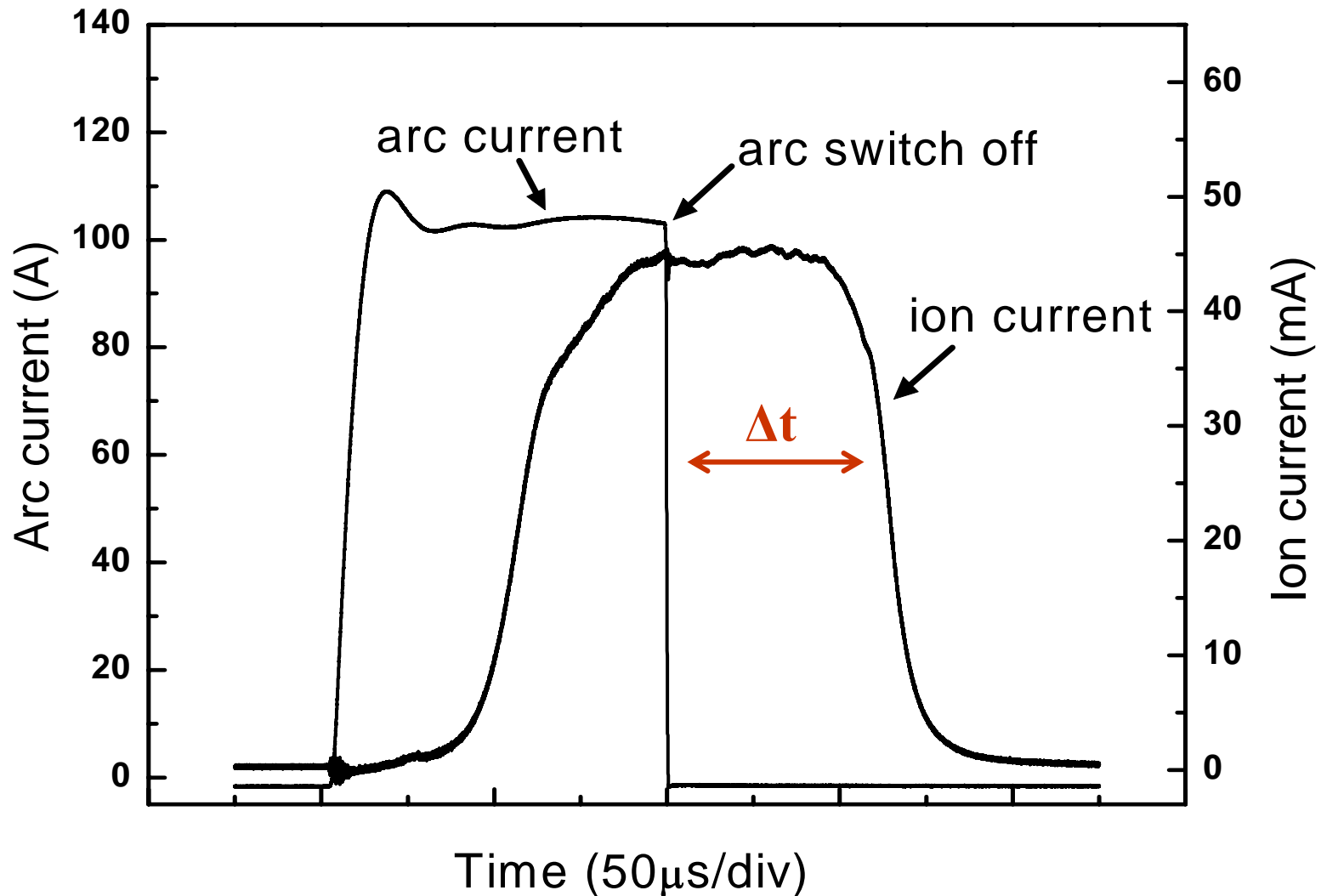




# Cohesive Energy Rule Applied to Kinetic Ion Energy



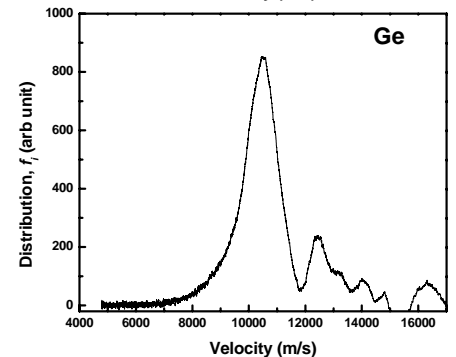
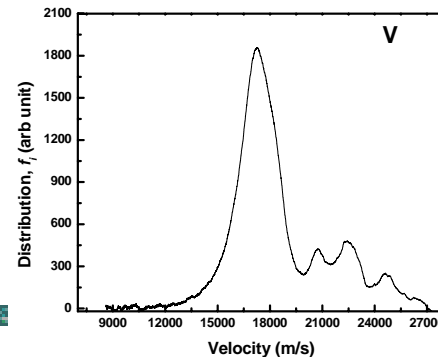
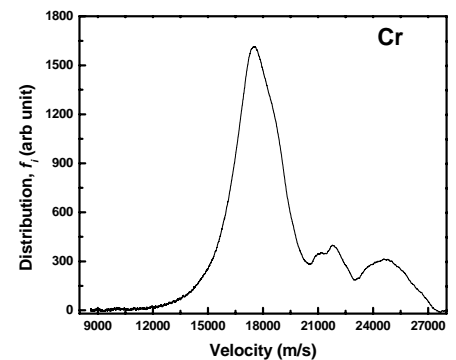
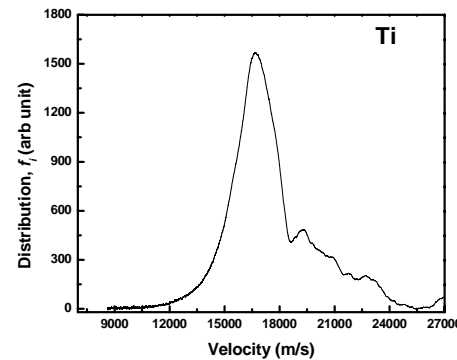
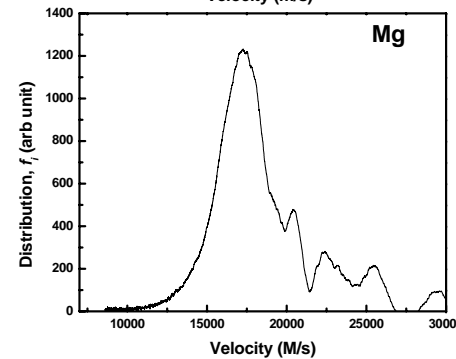
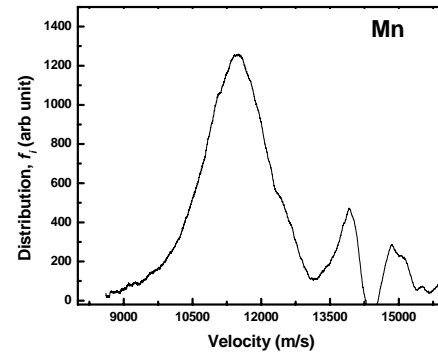
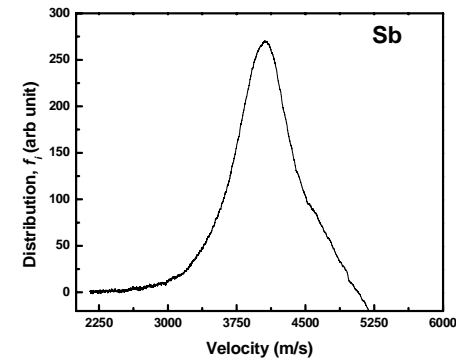
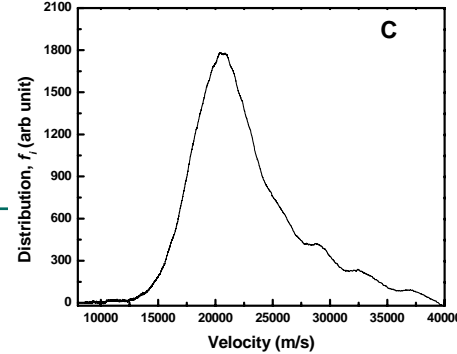
# Time-of-Flight Measurement Setup with Current-Zero





# TOF Results

- most distributions show **one** large peak
- indication that all charge states have about the same velocity i.e. kinetic energy is independent of charge state
- high energy peaks are uncertain and may be related to plasma instabilities



Byon and Anders,  
*J. Appl. Phys.* **93** (2003) 1899-1906

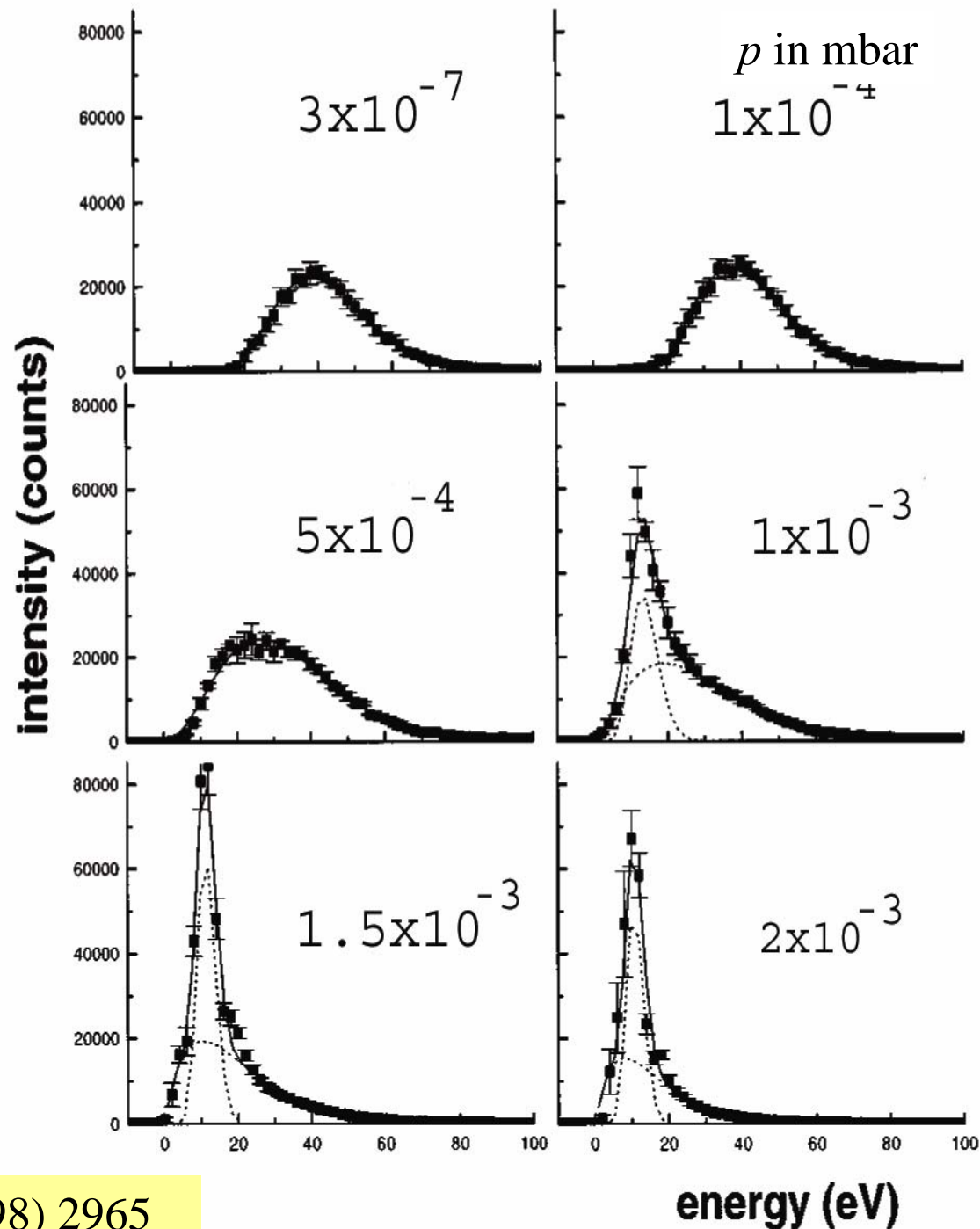


# Kinetic Ion Energy With Background Gas

- Presence of gas causes changes in
  - *plasma production*
  - *plasma transport*
- changing surface condition of cathode, similar to “poisoning” of sputter target, issue of spot type
- Collisions with gas will shift ion energy distribution function to lower energy
- low-energy peak will appear, representing species that have lost energy in collision

# Effect of Gas on Kinetic Energy of Metal Ions

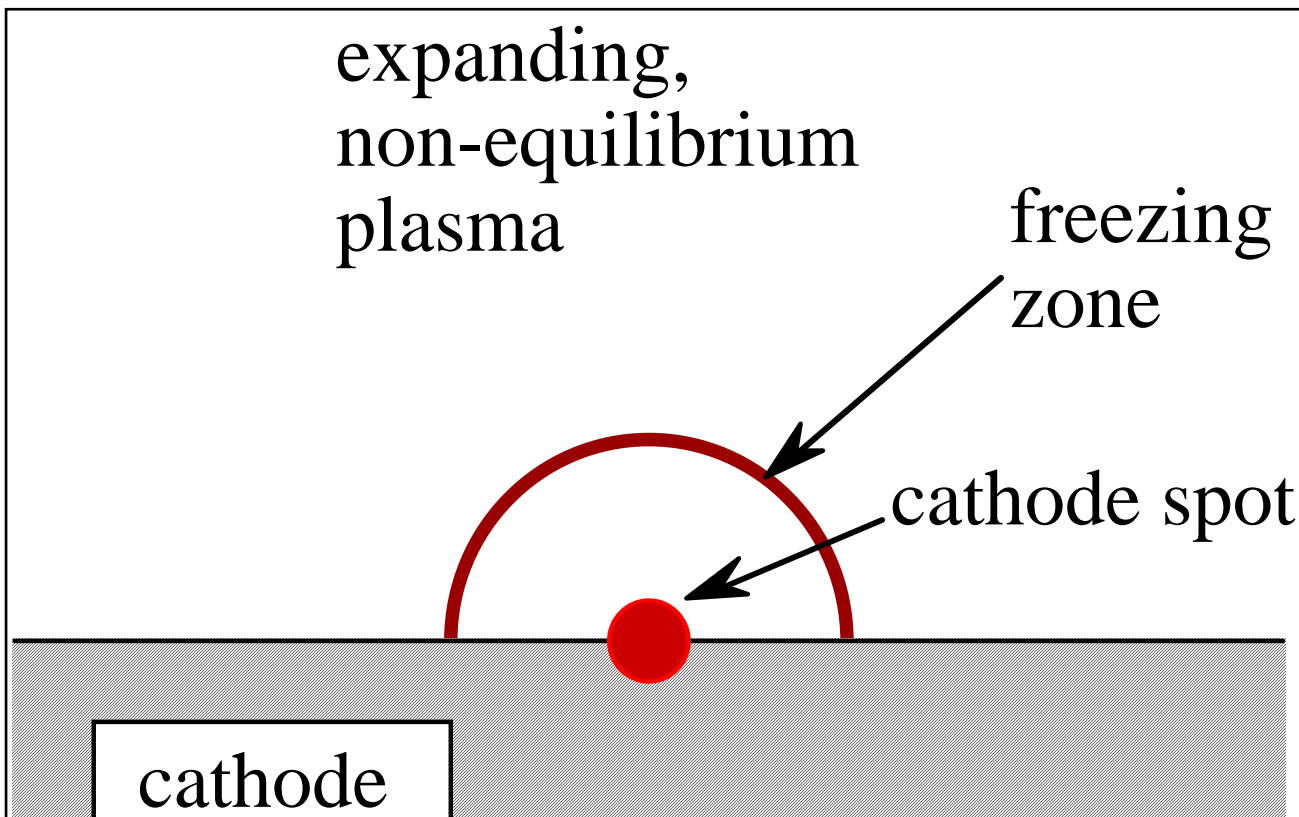
- Example: Ti plasma in nitrogen
- method: mass selective energy analyzer



# Theory & Models

## Model of “Instantaneous Freezing”

- Charge state spectrum reflects plasma condition at **equilibrium  $\Rightarrow$  non-equilibrium transition zone**, the “freezing zone” near cathode spot



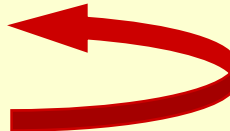
# Arc Spot Ignition

Local thermal run-away process leads to micro-explosion and formation of extremely dense plasma:

High electric field, enhanced by

1. protrusion (e.g. roughness, previous arcing)
2. charged dielectrics (e.g. dust particles, flakes)



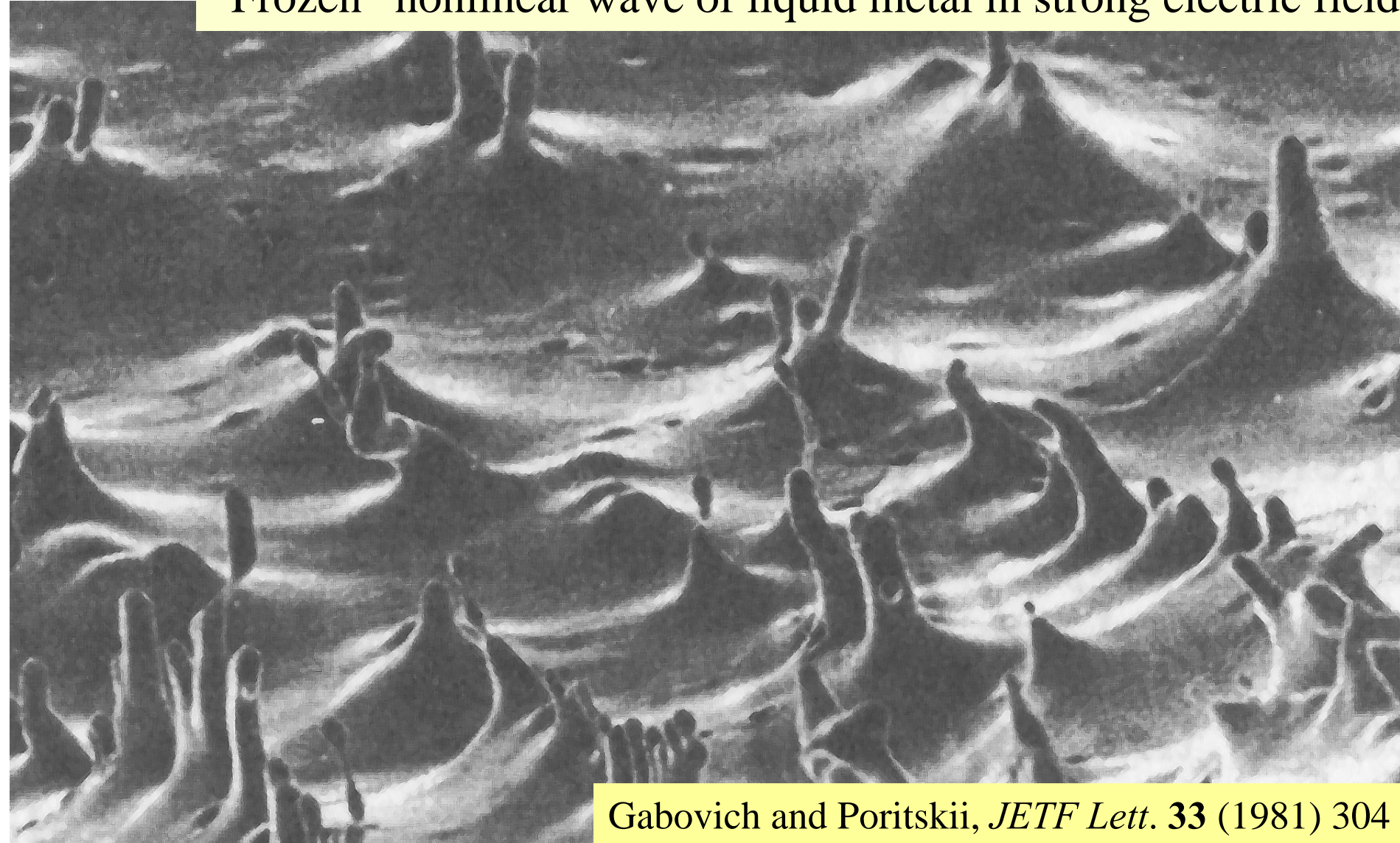
1. higher field leads to locally greater e-emission
  2. Joule heat enhances temperature of emission site
  3. higher temperature amplifies e-emission non-linearly
- feedback!**
- 

**Runaway!**



# Explosive Emission and Arc Spot Ignition

“Frozen” nonlinear wave of liquid metal in strong electric field



Gabovich and Poritskii, *JETP Lett.* **33** (1981) 304



# Macroparticle Formation: Response of a Liquid to Impulse Pressure

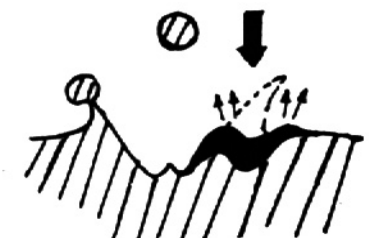
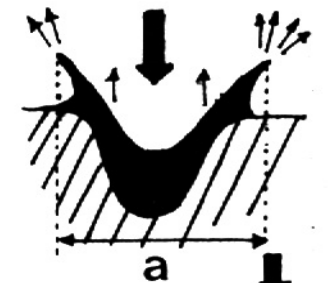
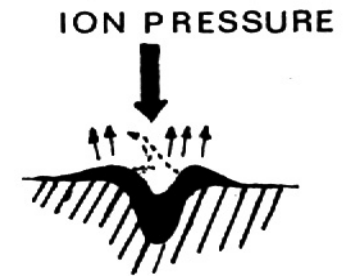
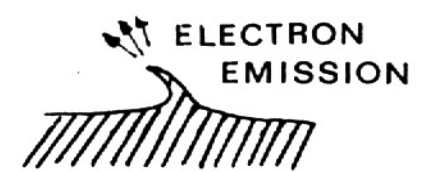
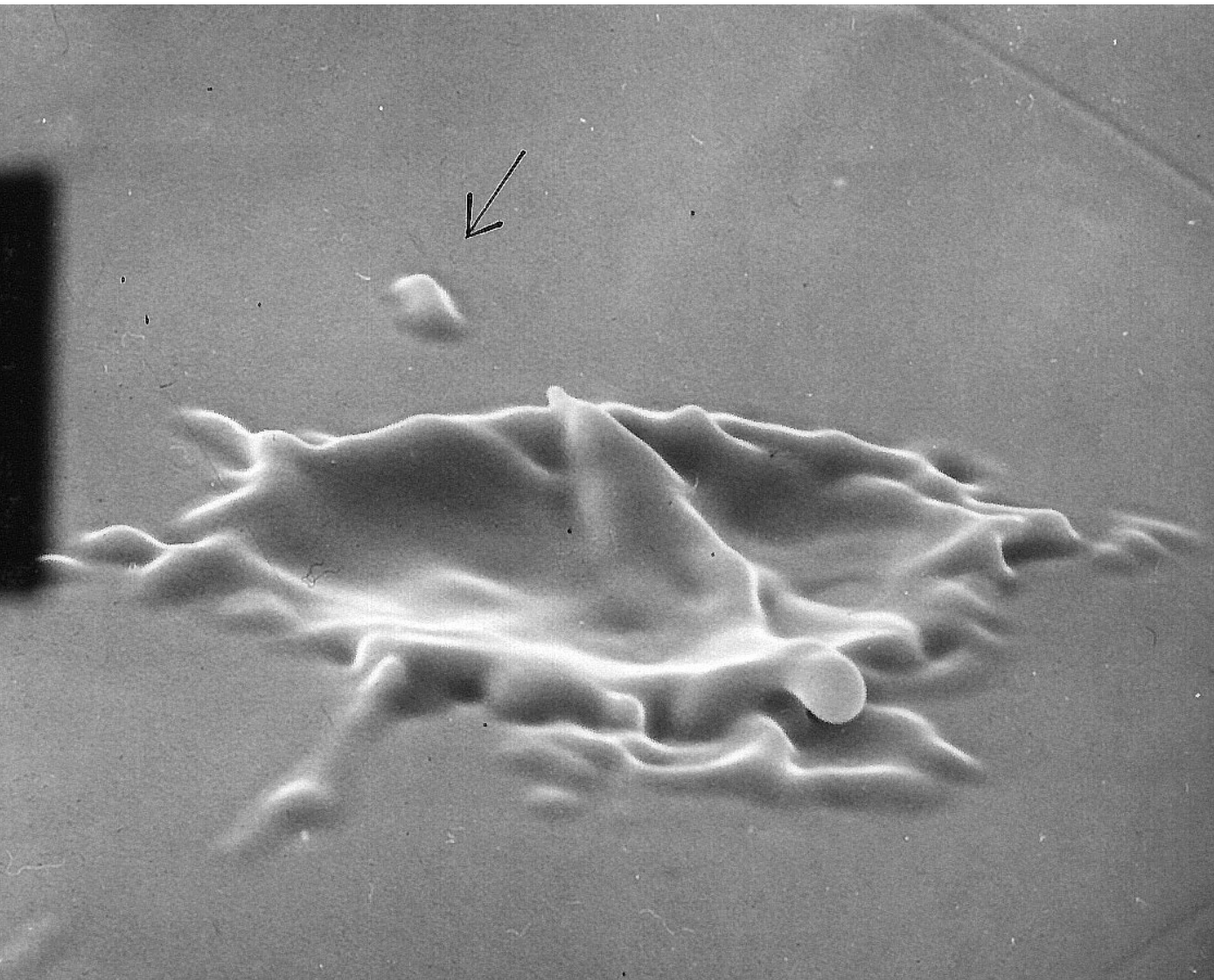


movie





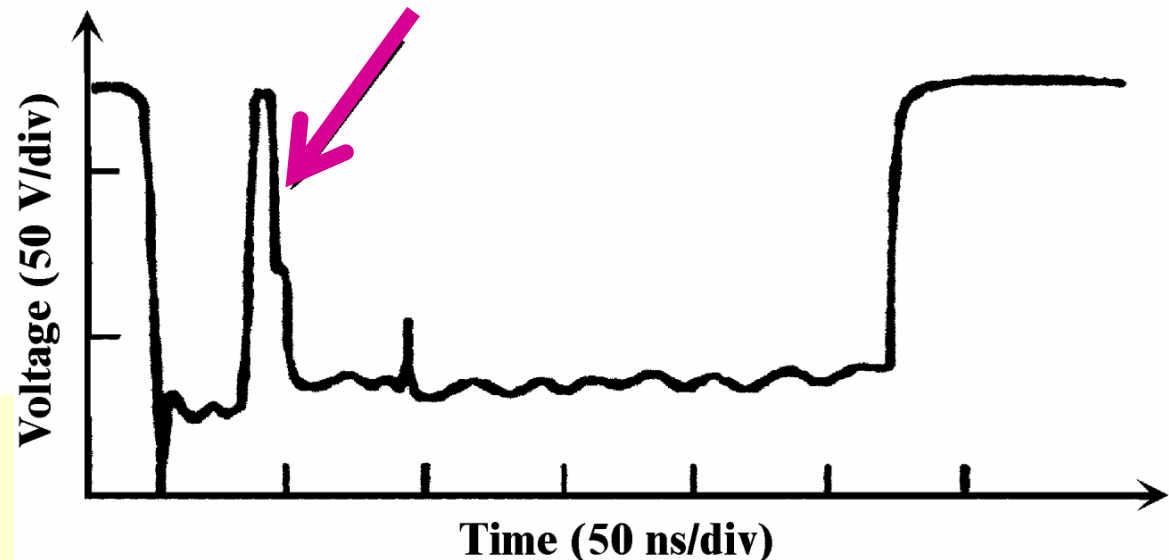
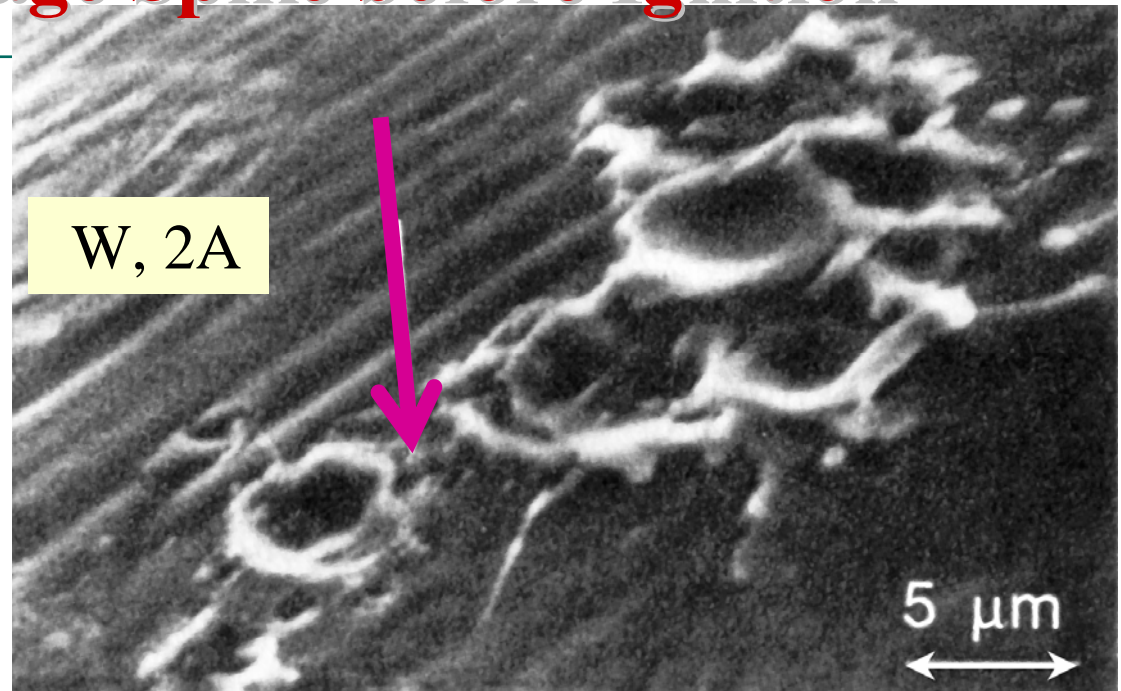
# Self-Generation of Ignition Conditions



10 ns discharge on Mo. Photo courtesy of B. Jüttner

# Voltage Spike before Ignition

Extinction of  
emission center  
causes voltage to  
rise until new  
center is ignited



Puchkarev and Murzakayev,  
*J. Phys. D* **23** (1990) 26



# Ignition and Types of Spot Motion

- ❑ ***Spot motion***: Displacement of active spot by ignition of new spot and extinction of active spot
- ❑ for homogenous (uniform) surfaces: ignition probability follows laws of “diffusion-limited aggregation” resulting in “*random walk*”
- ❑ for non-uniform surfaces: ignition probability is higher at locations where local electric field is enhanced, especially by dielectric layers, charges, inclusions: ignition probabilities follows laws of “*self-avoiding walk*,” i.e. does not ignite where ignition has happened before (conditioning effect!)
- ❑ if symmetry is broken by magnetic field: ignition probability is higher at locations where local electric field is enhanced via thinner sheath (higher density under plasma jets): “*steered walk*”



# Effects of Layers and Magnetic Field on Spot Ignition

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- Both surface layers and magnetic field change probability distribution for spot ignition

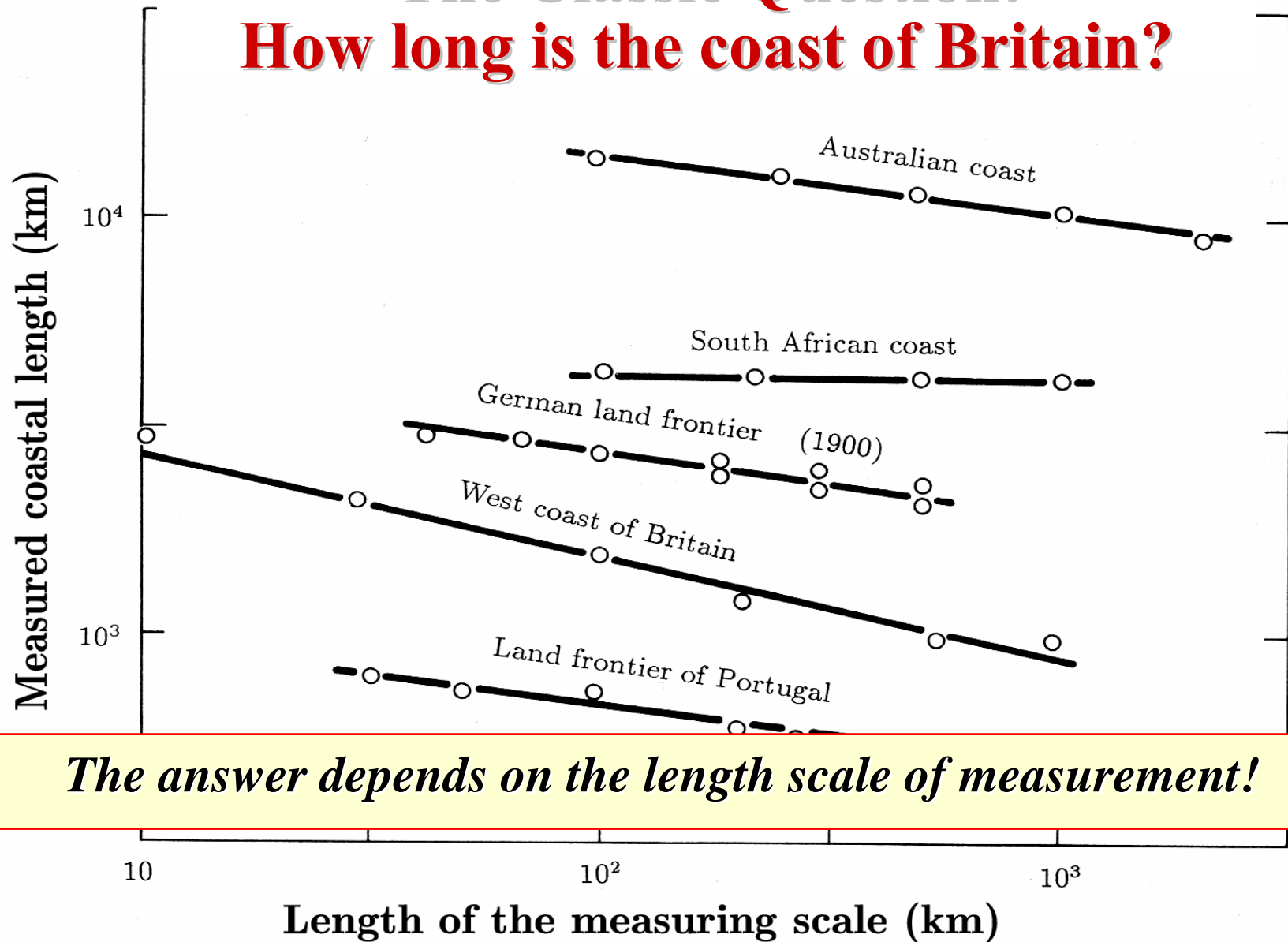


- these effects can be described in a single, generalized model: the *fractal model* .

# Fractals



# The Classic Question: How long is the coast of Britain?

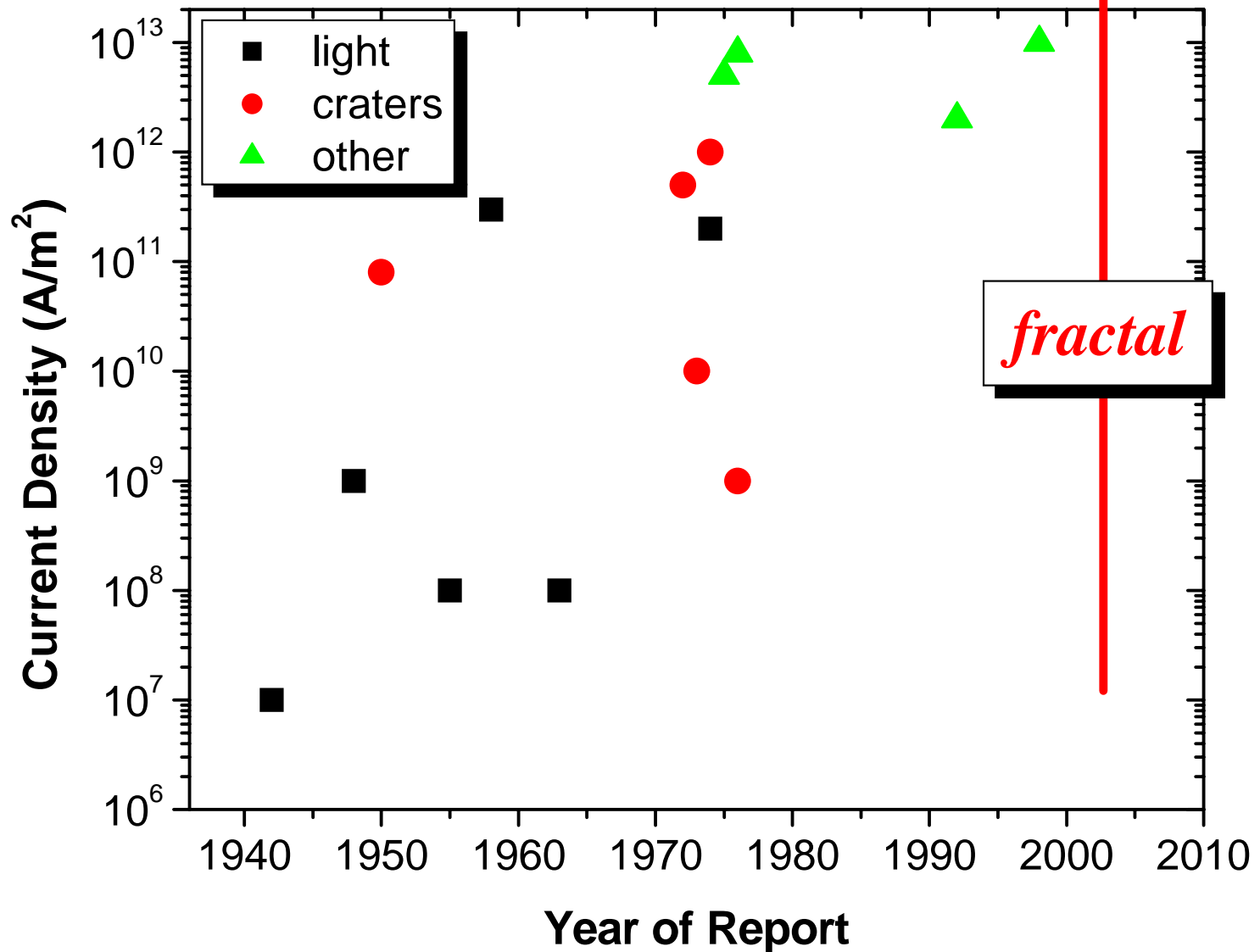


*The answer depends on the length scale of measurement!*

B. Mandelbrot, The Fractal Geometry of Nature (1983)



# New Question: What is the current density of cathode spots?



# Self-Similarity

- *An object (“fractal”) is self-similar (invariant with scaling) if it is reproduced by magnifying some portion of it.*
- *Self-similarity may be discrete or continuous, deterministic or probabilistic.*
- *Self-similarity can be mathematically exact or only approximate and asymptotical.*

M. Schroeder, *Fractals, Chaos, Power Laws*, Freeman, New York, 2000



# Fractals and Power Laws

*Power laws are an abundant source of self-similarity.*

The homogenous power law

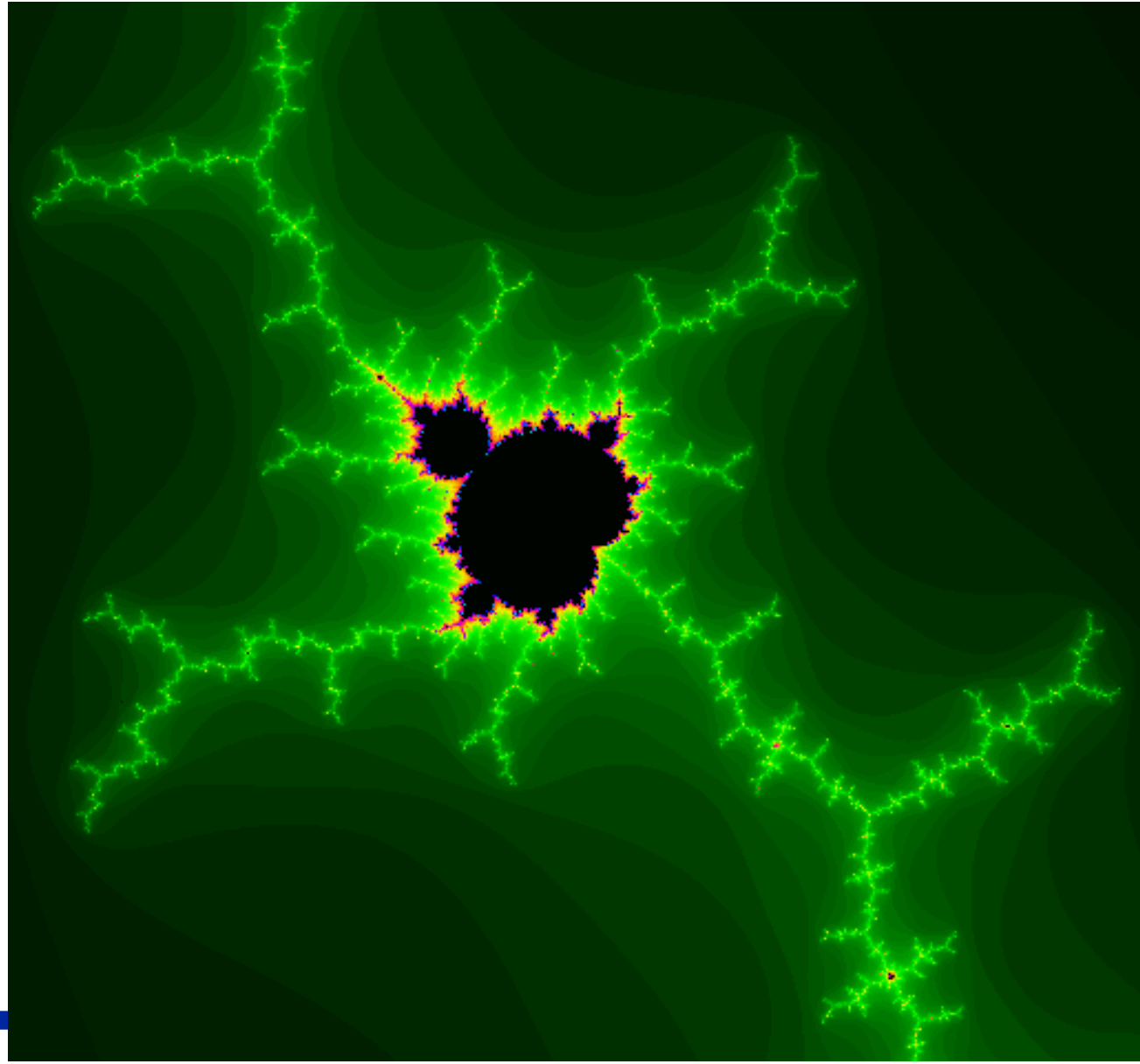
$$f(x) = cx^\alpha$$

is self-similar because rescaling (multiplication with a constant) preserves that is proportional.

A fruitful approach to fractal modeling is to look for *power laws* describing the physical phenomena.

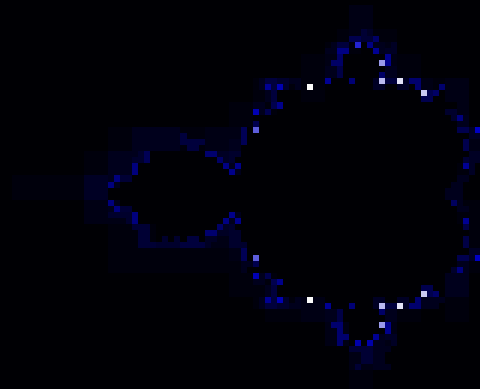
# Example of Mathematically Exact Self-Similarity

Mandelbrot-  
"Lightning"  
and  
Mandelbrot  
Trees





# Example of Mathematically Exact Self-Similarity



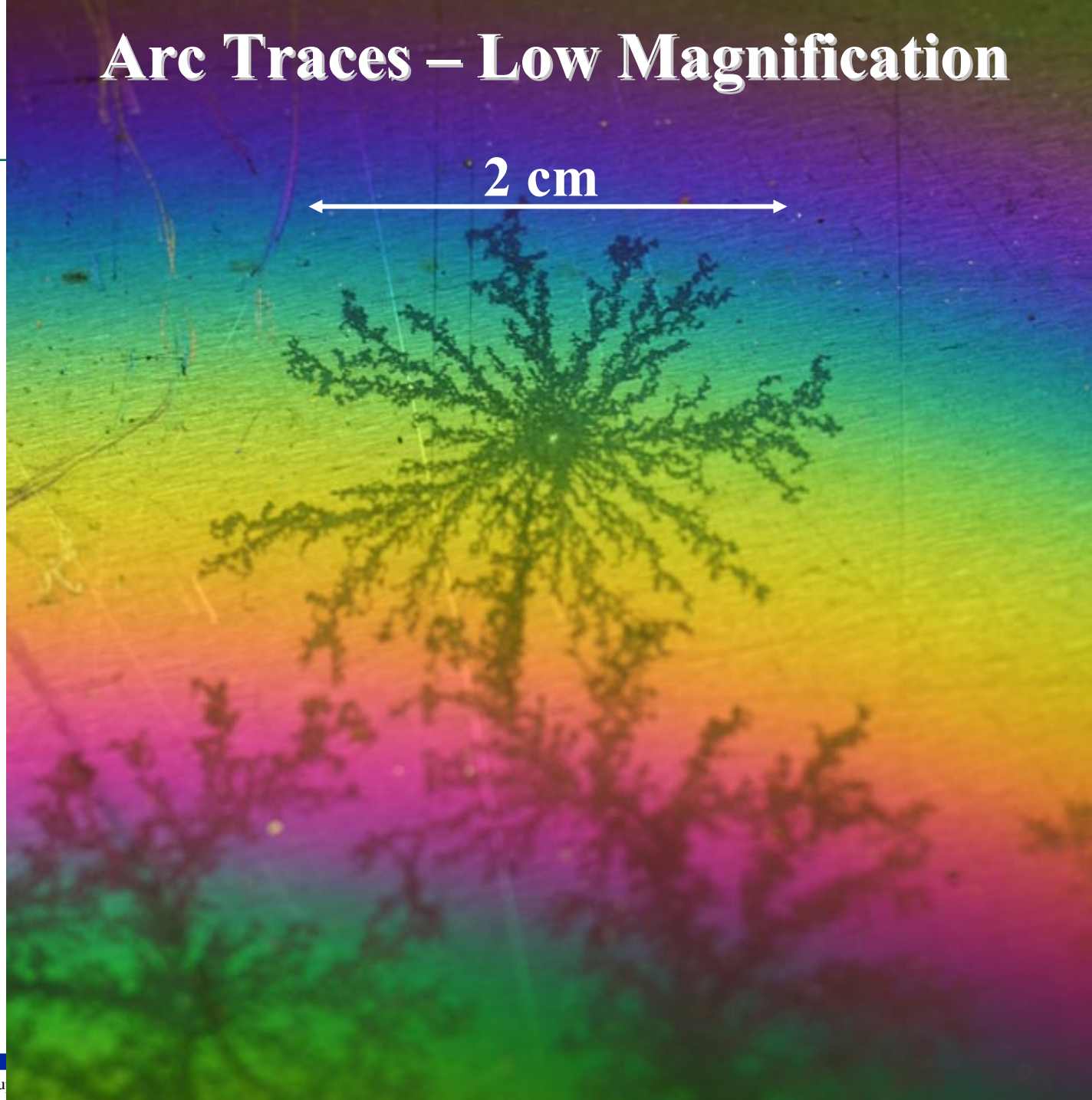
courtesy of Jim Tucek



# Arc Traces – Low Magnification

Arcing on a SS shield coated with  $\text{WO}_3$  (colors due to interference)

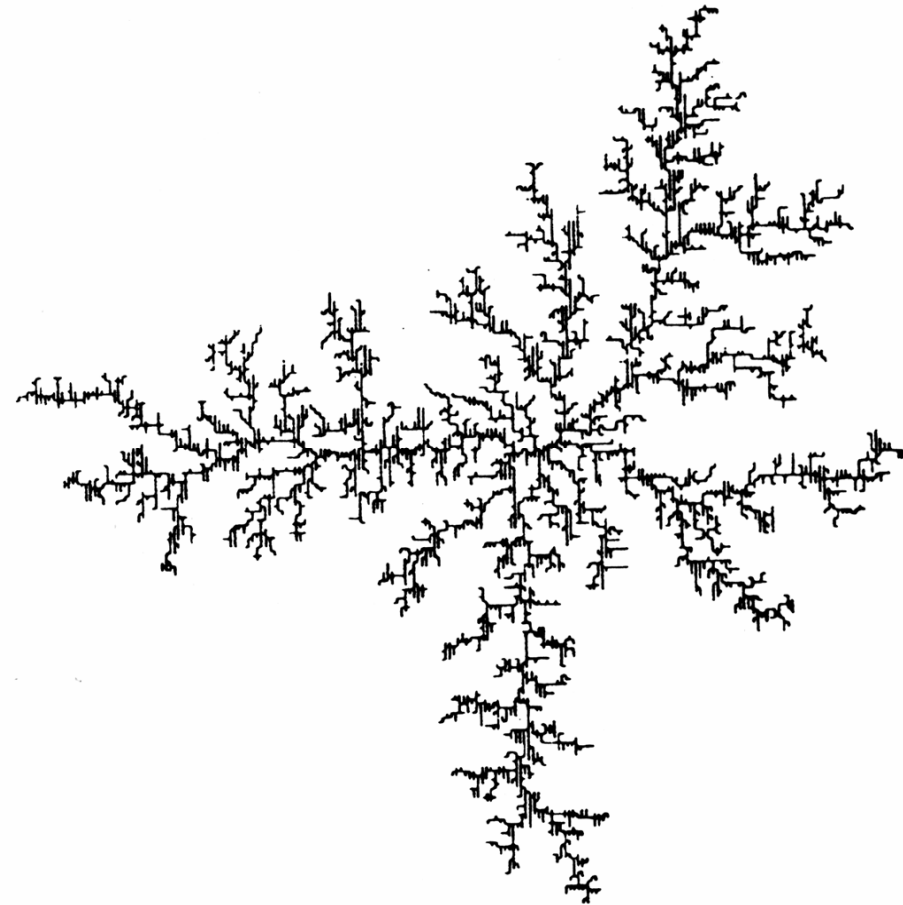
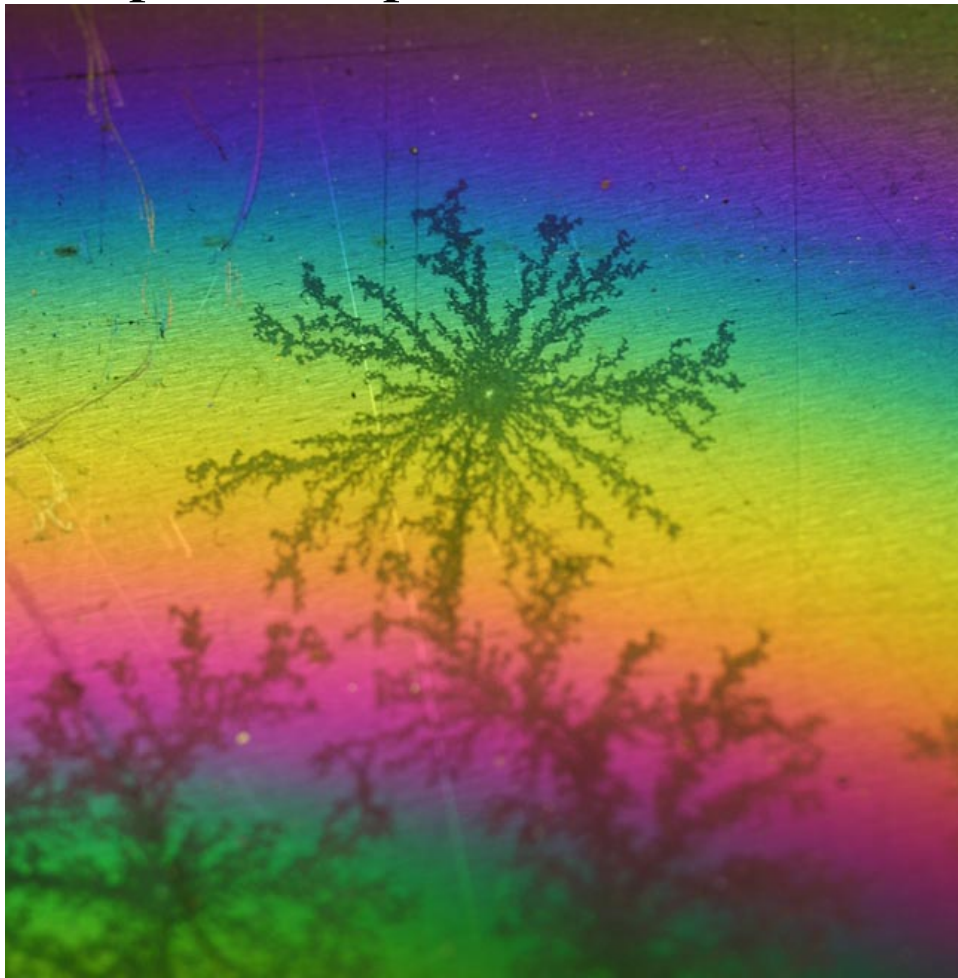
Traces are probabilistic fractal dimension  $\sim 1.7$





# Fractal Model and Ignition

- The fractal approach to “*Diffusion-limited Aggregation*” is applicable to random walk model of spot motion; ignition of a new spot corresponds to attachment of a molecule to nucleation site



# Ignition concept in Earlier History

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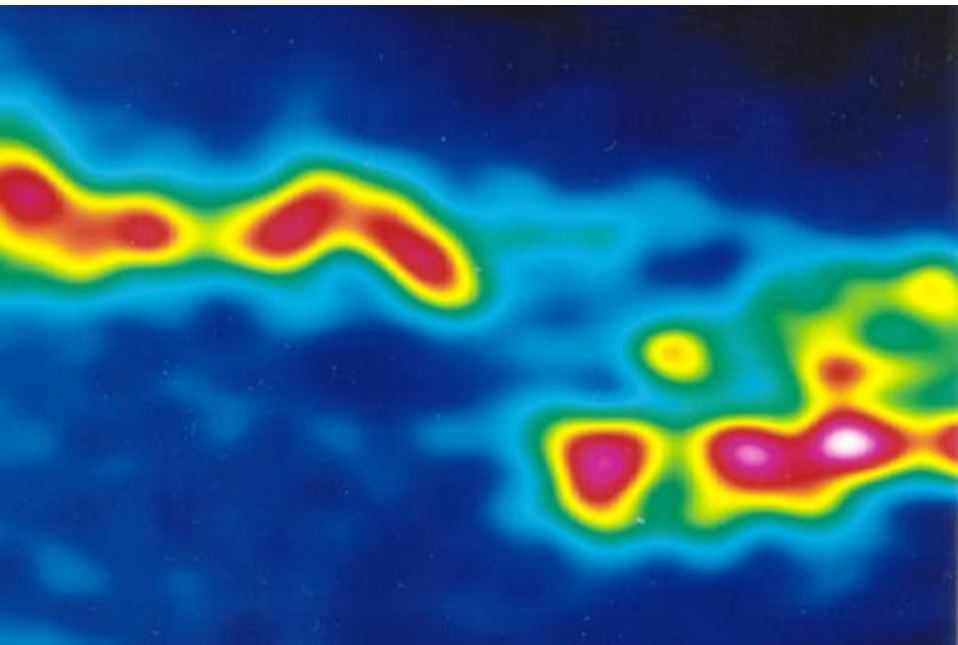
- M.J. Druyvesteyn (1934): *“It may be that the breakdown of the insulator [layer] causes the wandering of the cathode spot of an arc in some cases.”*
- J.D. Cobine (1938): *“The discharge is influenced markedly by the condition of the copper cathode...this random variation [of the re-ignition voltage] is quite probably due to the variation in the in impurities at the cathode which influences the mechanism of arc re-ignition...”*



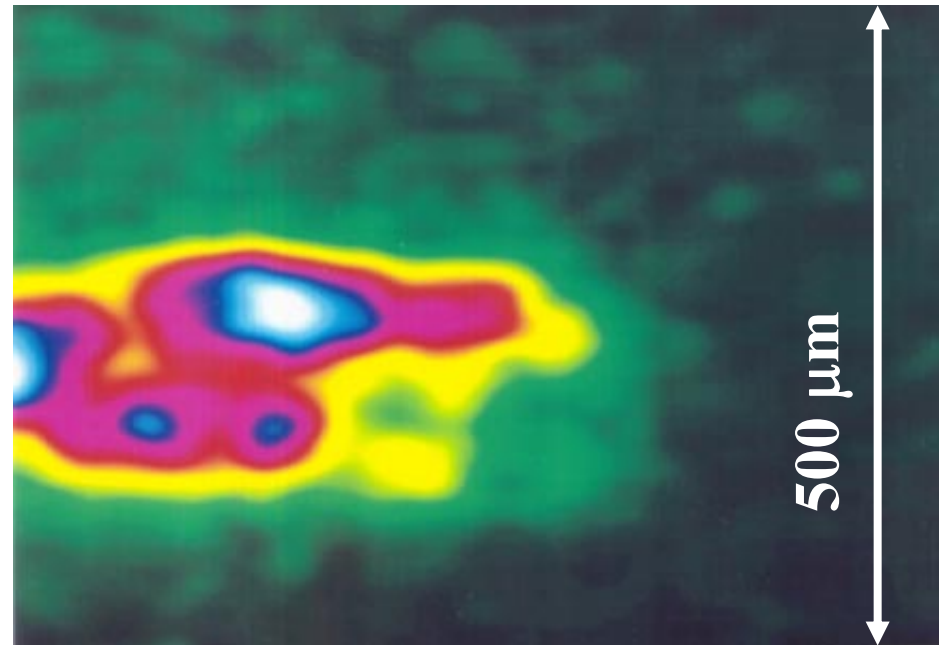


# Temporal and Spatial Self-Similarity

Streak camera pictures at different time resolution  
(courtesy of B. Jüttner)



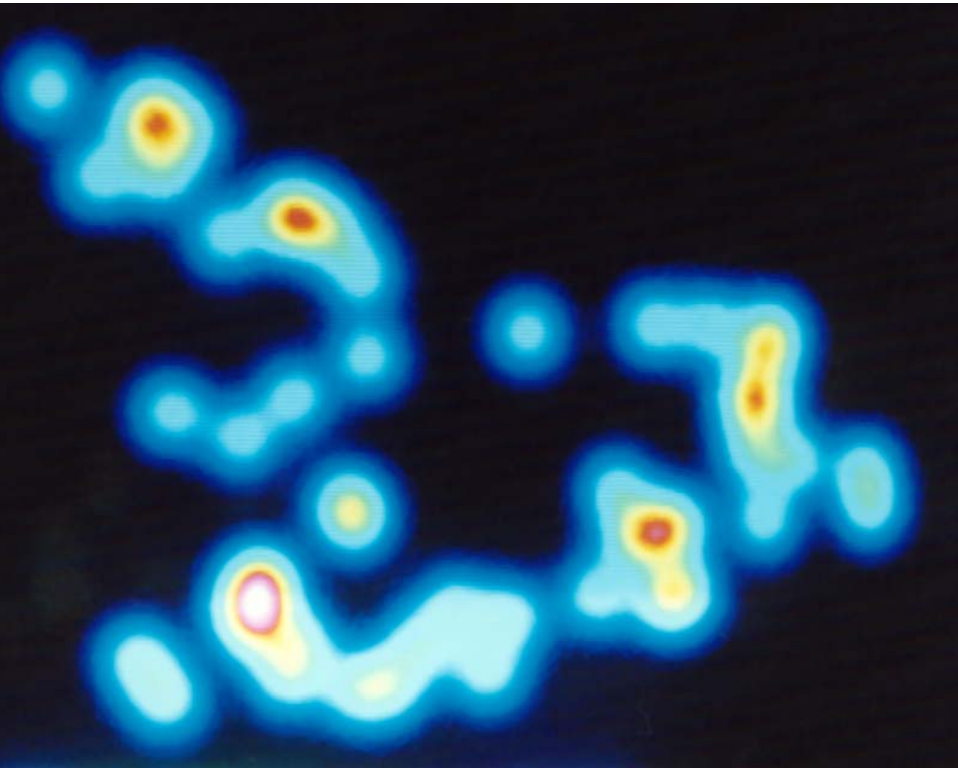
$20\ \mu\text{s}$



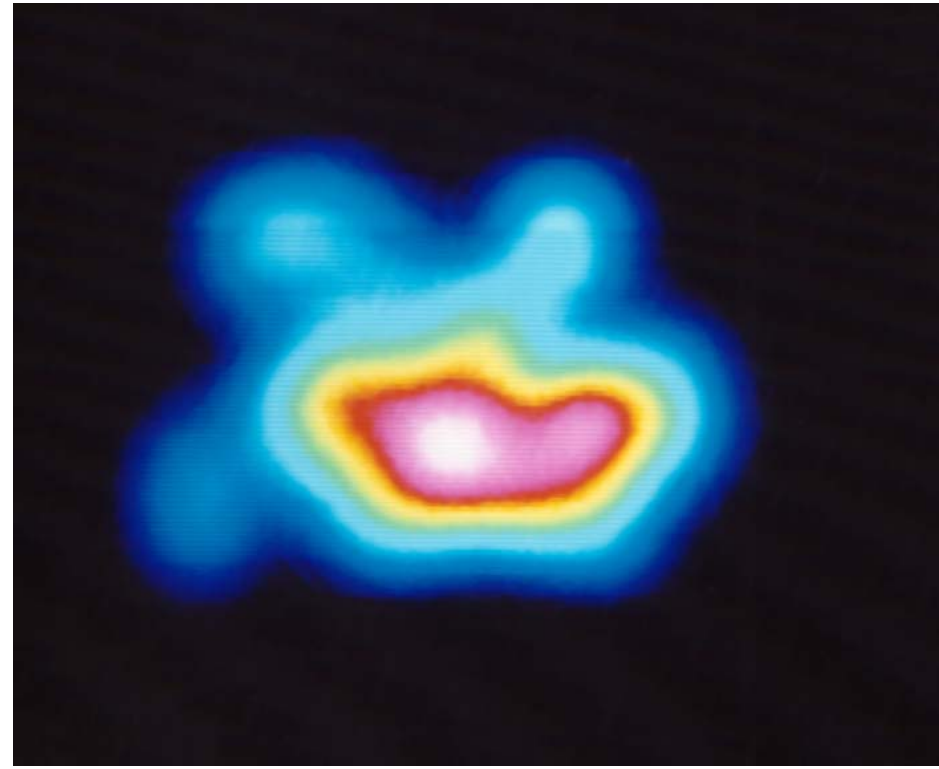
500  $\mu\text{m}$

800 ns

# Simulation of Spot Light Emission



FWHM 10  $\mu\text{m}$ , step=FWHM

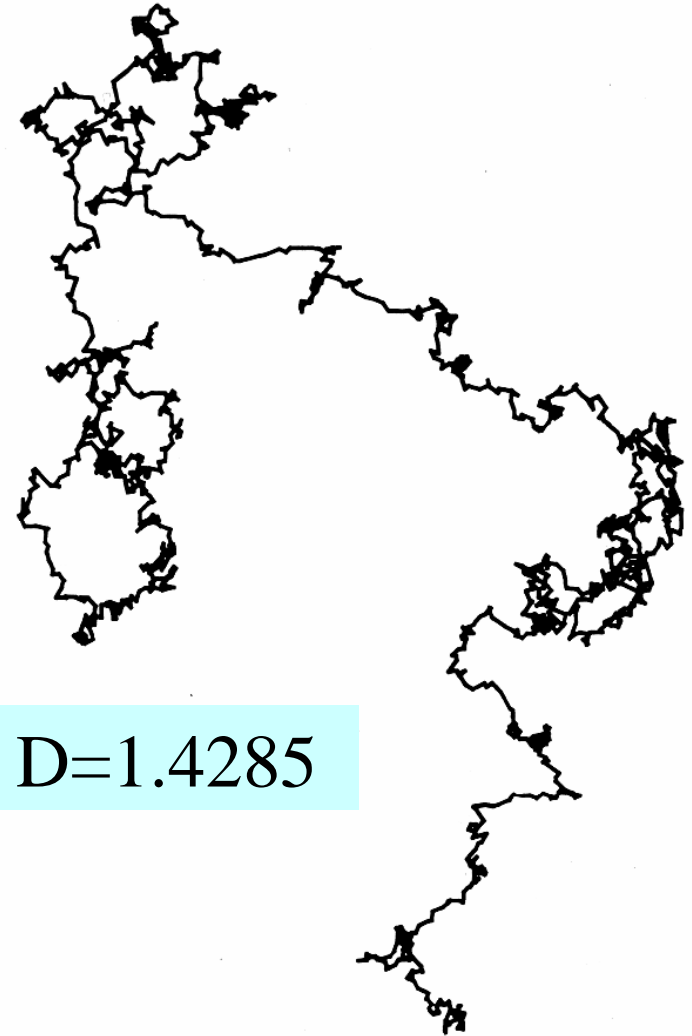


FWHM 15  $\mu\text{m}$ , step=FWHM/2

# Random Motion: Probabilistic Fractal



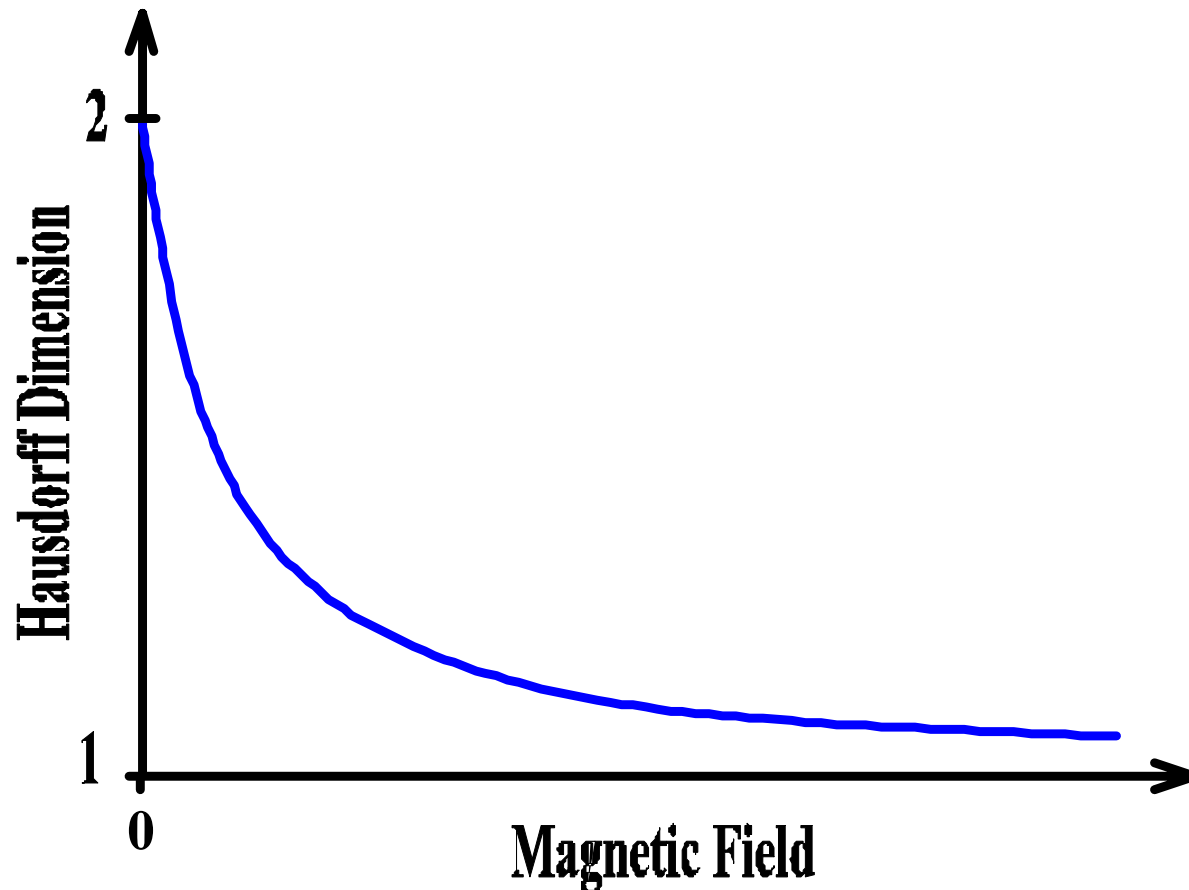
$D=1.1111$



$D=1.4285$

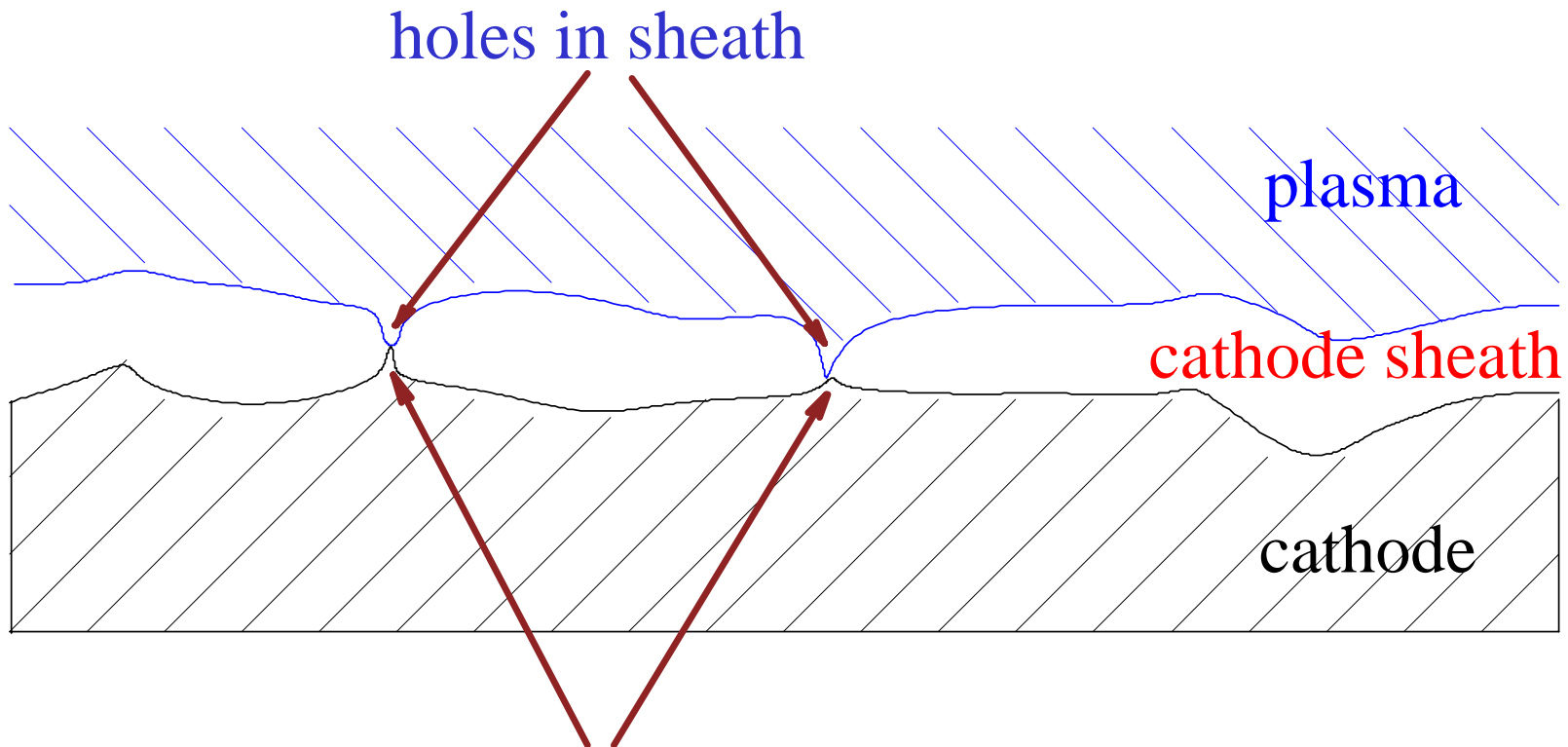
# Fractal Dimension of Spot Motion

Transition from Random Motion to Steered Motion can be associated with a reduction of the fractal dimension



# Fractal Sheath with “Holes”

- sheath thickness scales with  $1/\sqrt{n}$
- no sheath but voltage drop in nonideal plasma of (exploding) emission centers: “holes” in sheath – no flux to cathode



exploding emission centers



A locally enhanced  
plasma density  
causes higher field  
strength, enhancing  
ignition probability

plasma edge

**B**

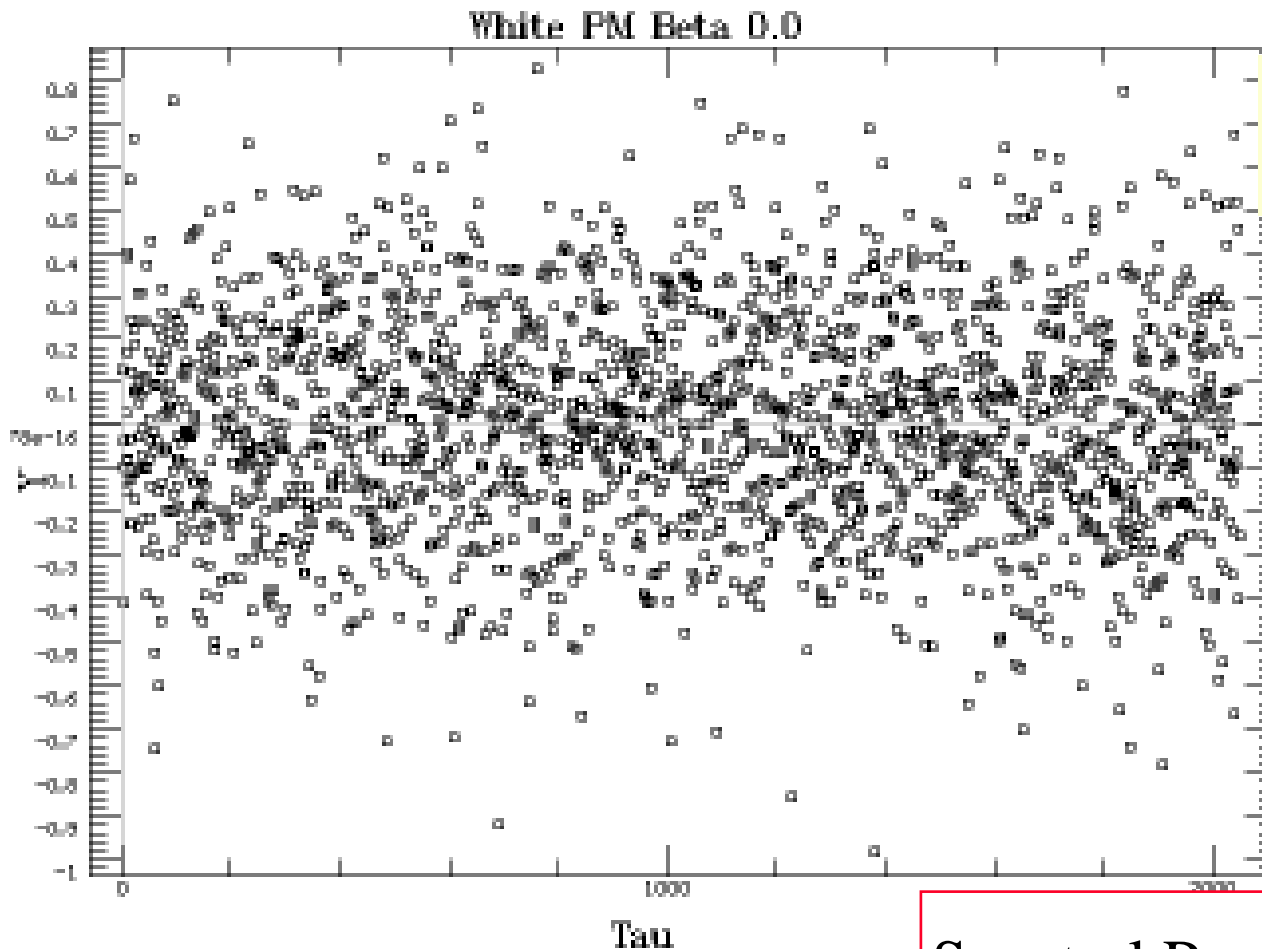
plasma jets

**Retrograde Motion**

100  $\mu\text{m}$

# Noise – What Can We Learn?

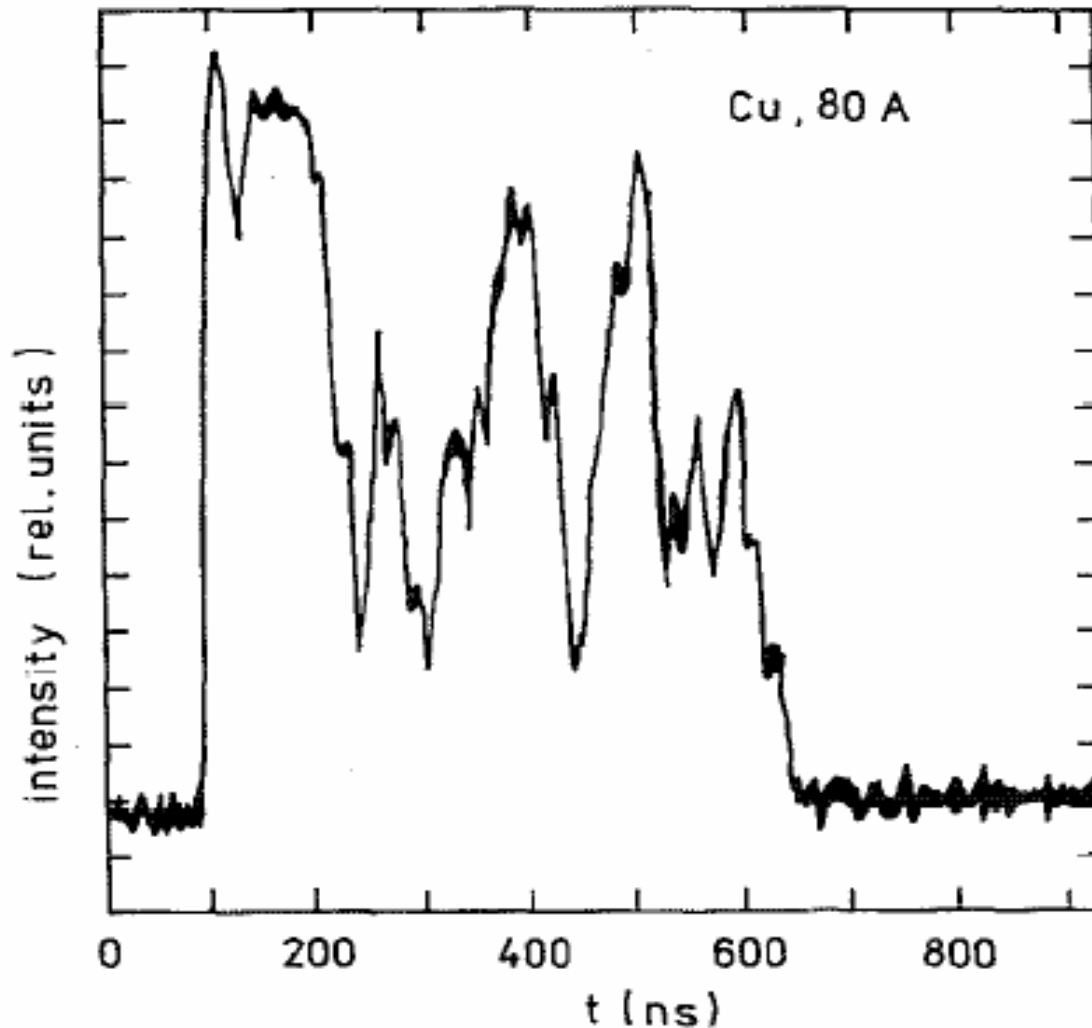
- Not all noise is equal!
- “Colored” noise ( $\beta=0$  white,  $\beta=1$  pink,  $\beta=2$  brown, and  $\beta>2$  black)



James A. DeYoung,  
<http://tycho.usno.navy.mil/>

$$\text{Spectral Power} \sim \left( \text{FFT}(A) \right)^2 \sim f^{-\beta}$$

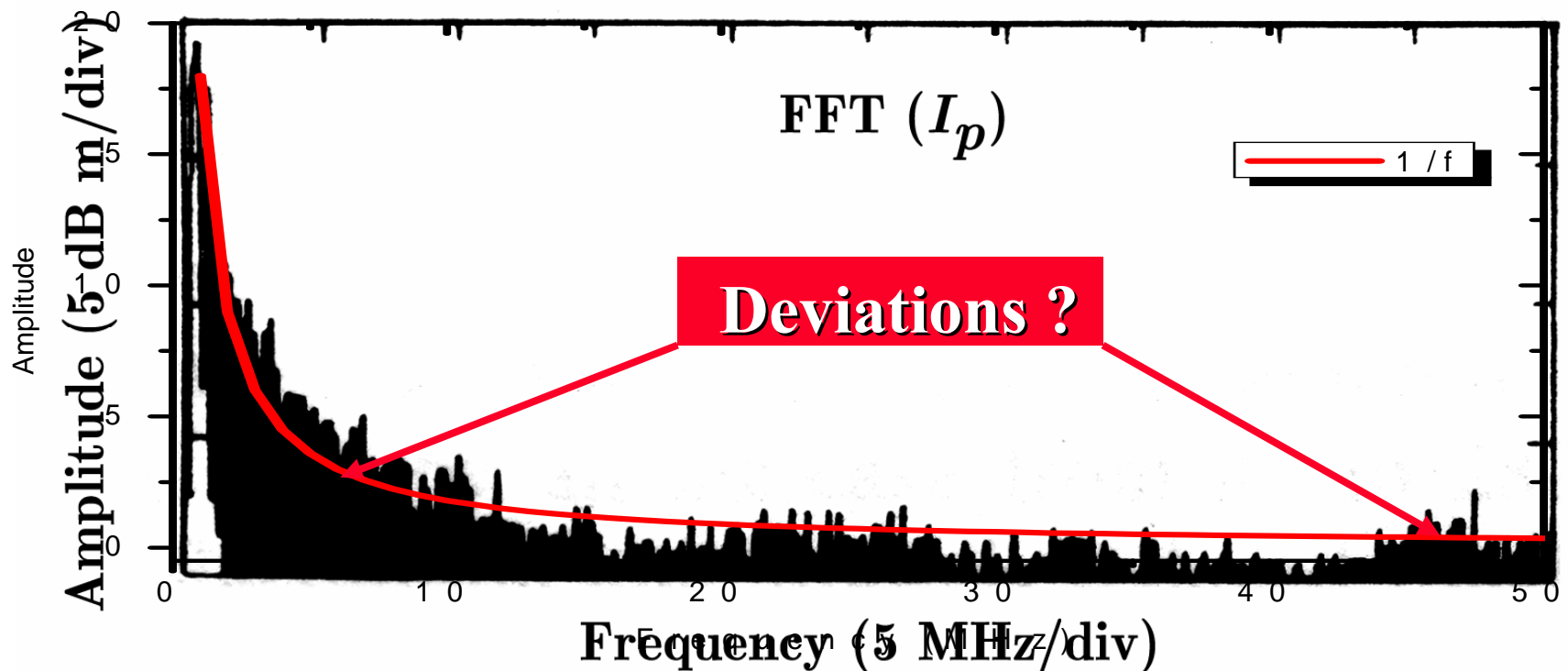
# Typical “Noise” of Plasma Parameters



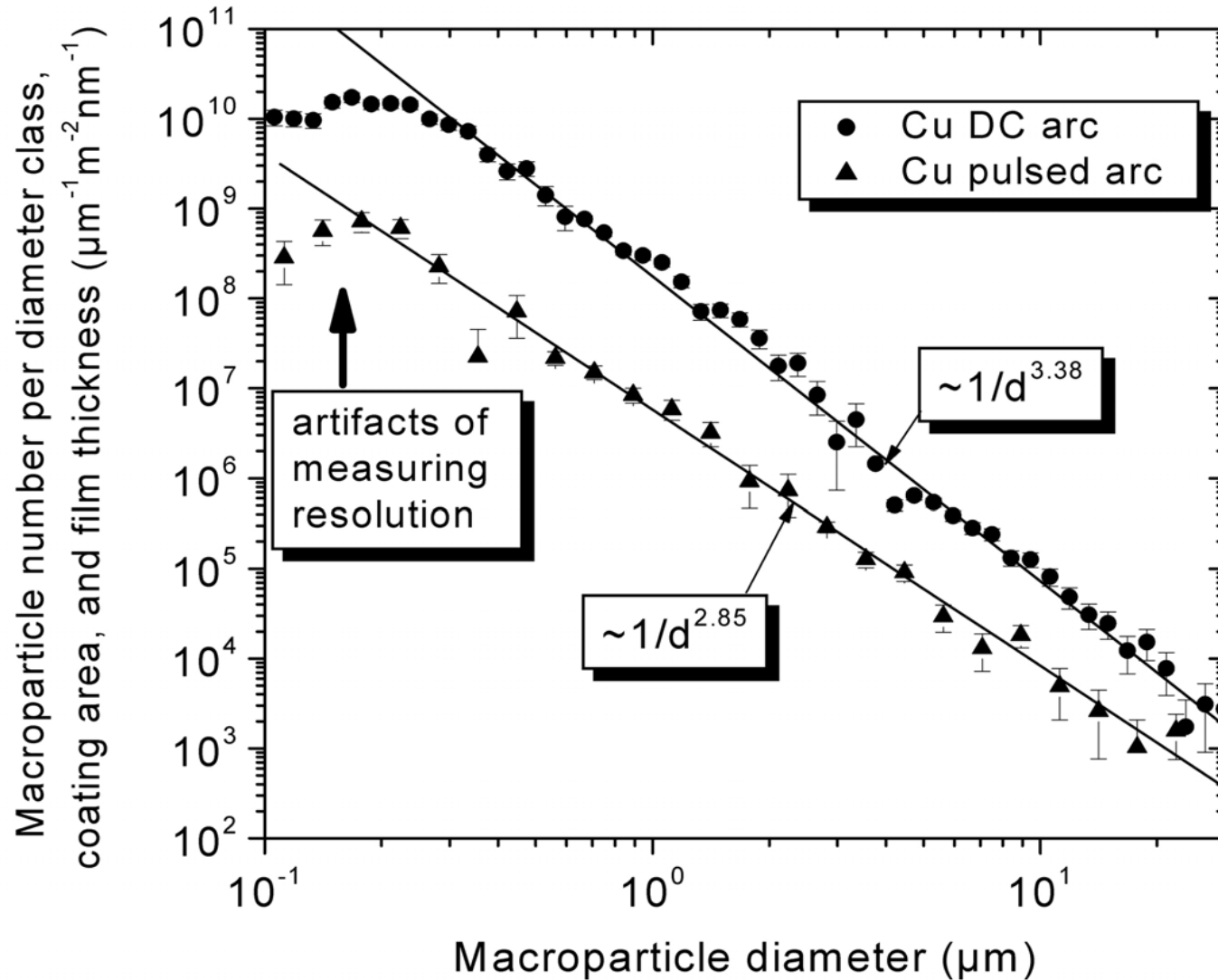


# Fourier Transform Analysis

- In the limit of small current: one visible spot
- $1/f^2$  (power) noise of light & ion current for  $f < 10$  MHz
- one needs to use log-log presentation to see physics

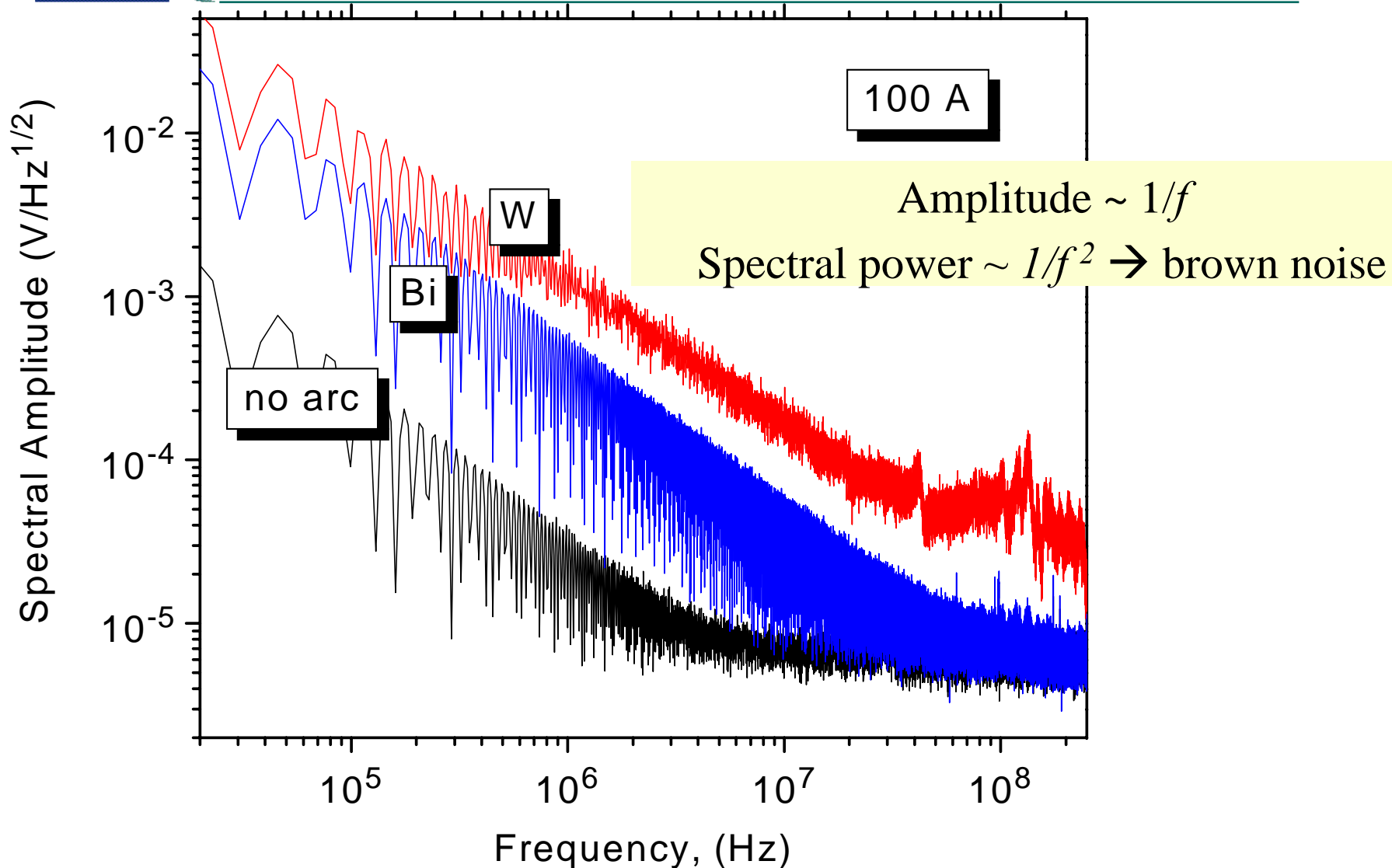


# Fractal Macroparticle Distribution

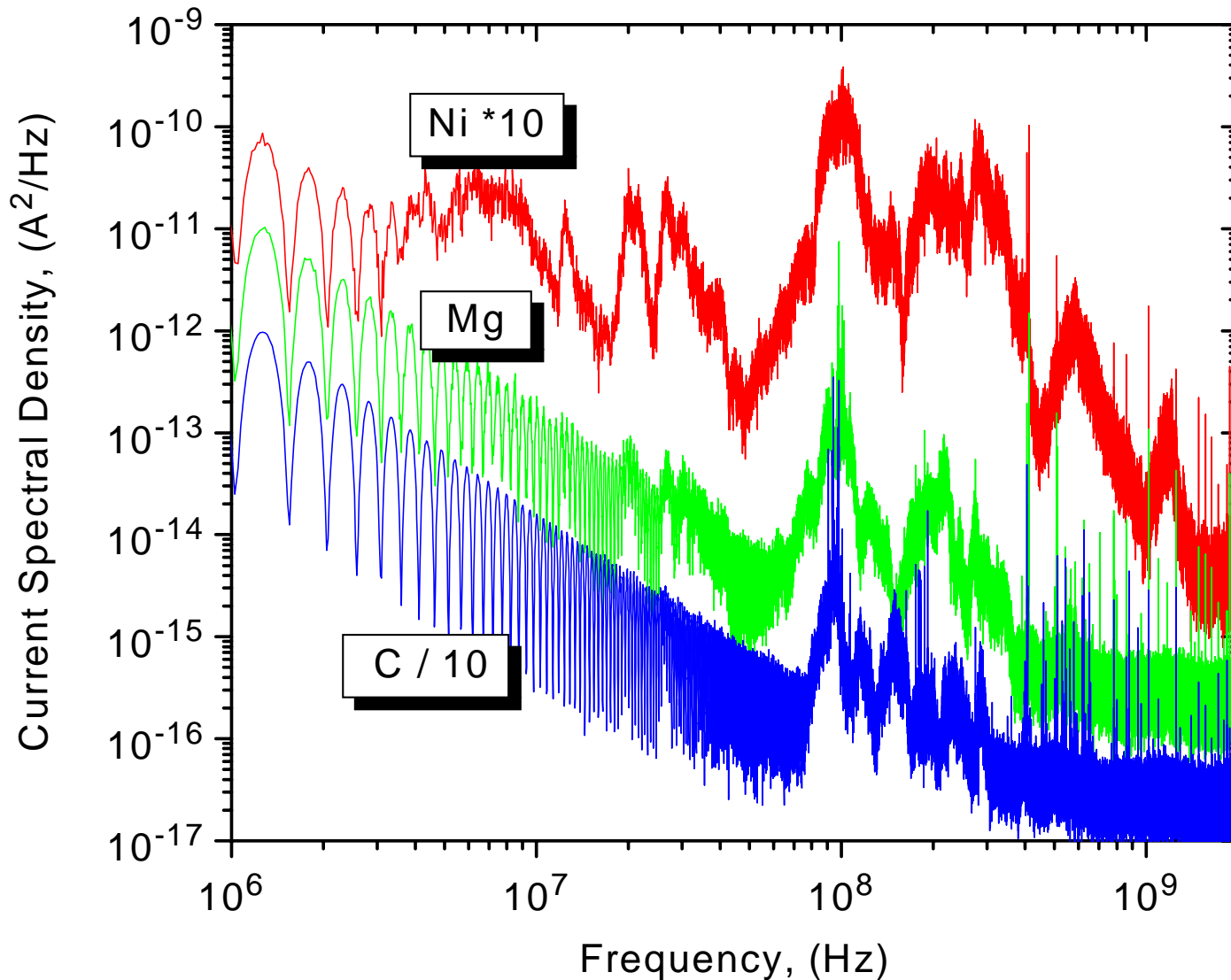


A. Anders, IEEE Trans. Plasma Sci. **33**, no.5 (2005).

# Fractal Voltage



# “Ecton Cutoff” of Fractal Model



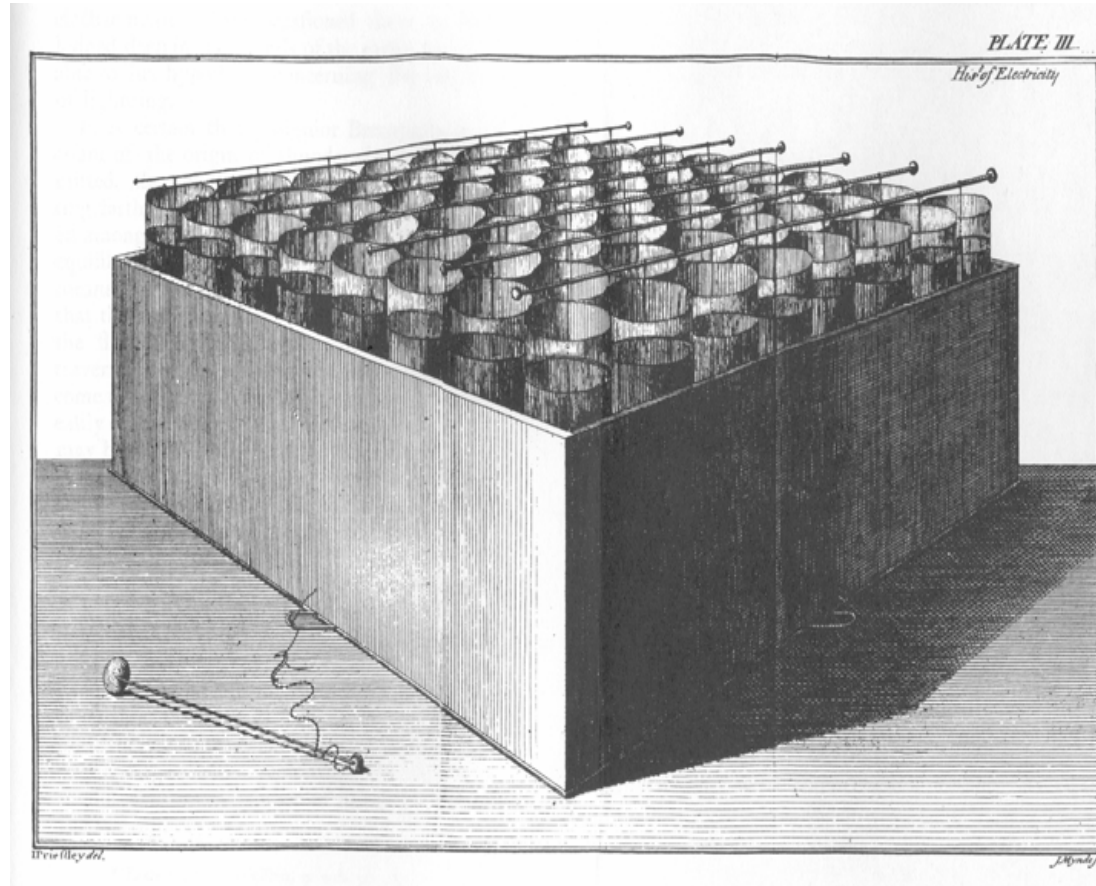
# Conclusions from Fractal Concept

- Cathodic arc has many self-similar features in time and space: fractal model is not only appropriate but a means a consolidating conflicting theoretical approaches
- Numerous power laws; giving linear slope when using log-log presentation; slope ,may be interpreted as a fractal dimension for the phenomenon
- For many of the noisy parameters, the spectral density is  $\sim 1/f^2$ , indicating “brown noise”
- Current noise shows ‘ecton peaks’ – cutoff for a physical fractal model.

# History

# 1766 - Joseph Priestley

- First cathodic arc coating (in air)
- discharge of a bank of Leyden jars through a brass chain
- arcs between each link of the chain
- deposit on glass is well adherent
- observed Newton's rings (oxide films)
- found black coating (copper oxide)



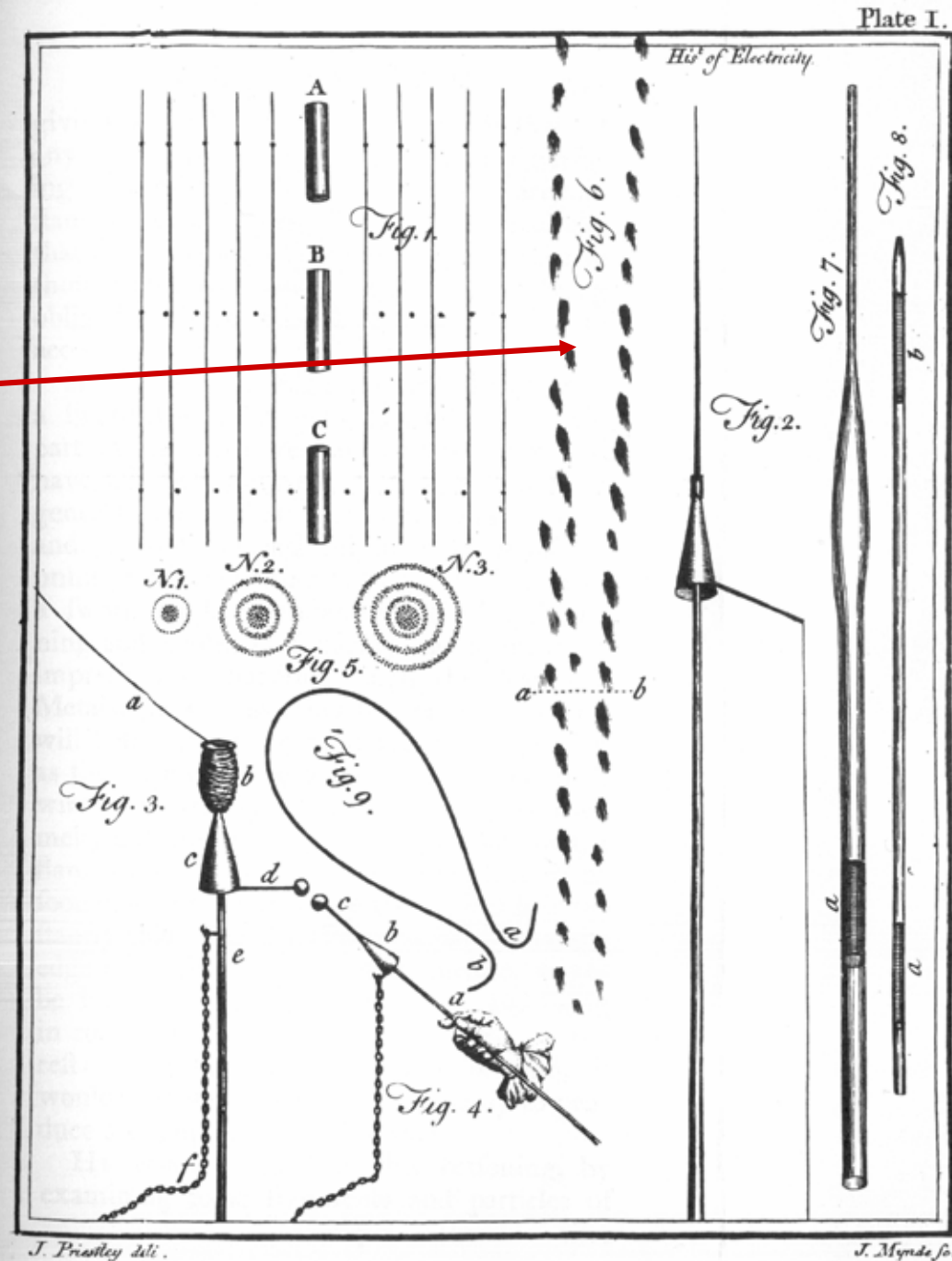
J. Priestley, *The History and Present State of Electricity*, London 1766  
(on arc history) A. Anders, *IEEE Trans. Plasma Sci.* **31**, 1052 (2003)

# 1766 - Joseph Priestley

## Cathodic arc deposition

J. Priestley, *The History and Present State of Electricity*,  
London 1766

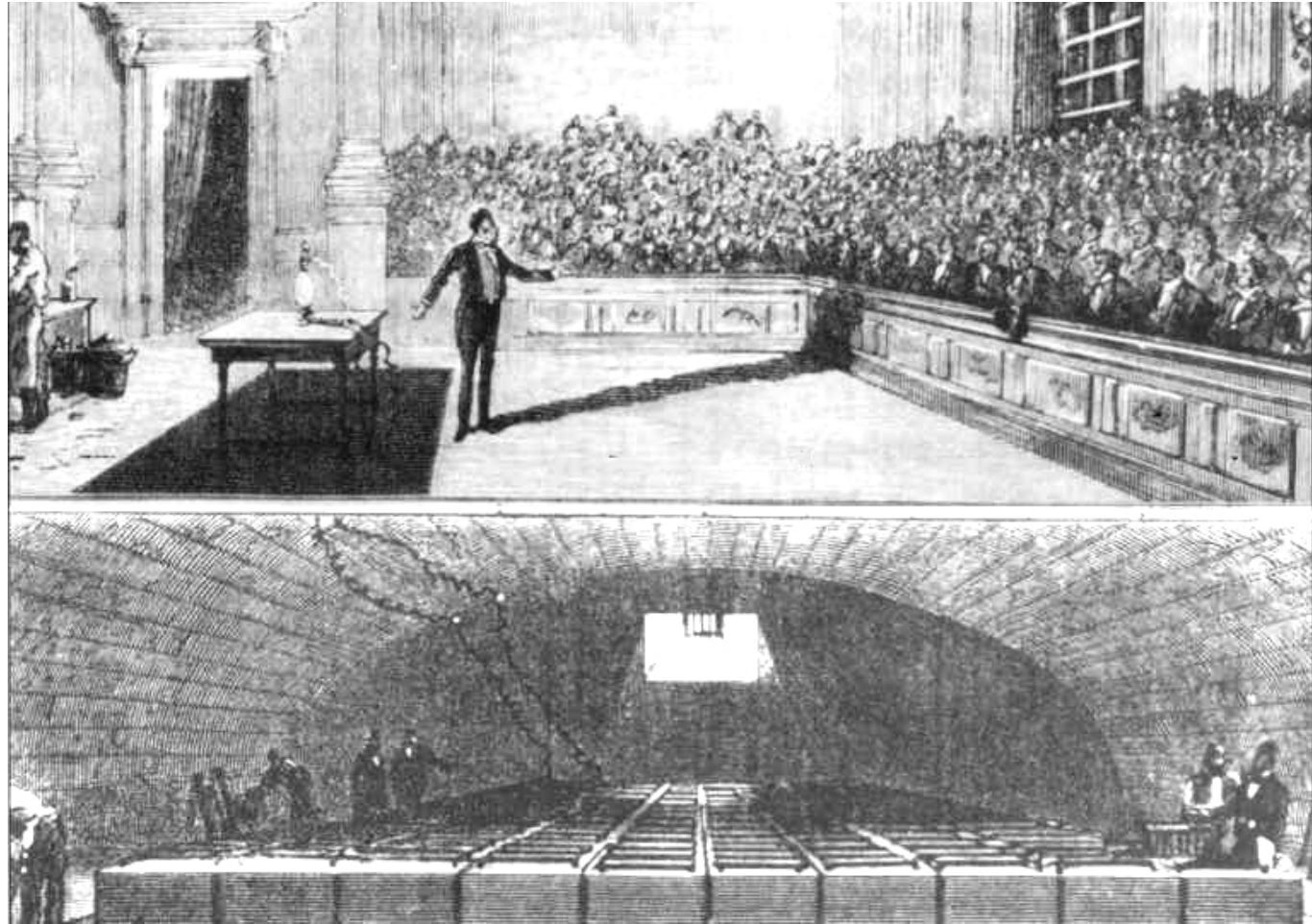
(on arc history) A. Anders, *IEEE Trans. Plasma Sci.* 31, 1052 (2003)





# 1802...1821 - Humphry Davy

- First short arcs in air that were fed by electrochemical battery (1802)
- Continuous arcs in air and in low-pressure vessels (1809)
- Arc demonstrations (1809?-1821)





## 1802/03 Vasilii Petrov

- First continuous arcs in air and at low pressure using an “enormous battery” of 4200 copper-zinc plates
- published only in Russian, his work was unknown or ignored

# ИЗВѢСТІЕ

О

ГАЛЬВАНИ - ВОЛЬТОВСКИХЪ

ОПЫТАХЪ,

которые производилъ

*Профессоръ Физики Василій Петровъ,*

посредствомъ огромной наипаче бат-  
тереи, состоявшей иногда изъ 4200  
мѣдныхъ и цинковыхъ кружковъ, и на-  
ходящейся при Санкт - Петербургской  
Медико - Хирургической Академіи.

---

ВЪ САНКТ-ПЕТЕРБУРГѢ;

Въ Типографіи Государственной Ме-  
дицинской Коллегіи, 1803 года.

# 1877 - Arthur W. Wright

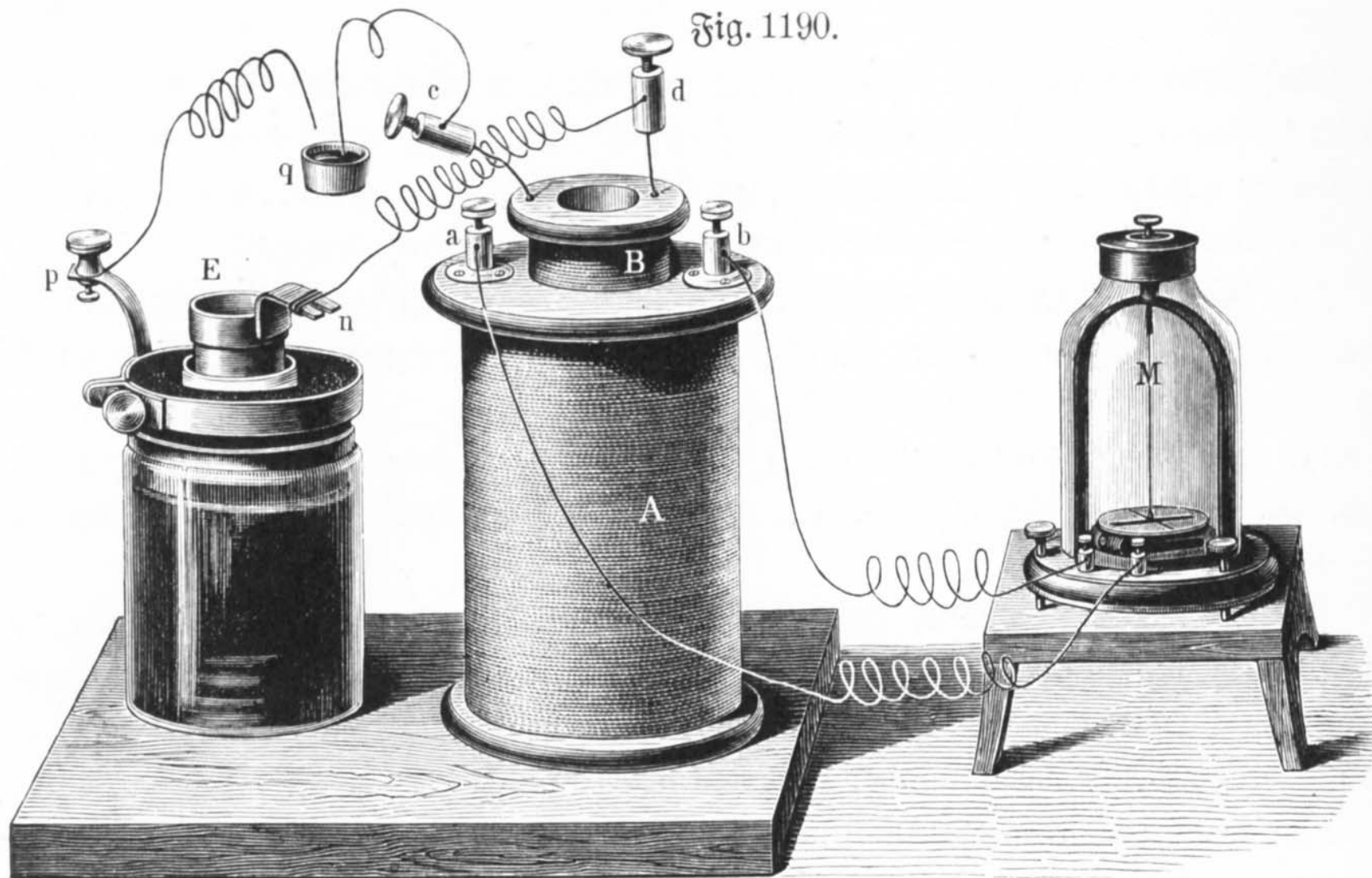
- Possibly a report on cathodic arc plasma deposition but more likely on pulsed sputtering:
  - inductive energy storage for pulsed arc
  - numerous cathode elements: Pt, Au, Co, Bi, Pd, Pb, Al, Sn, Mg, Zn, Cd, Ni, Co, Te, Fe
  - describes the different stability of films in atmosphere

(unfortunately, no figure in paper)

A.W. Wright, American J. Sci. - Third Series, vol. XIII, no. 78 (1877) 49

R.L. Boxman, IEEE Trans. Plasma Sci. 29 (2001) 759-761

# 1877 - Arthur W. Wright







# 1888-1892: Edison's phonogram patent

## UNITED STATES PATENT OFFICE.

THOMAS A. EDISON, OF LLEWELLYN PARK, NEW JERSEY, ASSIGNOR TO  
THE EDISON PHONOGRAPH COMPANY, OF NEW JERSEY.

### PROCESS OF DUPLICATING PHONOGRAMS.

SPECIFICATION forming part of Letters Patent No. 484,582, dated October 18, 1892.

Original application filed January 5, 1888, Serial No. 259,895. Divided and this application filed January 30, 1888. Renewed  
March 30, 1892. Serial No. 427,011. (No specimens.)

*To all whom it may concern:*

Be it known that I, THOMAS A. EDISON, of  
Llewellyn Park, in the county of Essex and  
State of New Jersey, have invented a certain  
new and useful Process for Duplicating Pho-  
nograms, (Case No. 751,) of which the follow-

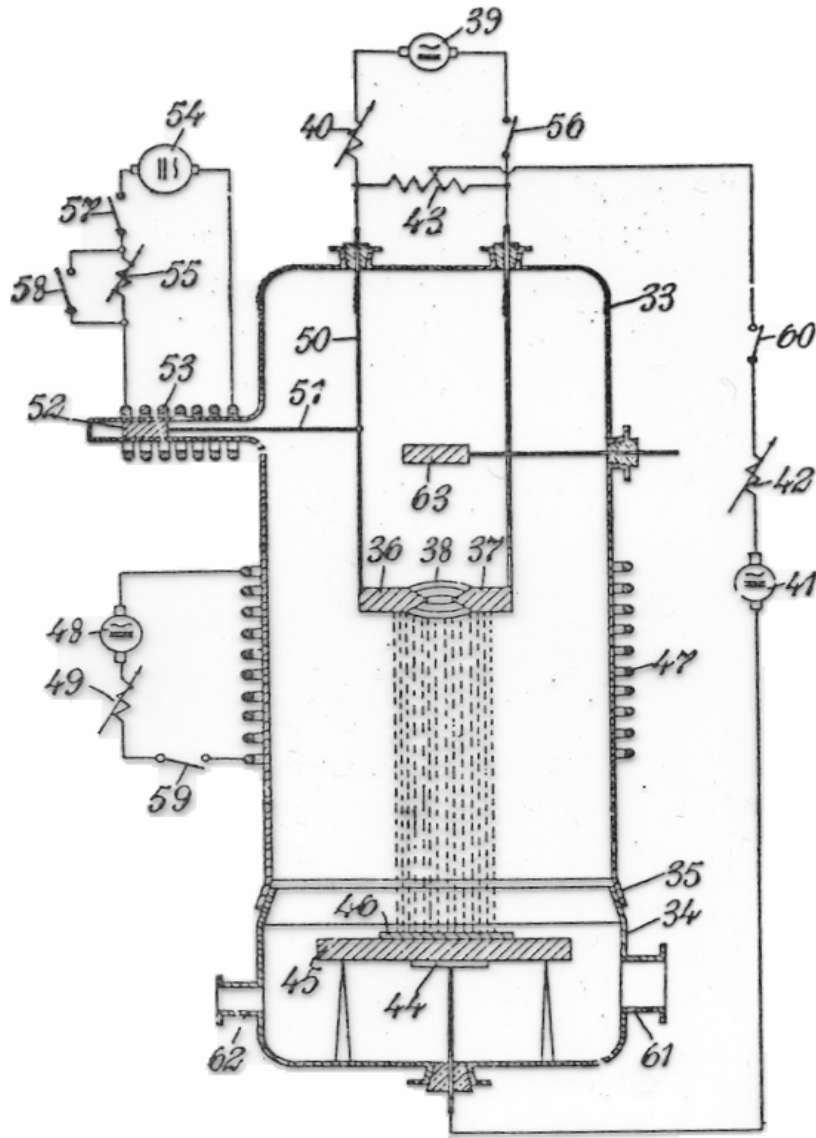
covered by a more rapid process to give  
strength and body to the covering. A fur-  
ther covering of metal may be produced by  
electroplating a metal upon the vacuous de-  
posit in the usual manner of electroplating,  
or the vacuous deposit may be backed up by

Inventor  
Thomas A. Edison,

By his Attorneys  
Dyer & Seely

•First patent  
application granted  
for arc plasma  
deposition  
•limited to  
*continuous* arc that  
(ironically) turned  
out to be not useful  
for coating of  
original wax  
phonograms

# 1939 - Burkhardt and Reinecke



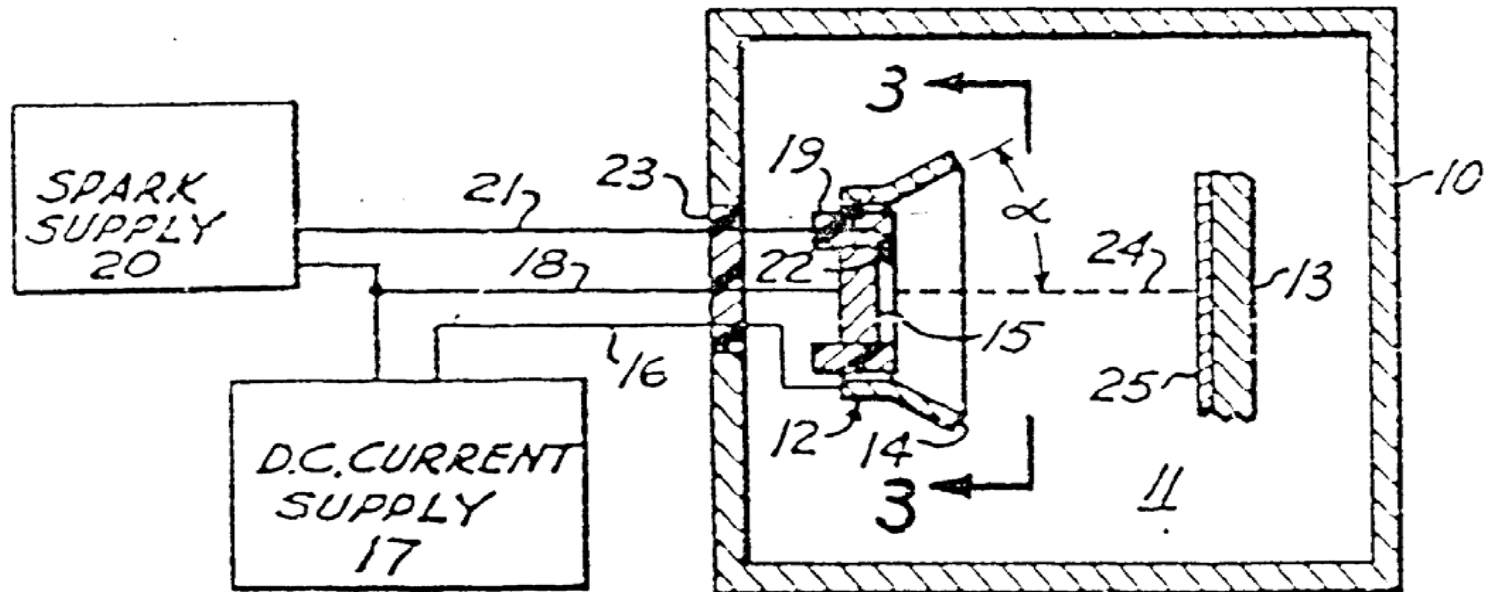
- Consumable electrodes deposited film onto biased or unbiased substrates.

W. Burkhardt and R. Reinecke,  
US 2,157,478: Method of coating  
articles by vaporized coating materials.

# 1971 - Snaper

- Arc deposition process and apparatus.
- Cathodic arc source incorporated into a single device.
- Insulator arc travel confinement.
- Line-of-sight process.
- Discrete anode (not chamber).

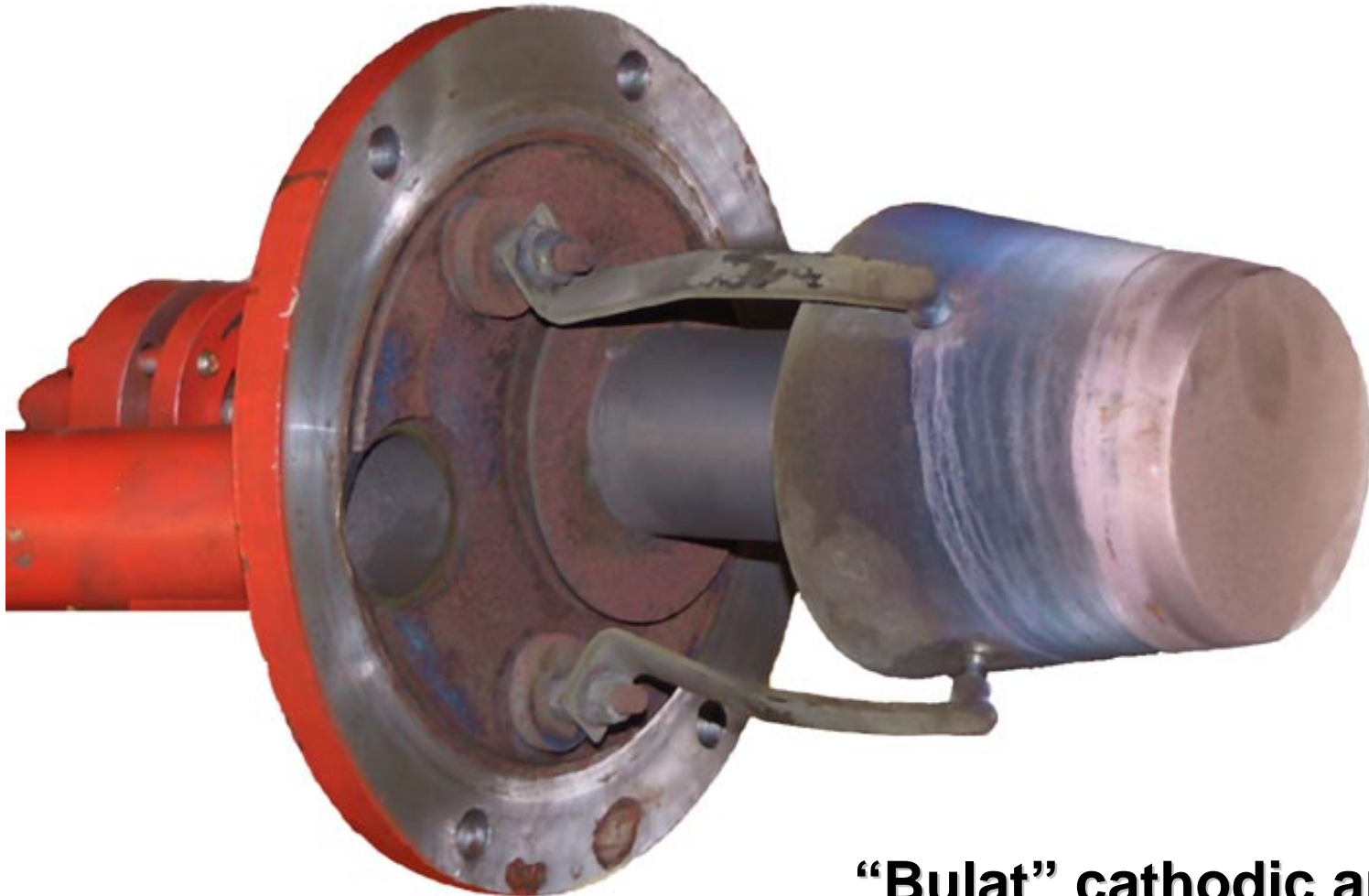
A.A. Snaper, (1974).  
US 3,625,848: Arc deposition  
process and apparatus.





## Soviet Union in 1970s

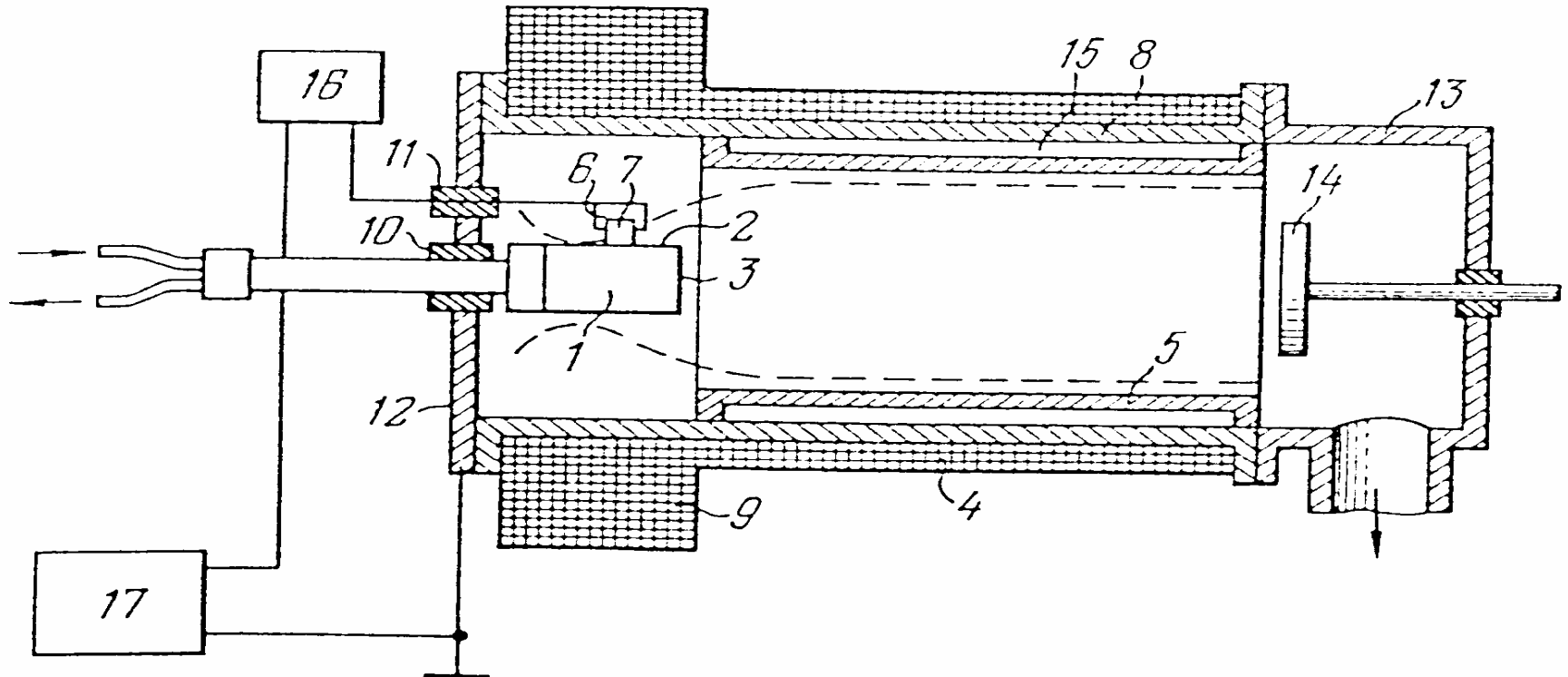
- State-run development of eventually 10,000 arc source



**“Bulat” cathodic arc source**

## 1978, 1985 - Axenov et al.

- First major use of magnetic field control of coating particles leading the way to curvilinear devices for macroparticle control.
- Arc confinement on cathode face with magnetic fields.
- Chamber as anode.
- Line-of-sight process, and also non-line-of-sight processes.



# Arc Plasma Sources & Macroparticle Filters

# DC Arc Source : Steered Arc

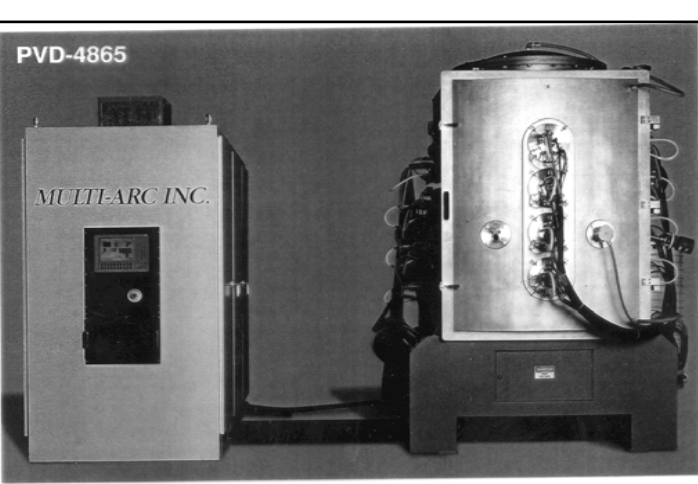
movie



# Batch versus Linear Processing

## □ Batch Systems

- *low to moderate production volume*
- *lower cost to manufacture*
- *good cycle to cycle inspection and maintenance of sources and inner chamber components (liners)*
- *greater operator interface handling substrates*



**Ion Bond**



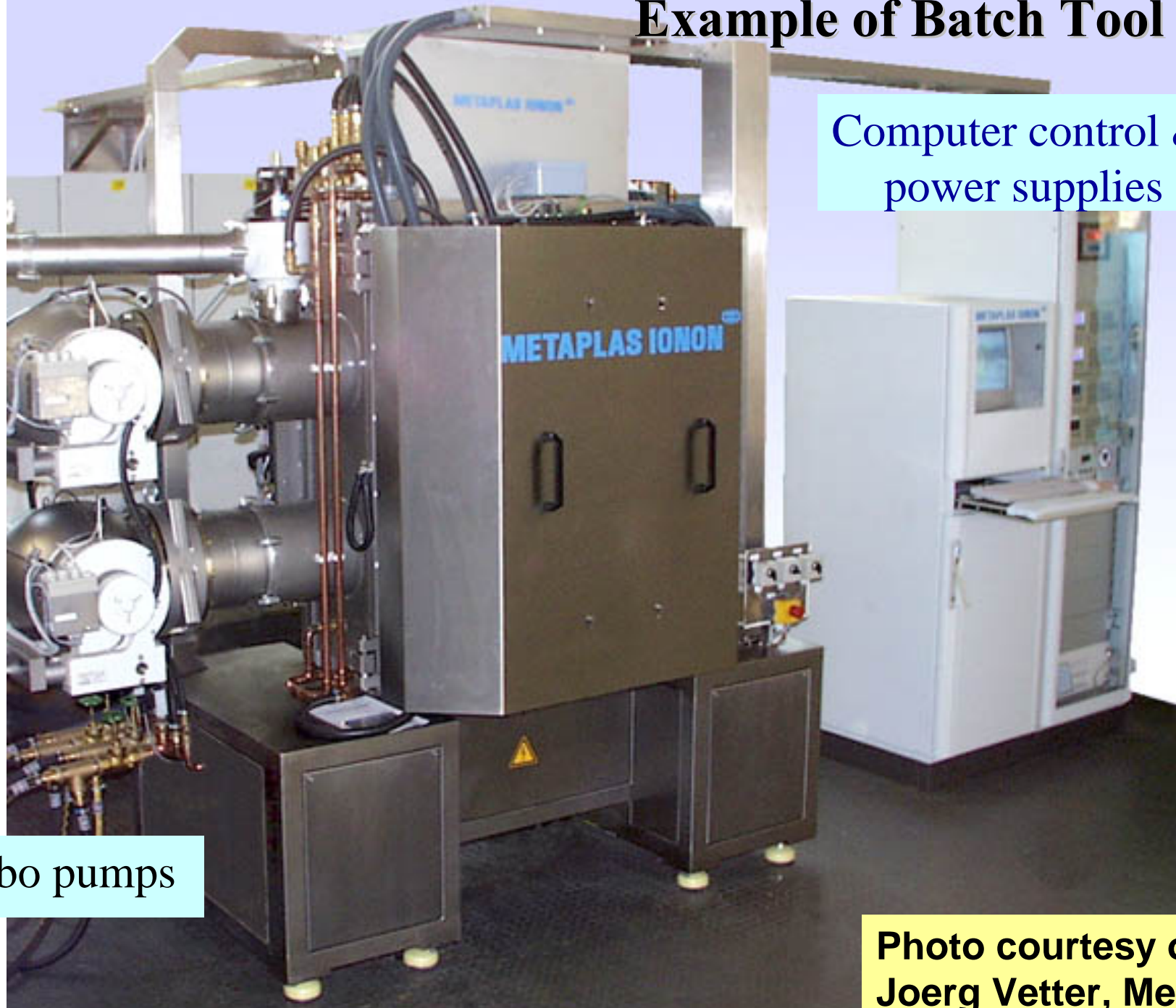
**Hauser**



**Vergason**

# Example of Batch Tool

Computer control &  
power supplies



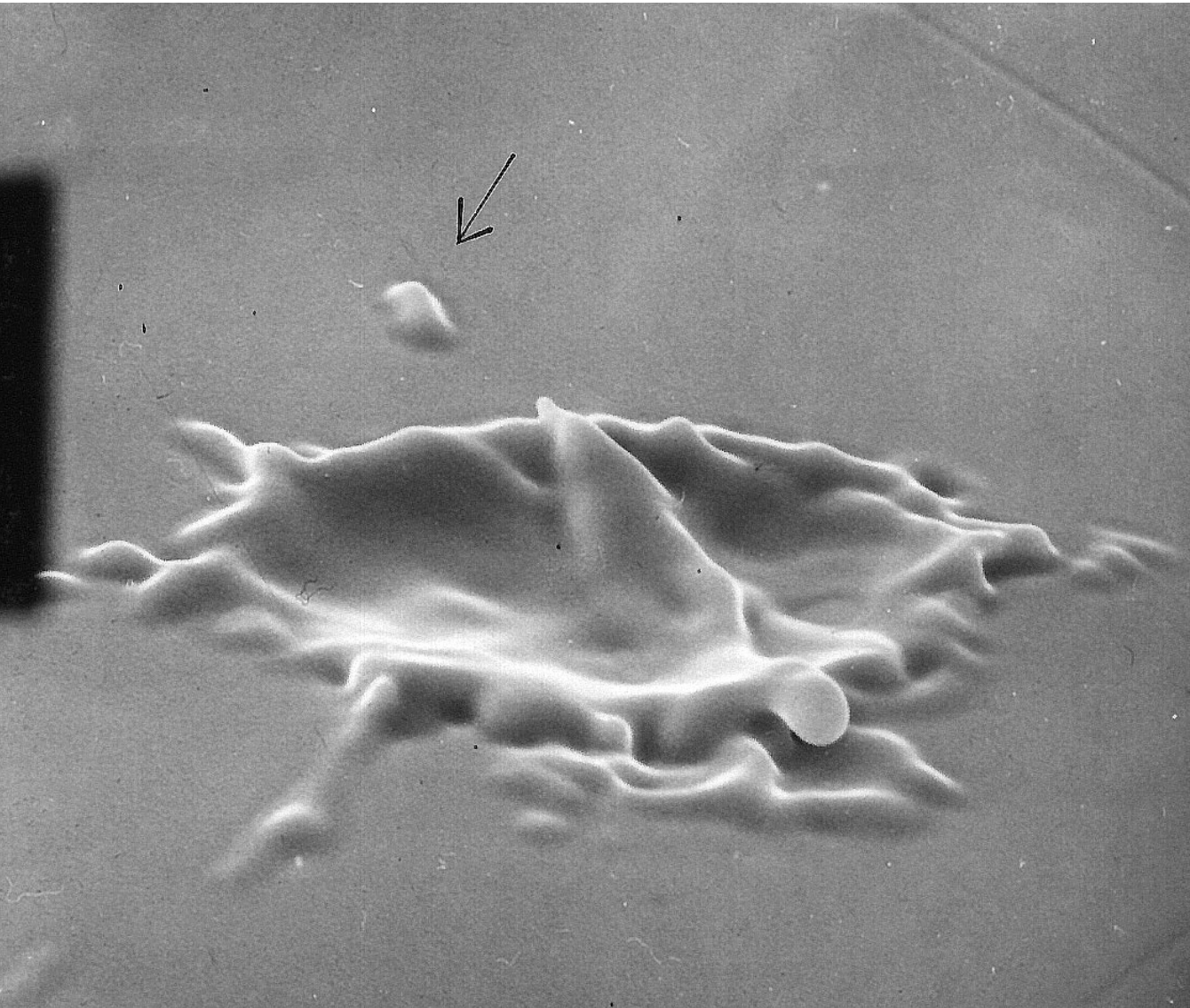
Turbo pumps

Photo courtesy of  
Joerg Vetter, Metaplas

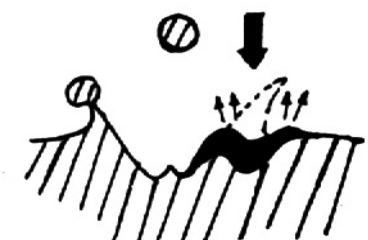
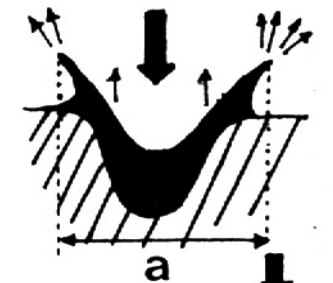
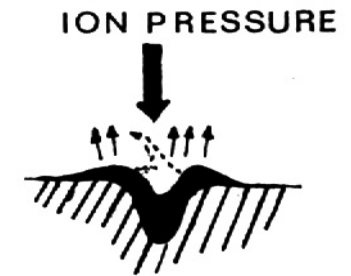
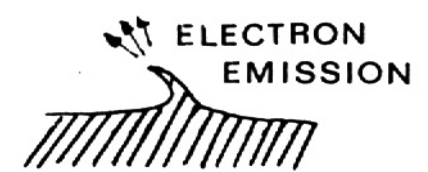




# Macroparticle Generation

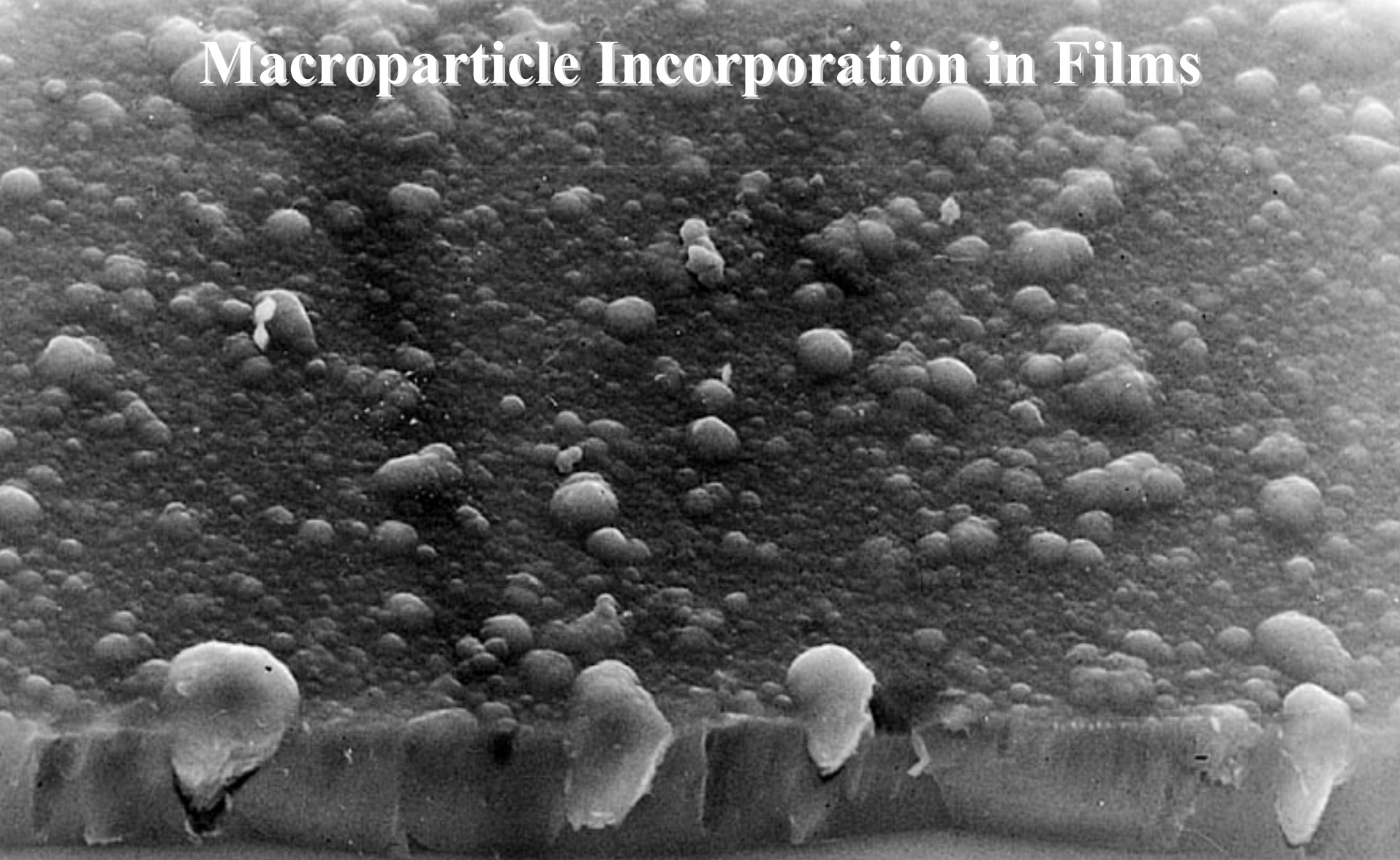


10 ns, Mo, Figure courtesy of B. Jüttner





# Macroparticle Incorporation in Films



Courtesy of D. Drescher, see: D. Drescher, et al., Diamond Rel. Mat. 7 (1998) 1375

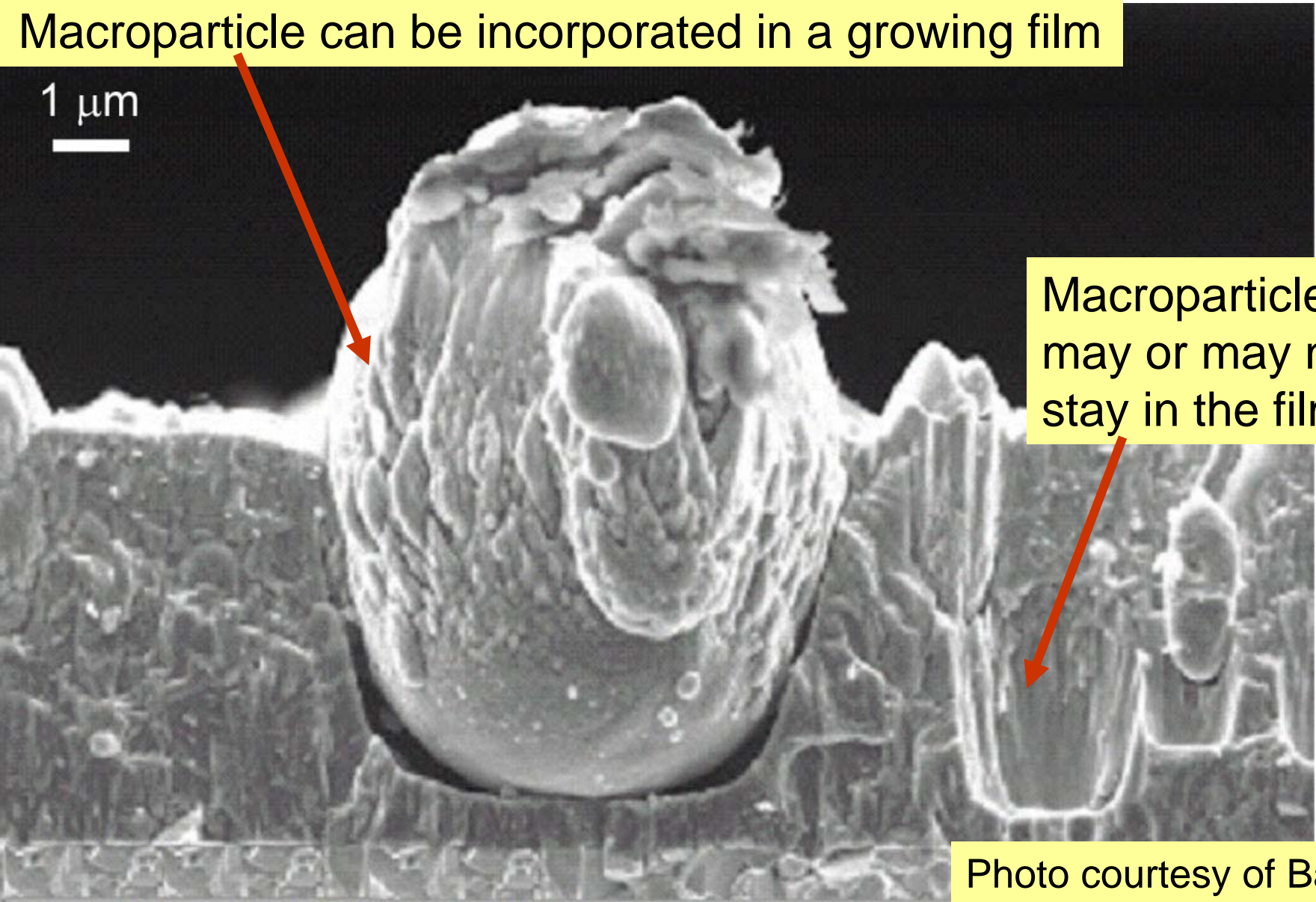
001722 10KV X5,000 21mm 1µm



# Defect Formation by Incorporation of Macroparticles

Macroparticle can be incorporated in a growing film

1  $\mu\text{m}$



Macroparticle may or may not stay in the film

Photo courtesy of Balzers

*Er*

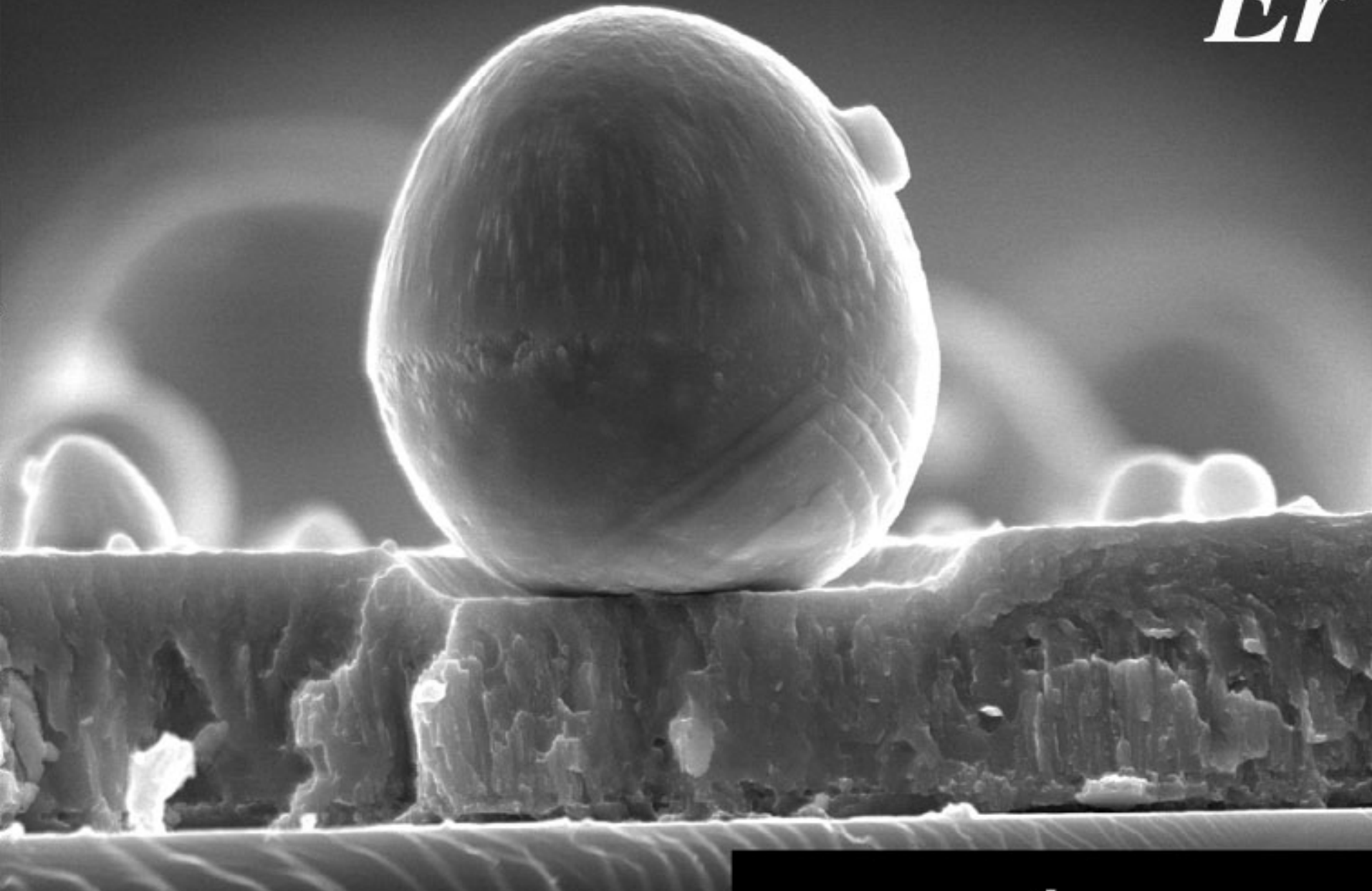
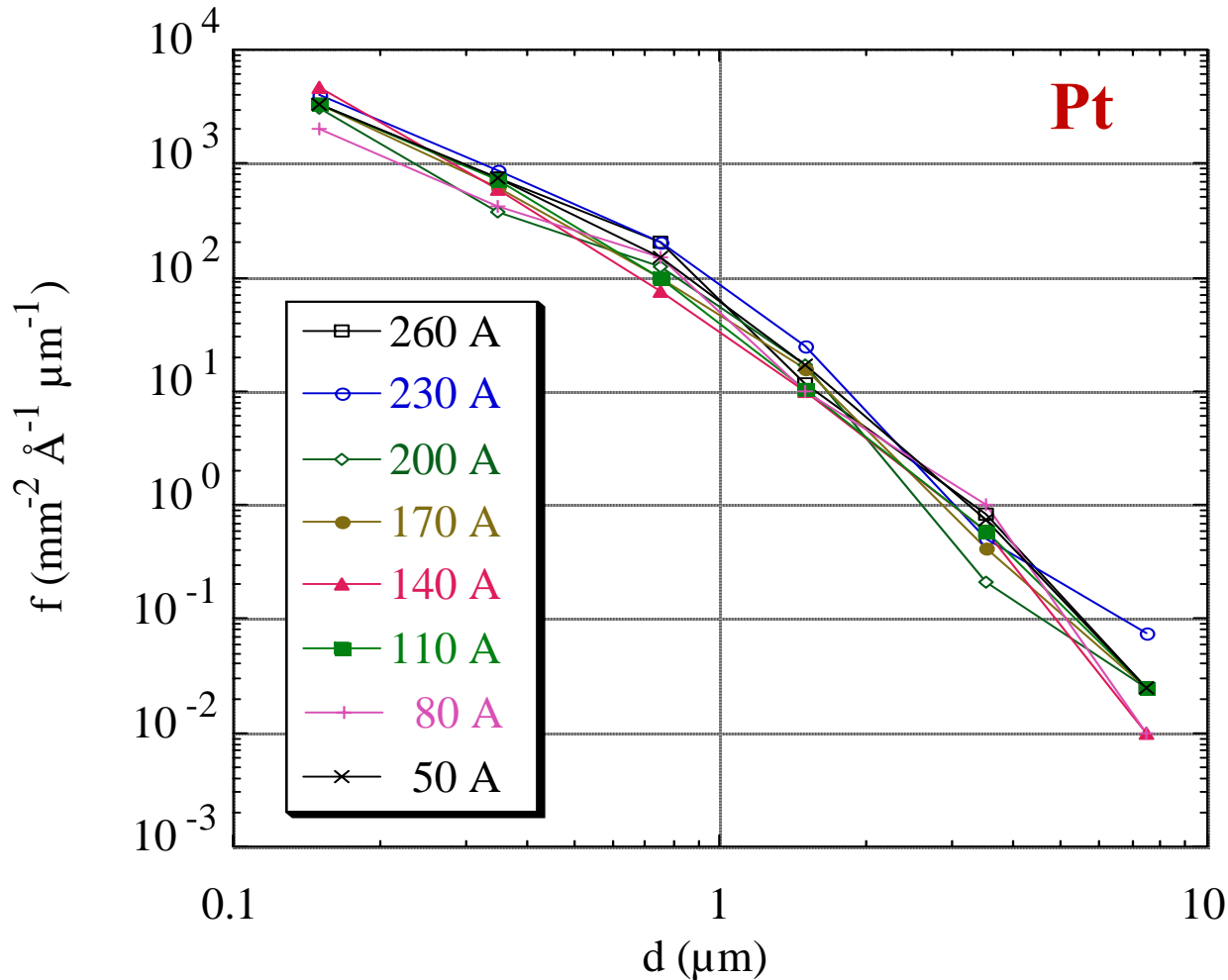


Photo courtesy of B. Wood, Los Alamos, NM

— 1 μm

# Macroparticle Distribution

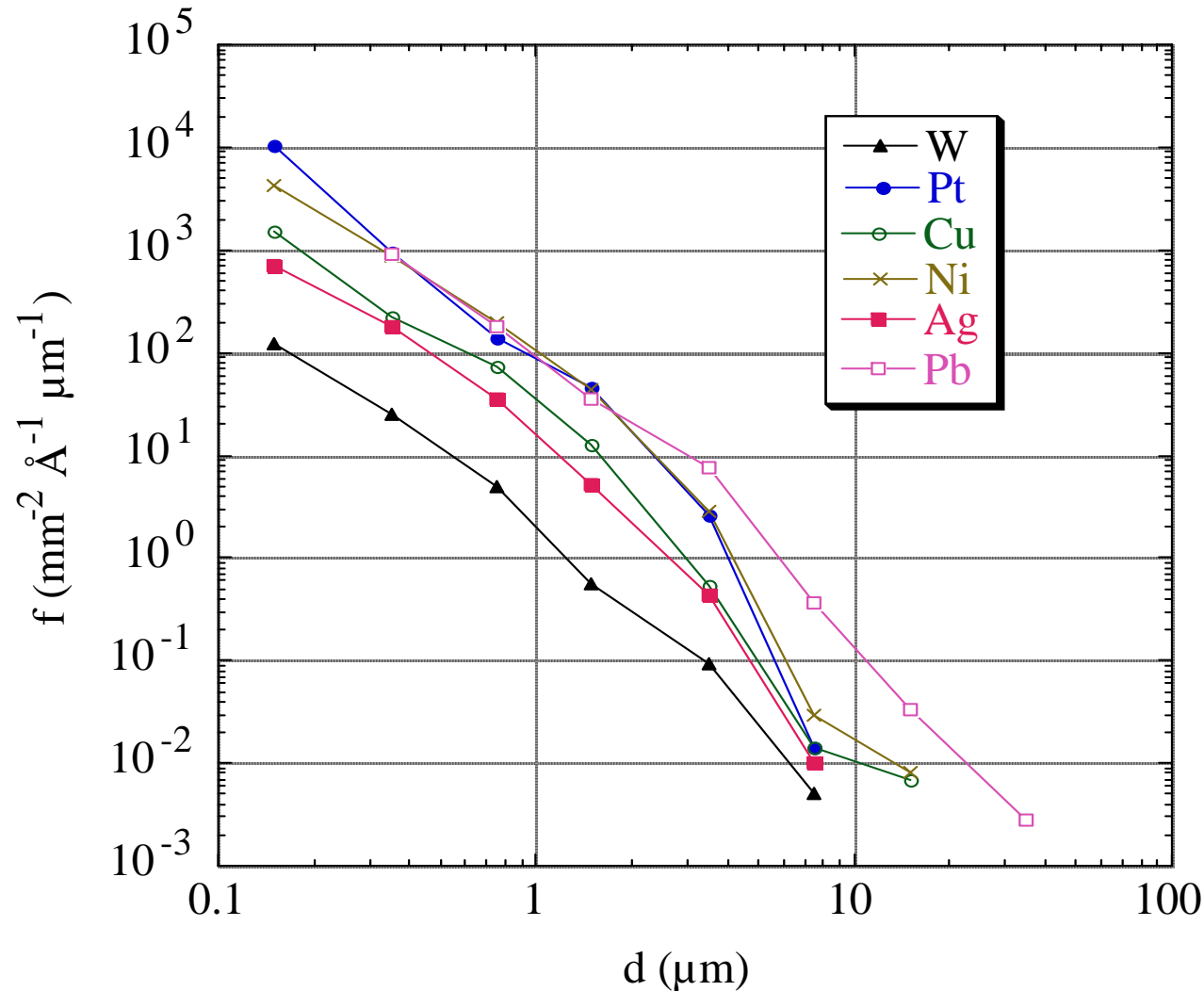
- Macroparticle generation does not depend on arc current:





# Macroparticle Distribution

- Materials of lower melting point have larger macroparticles
- Lower end of distribution is determined by instrumentation



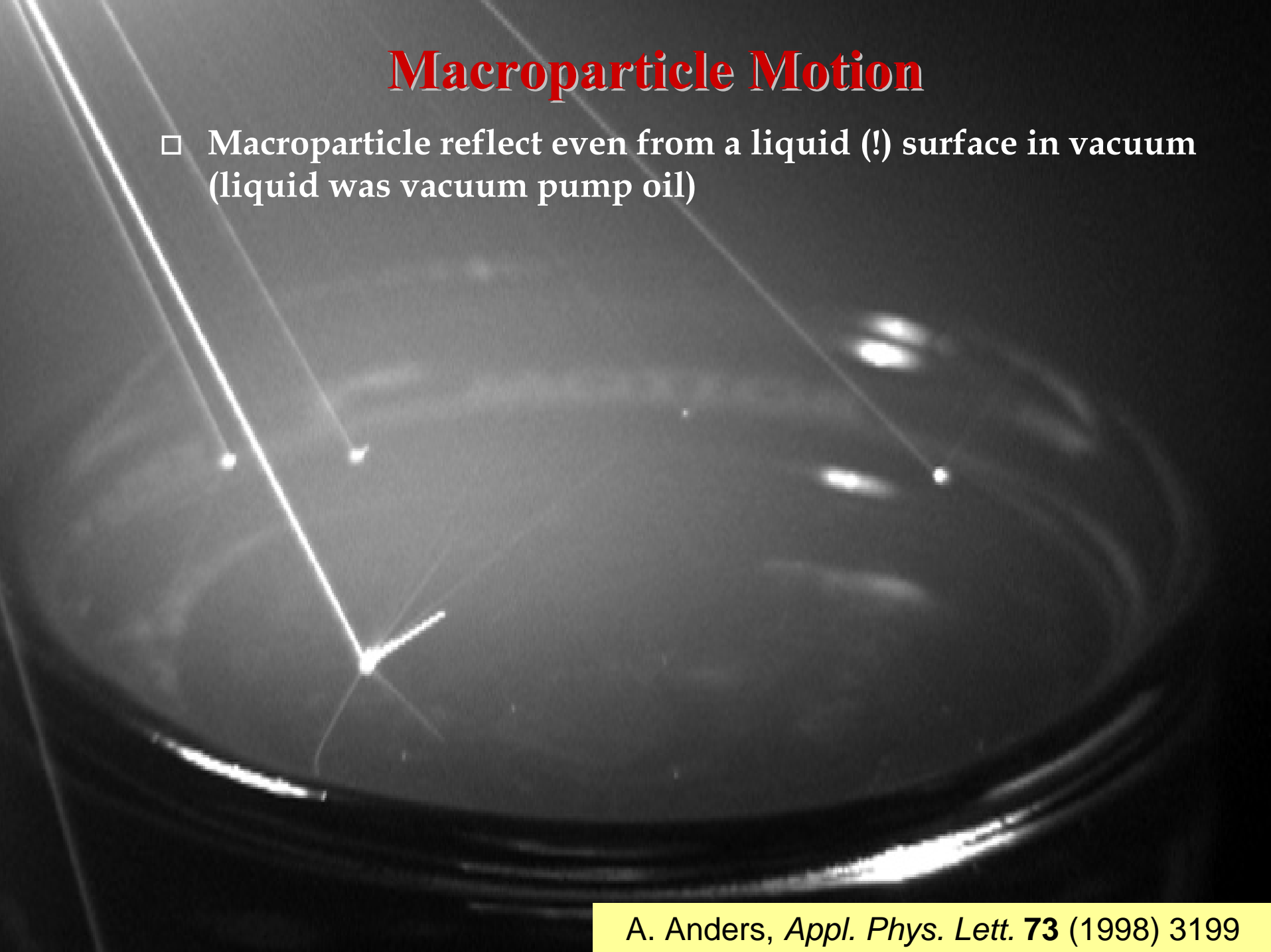
# Macroparticle Motion

- Macroparticles may (or may not)
  - stick to walls or reflect or “bounce” from surfaces
  - Fracture when hitting a surface

Example: Carbon macroparticle reflection and fracture

# Macroparticle Motion

- Macroparticle reflect even from a liquid (!) surface in vacuum (liquid was vacuum pump oil)







# Macroparticle Removal by Magnetic Filtering

## ELECTRONS

- *Electrons are guided by magnetic field:* they gyrate around and along field lines
- Electron gyration radius
- Electron motion perpendicular to field lines is facilitated by collisions; displacement is about one gyration radius

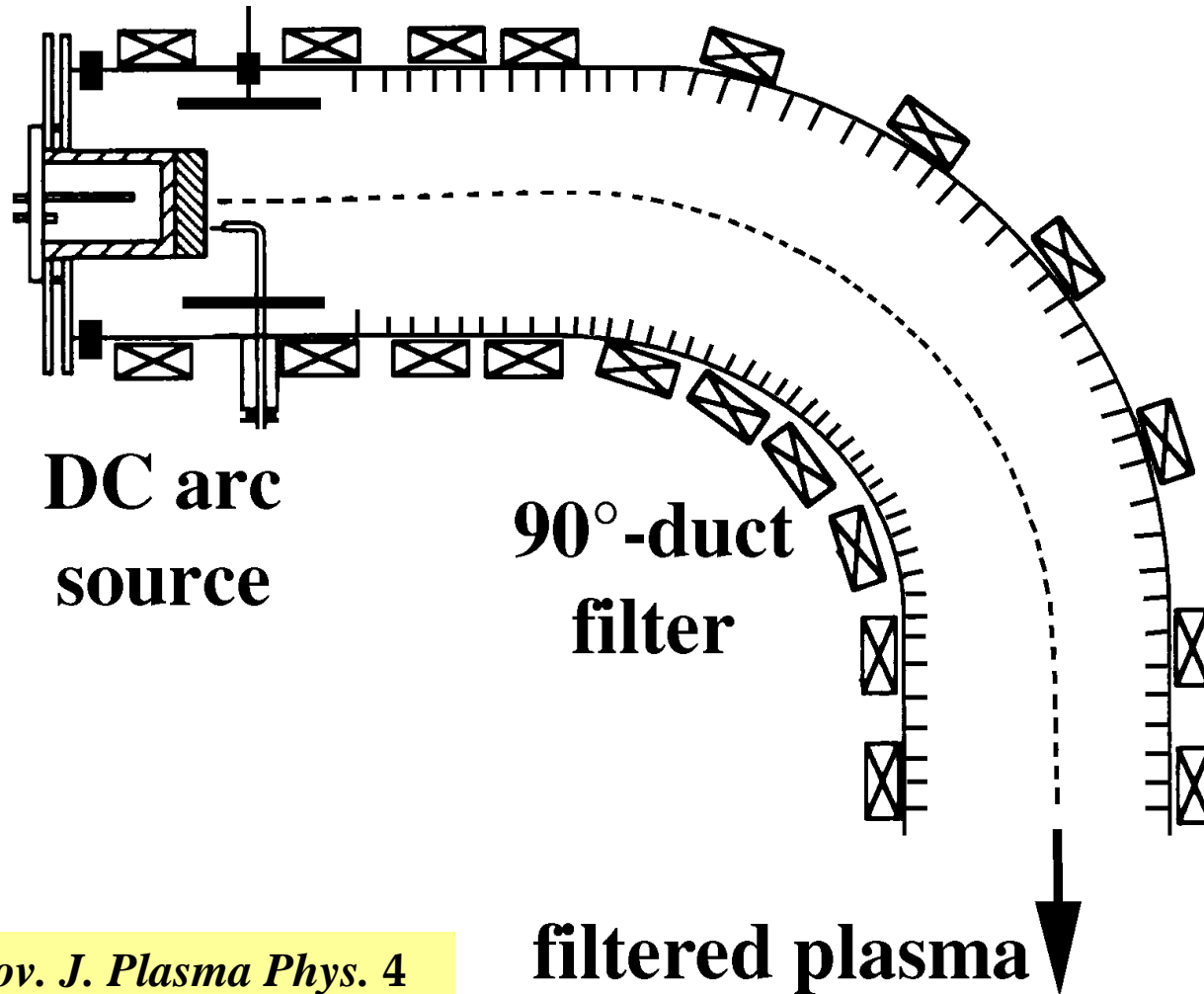
$$r_{c,e} = \frac{v_{\perp}}{\omega_{c,e}} = \frac{m_e v_{\perp}}{e B}$$

## IONS

- Ions cannot be separated from electrons due to electric fields (plasma is quasi-neutral)
- *Ions are guided by electric potential minimum* along magnetic field lines

***Transport of plasma in filters is a combined magnetic and electric mechanism***

# Classic 90° Duct



I.Aksenov et al., *Sov. J. Plasma Phys.* 4  
(1978) 425-428

# Out-of-plane, Double-bent Filter

- ❑ Out-of-plane filter from Nanyang Technical University
- ❑ closed
- ❑ commercial version
- ❑ Shimadzu DLC-MR3CA



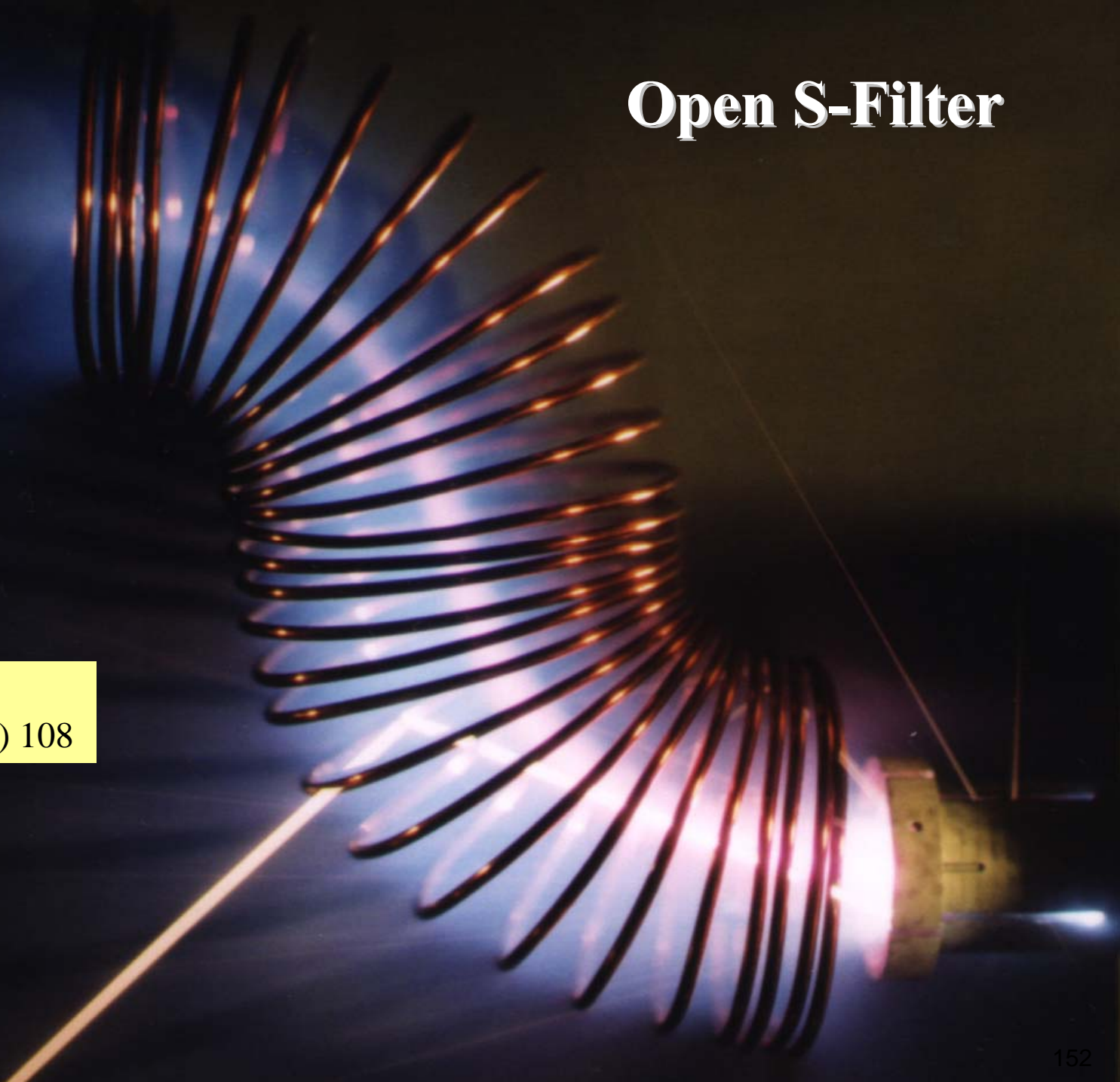
# Open 90° Filter



- Open filter: e.g. freestanding 90° filter:
  - Openings allow macroparticles to leave the filter volume
  - High current required (e.g. arc current in series)

# Open S-Filter

Anders, *IEEE Trans.  
Plasma Sci.* **30** (2002) 108







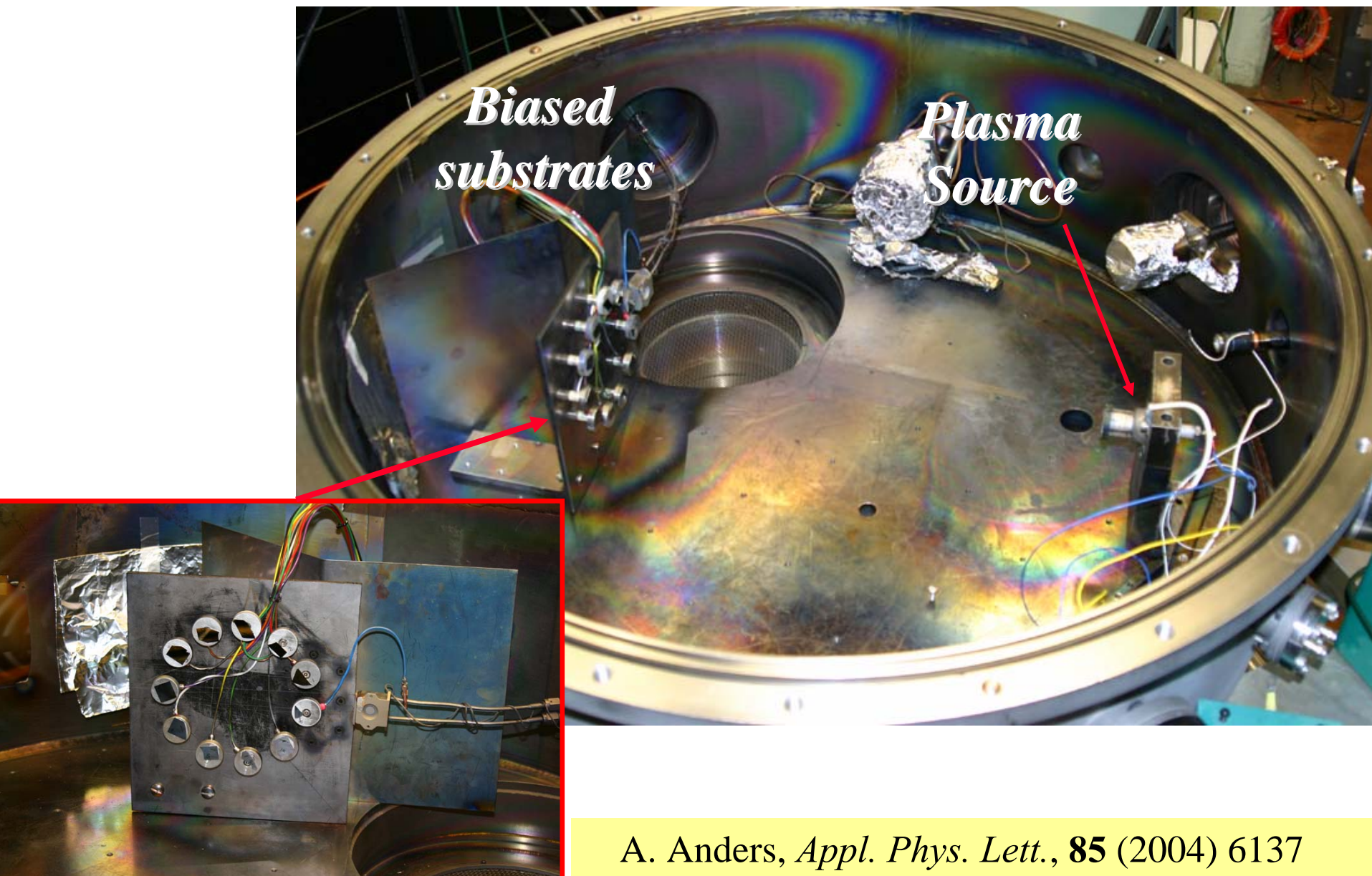
# **“Twist Filter”: Open, Twisted S-Filter**





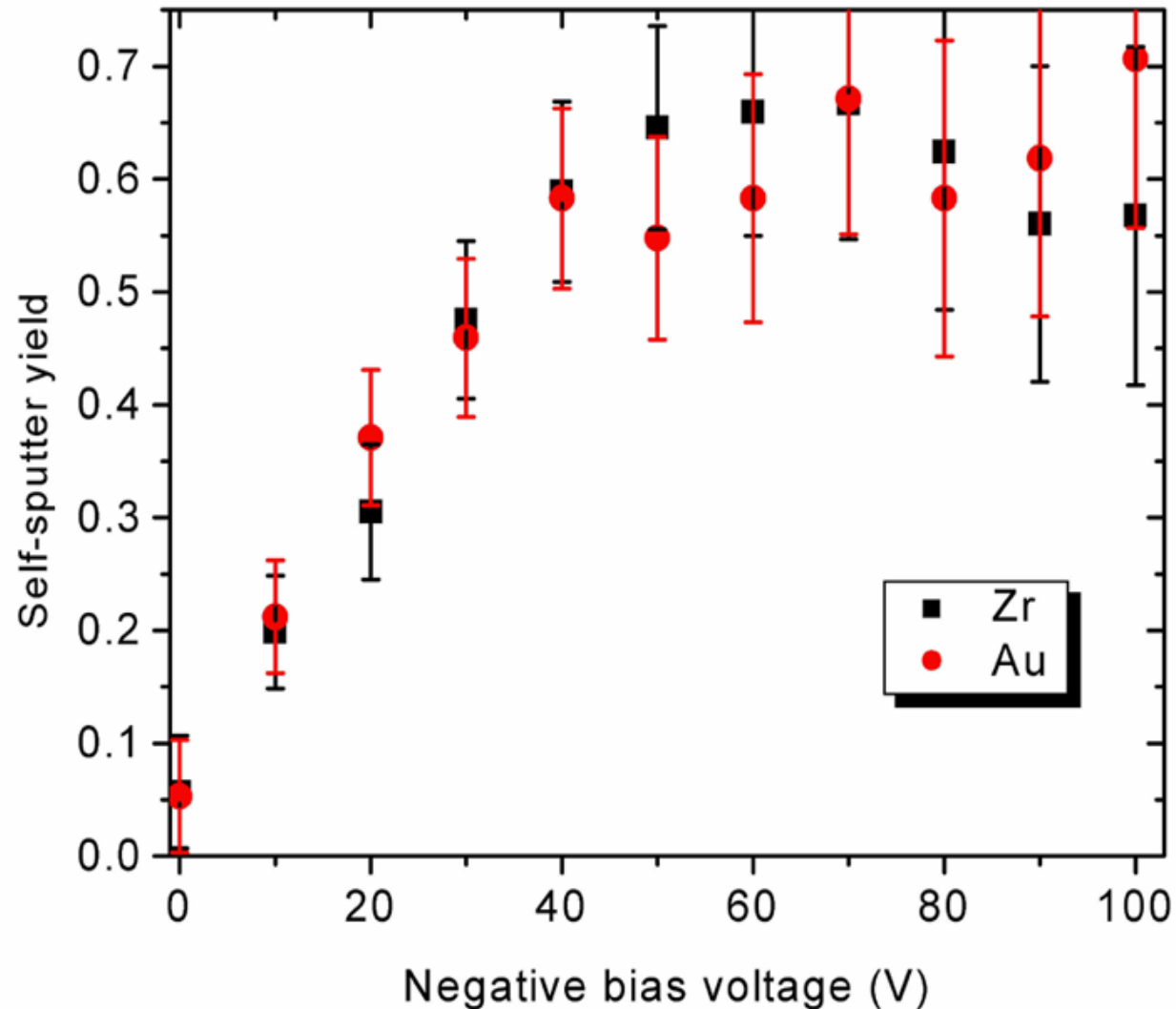
# Energetic Condensation

# Bias-(Energy!)-dependent Condensation

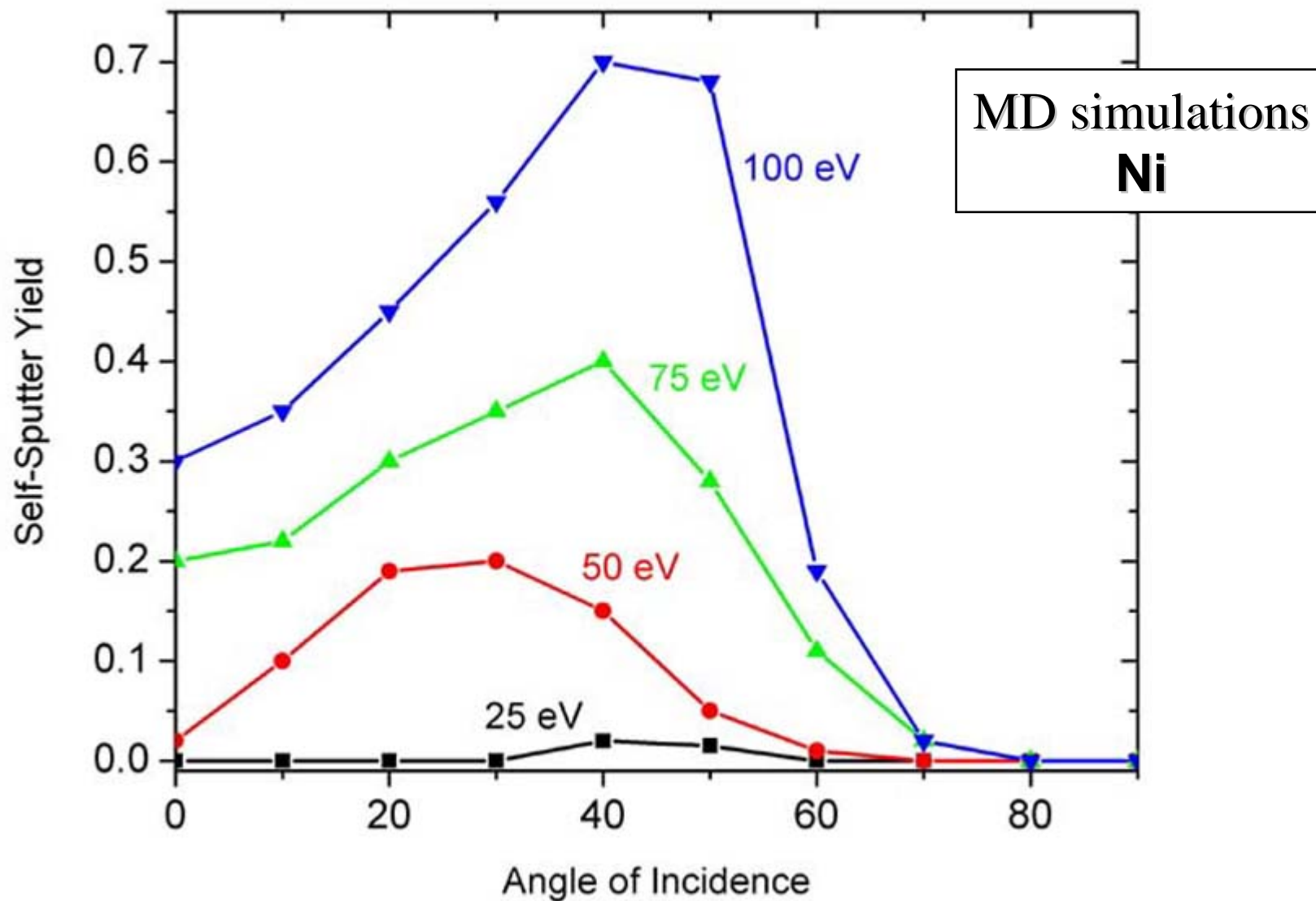


# Bias-(Energy!)-dependent Condensation

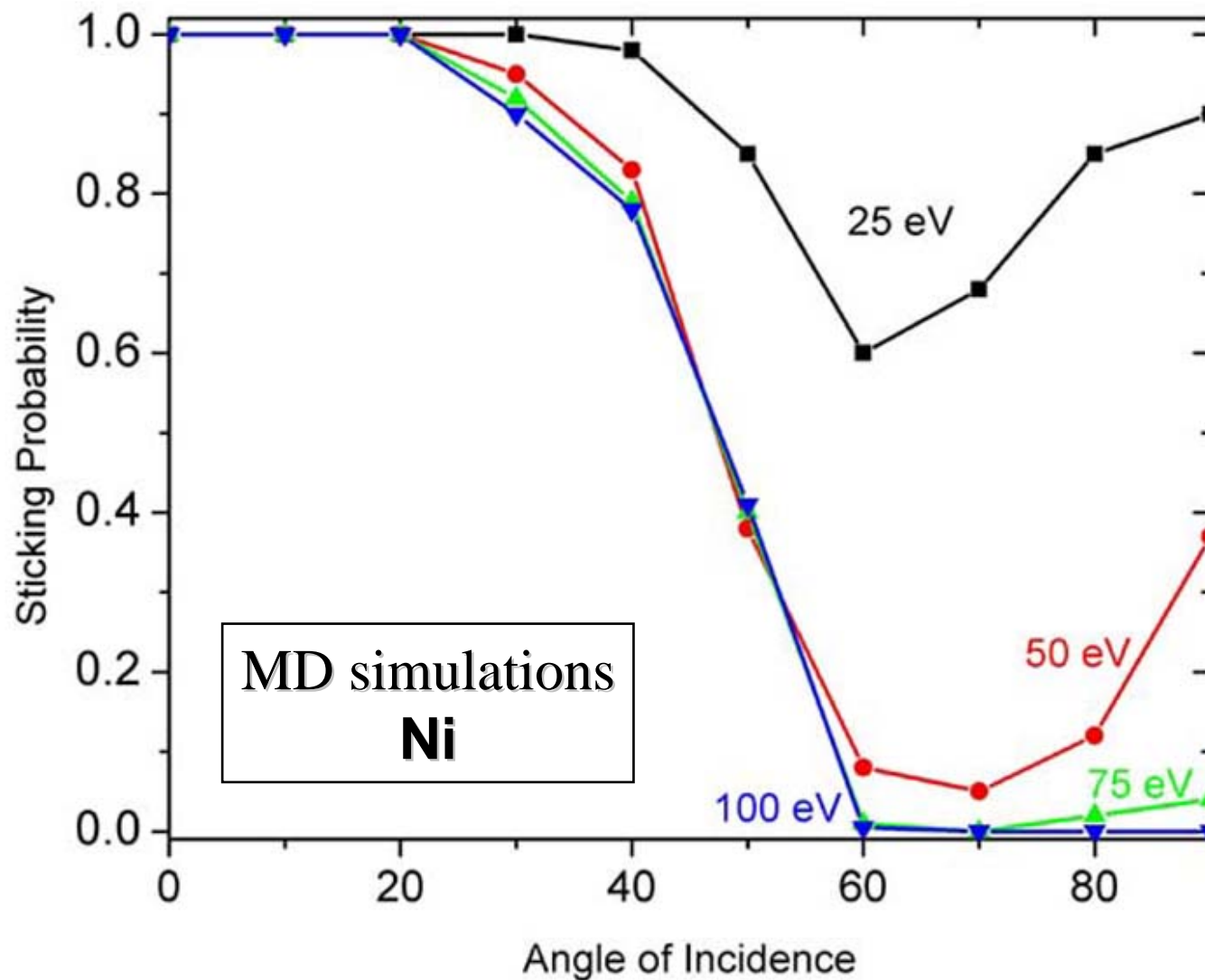
- Result: at even moderate bias, film formation is reduced by self-sputtering
- Extreme examples: Au, Zr



# Self-Sputtering

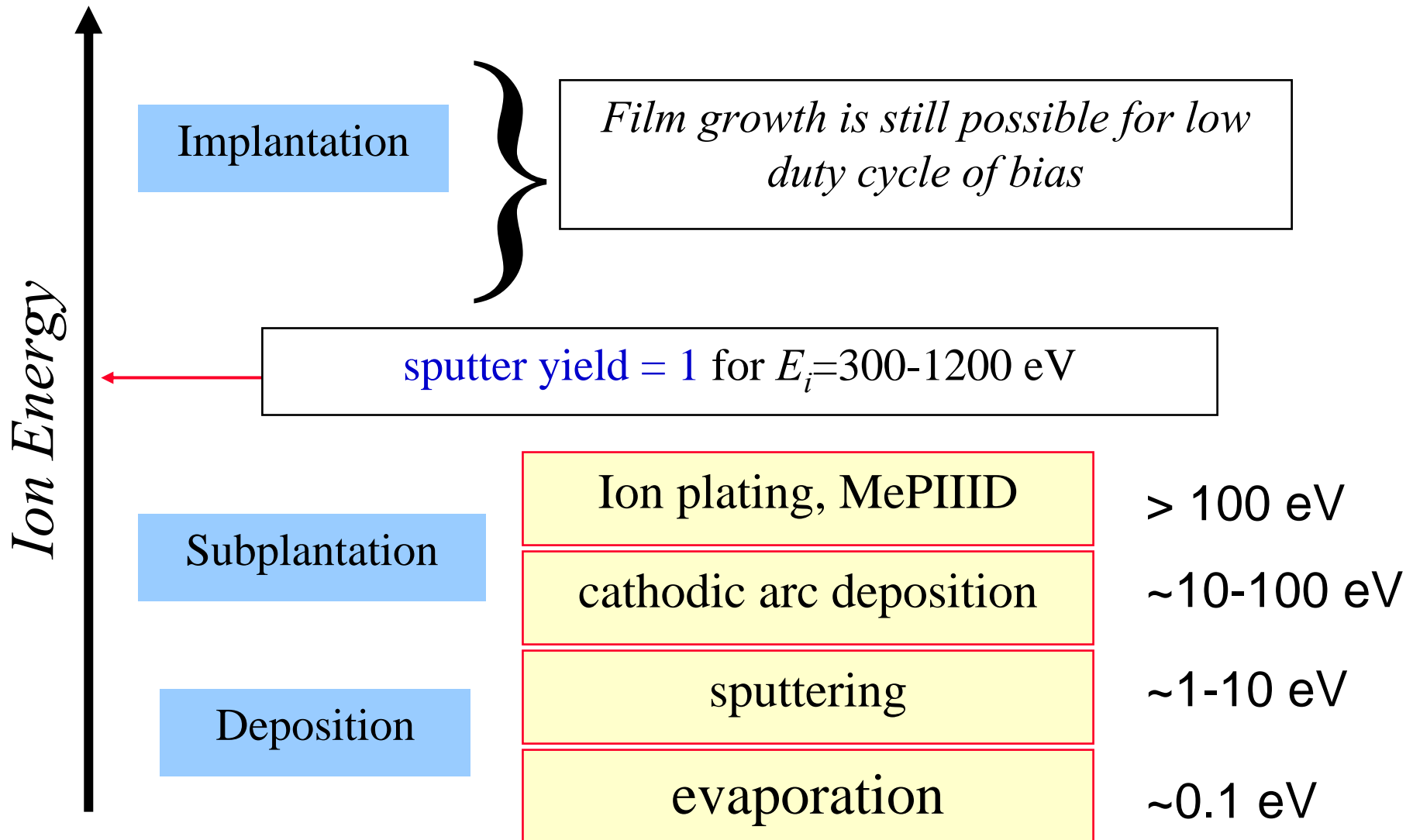


# Sticking Probability





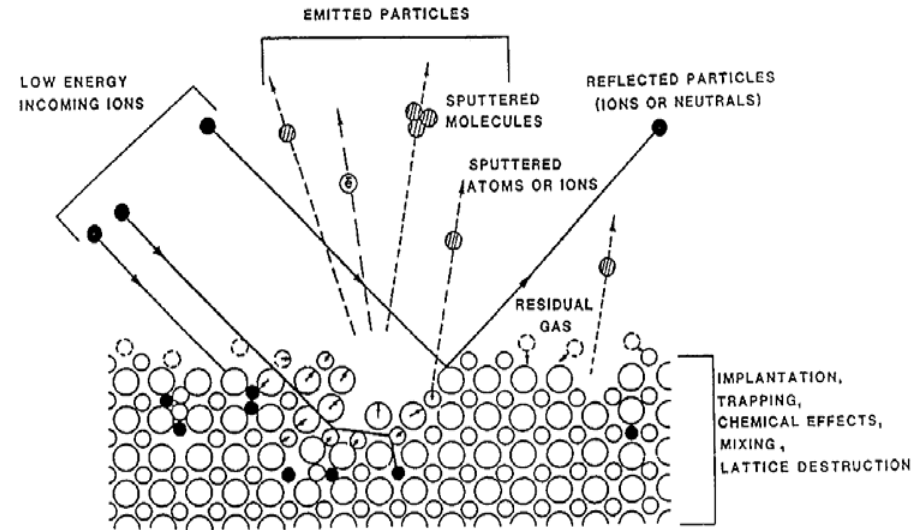
# Energetic Relation Between Implantation and Deposition Processes





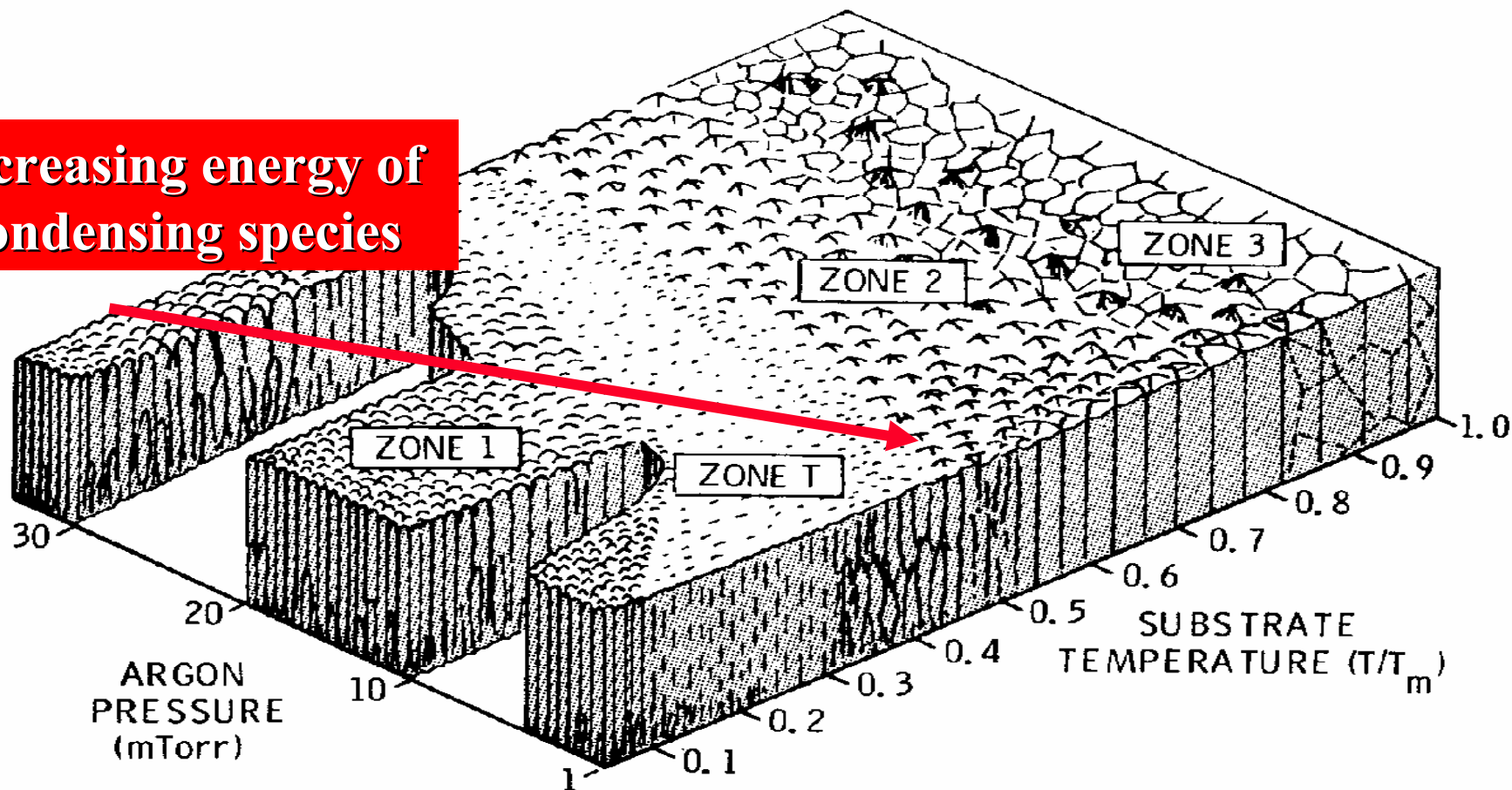
# Plasma Deposition: Surface Processes Affected by Energy of Incoming Ions

- Sticking / reflection
- Sputtering
- Secondary electron emission
- Subplantation / implantation
- Surface diffusion
- Defect generation
- Phase changes, including precipitation
- heating
- adsorption/desorption of gas
- reaction with background gas atoms



# Structure Zone Diagram for Sputtered Films

Increasing energy of  
condensing species



Structure-zone diagram showing schematic microstructures of films deposited by cylindrical magnetron sputtering as a function of growth temperature and Ar pressure.



# Example for non-energetic condensation: “Long-through-sputtering”

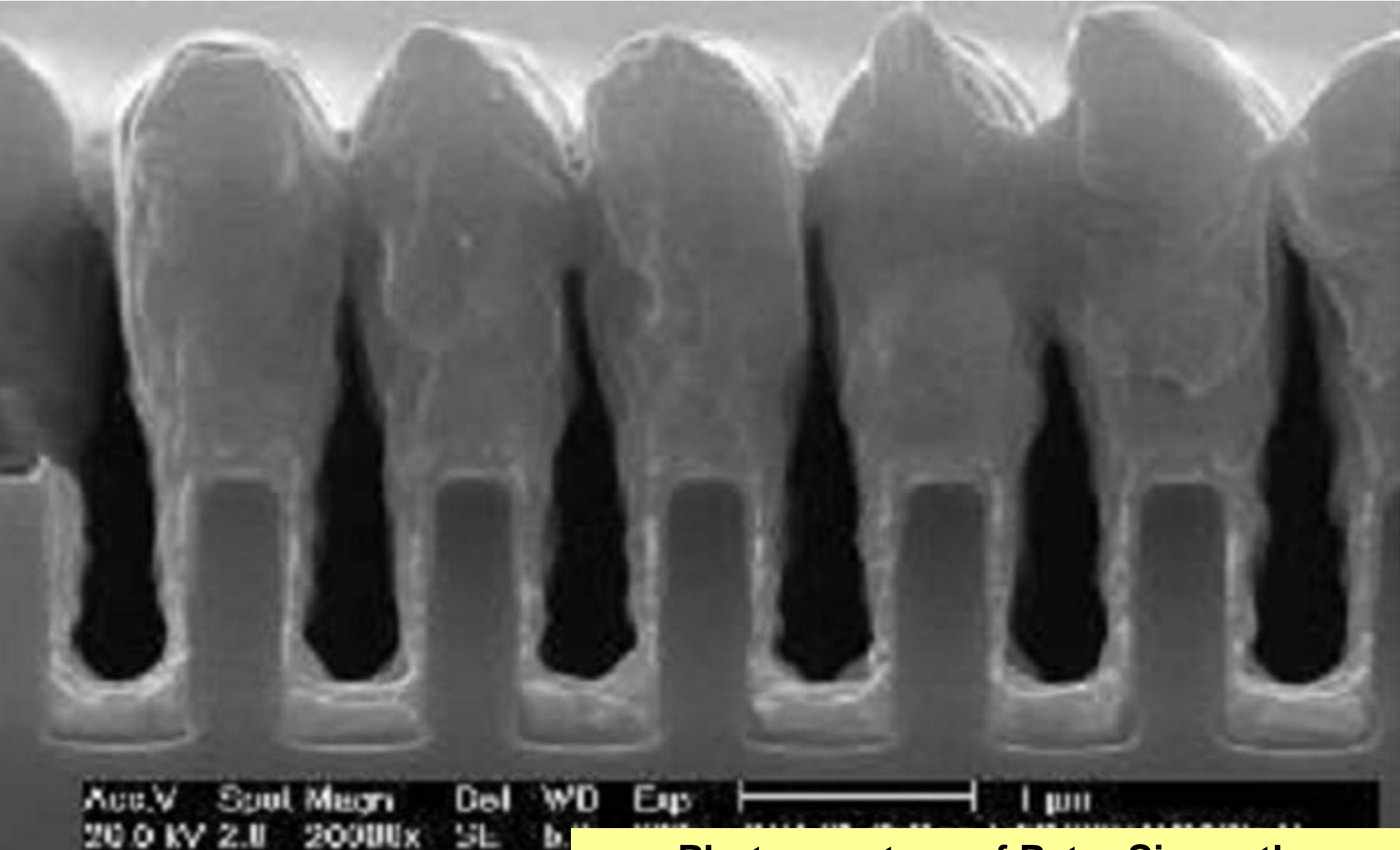
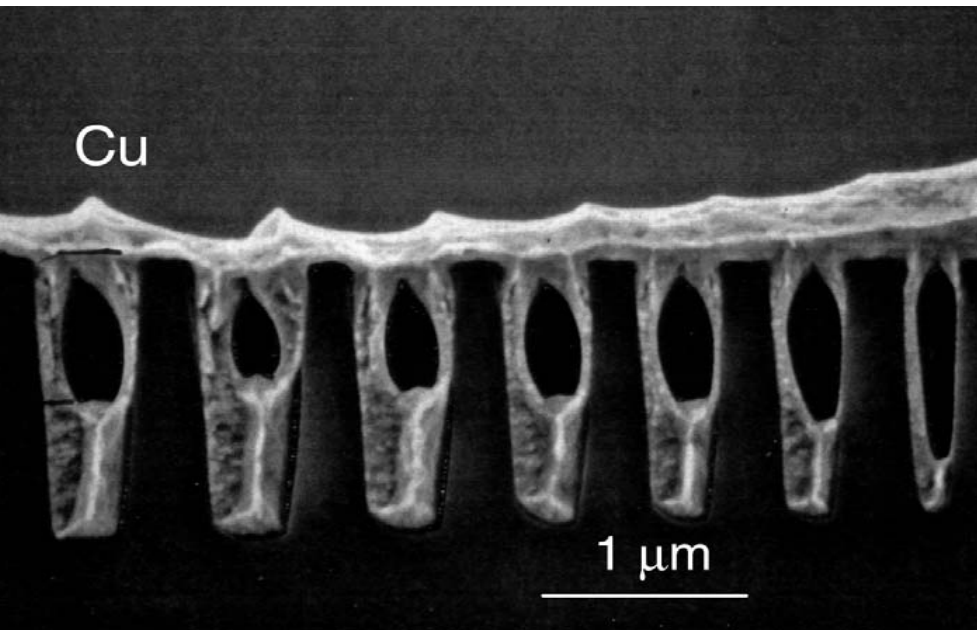


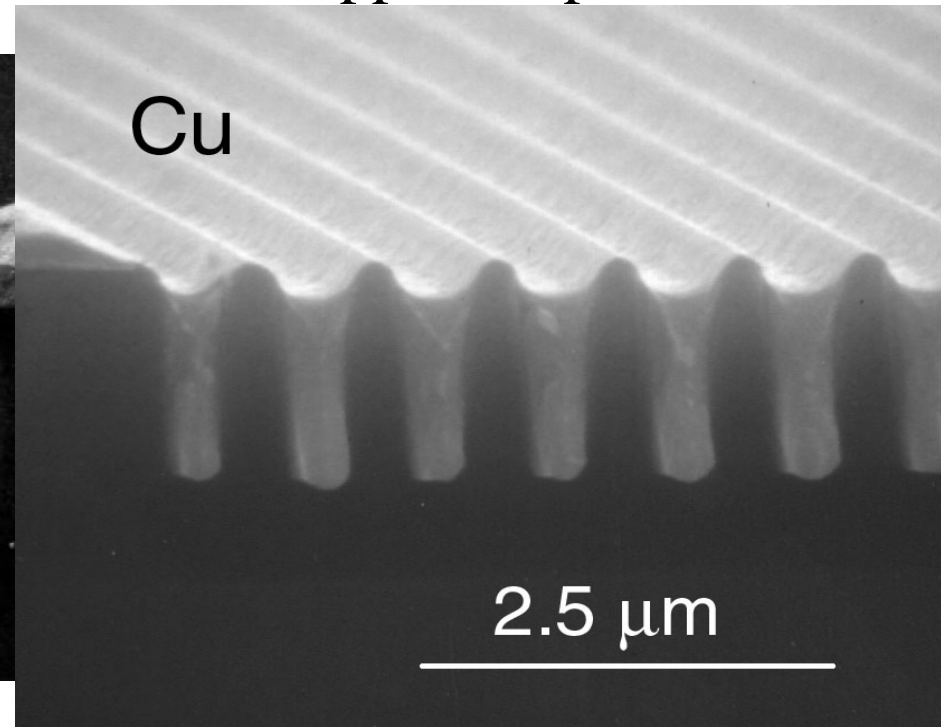
Photo courtesy of Peter Siemroth

# Diffusion Barriers and Trench Filling

- Trench filling using MePIIID with filtered copper arc plasma



voids form if vapor / plasma does not have correct impact angle and energy

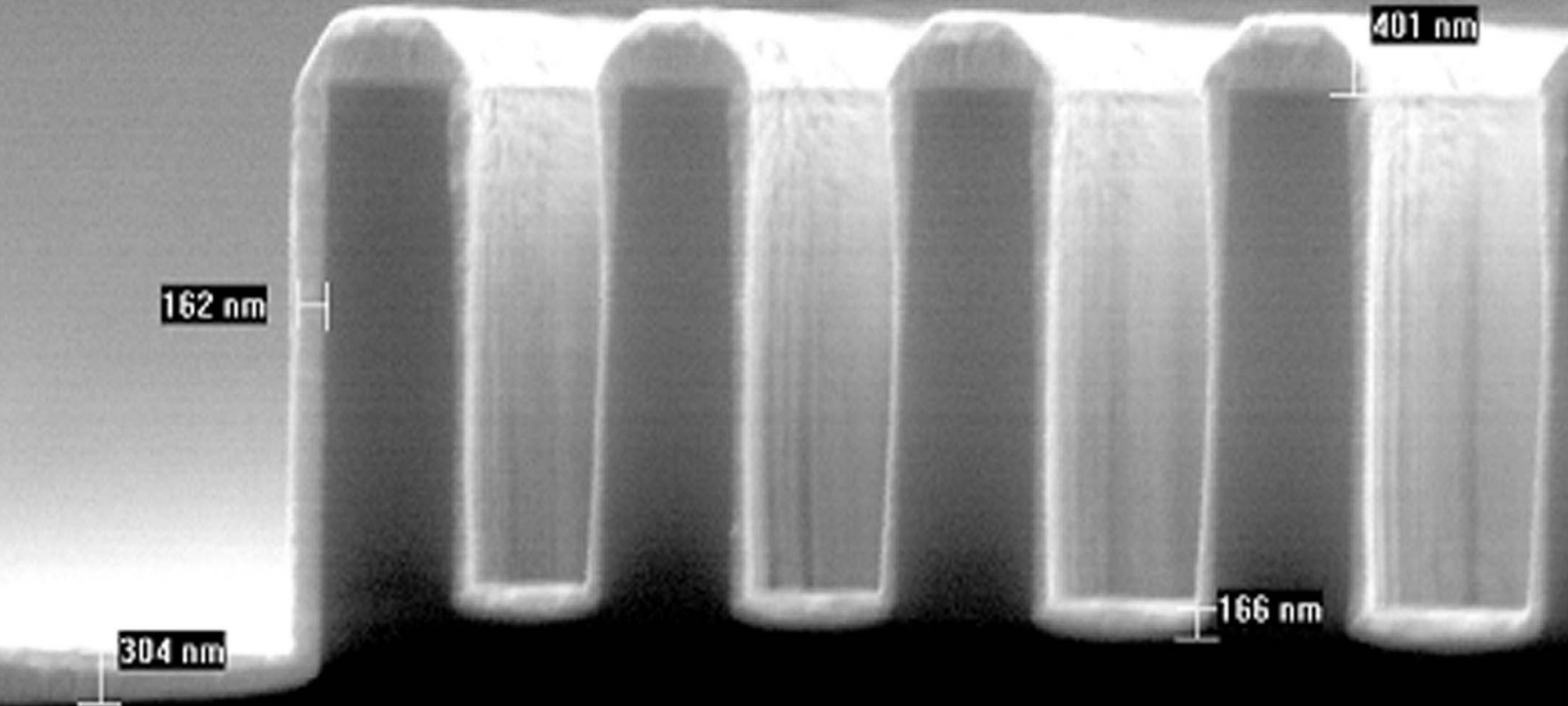


**perfect filling of trenches, only possible by effects of limited sticking and self-sputtering under energetic conditions!**



# More Ta barrier films

Pulsed high-current filtered Arc; Fraunhofer Institute (IWS, Dresden)



Acc.V Spot Magn Det WD |-----| 2  $\mu$ m  
20.0 kV 2.0 13956x SE 4.9 GK Ta\_11 + 200nm HCA -350V 50/50

Photo courtesy of Peter Siemroth

# Excessive Compressive Stress

Cathodic arc Ti  
film on glass

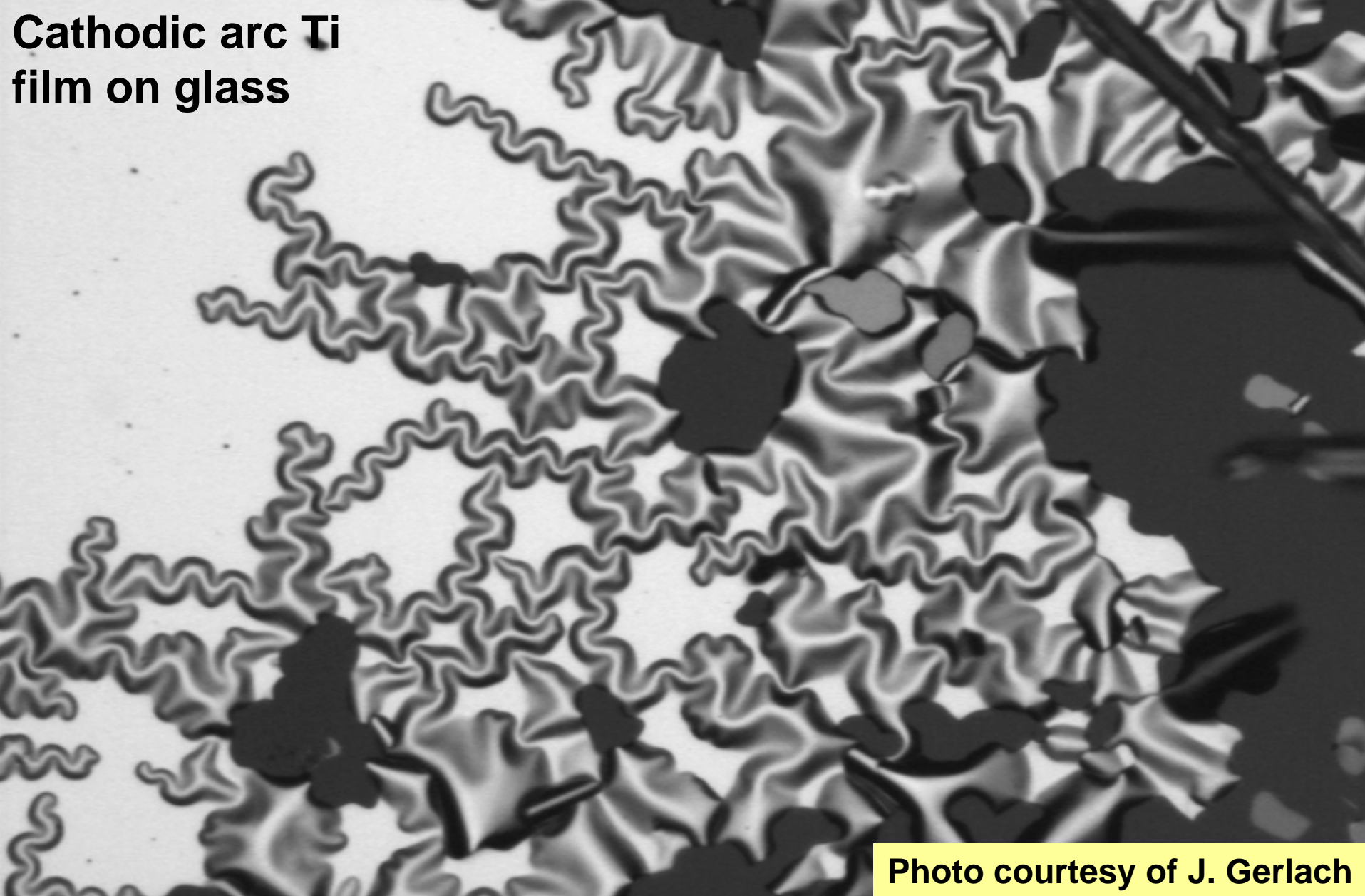


Photo courtesy of J. Gerlach





# Improvement of Film Adhesion by Bias

Effect of ion energy on the adhesion of  $\text{Ag}/\text{YBa}_2\text{Cu}_3\text{O}_x$  film on Si produced by MePIIID.

due to

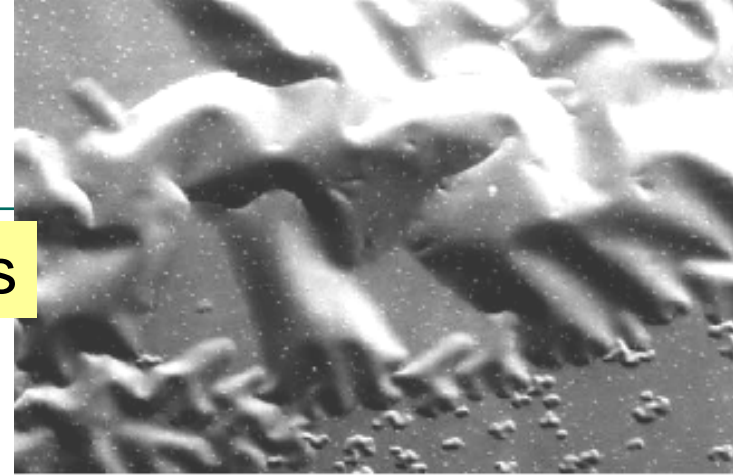
1. sputter removal of contaminants
2. ion mixing
3. stress relieve



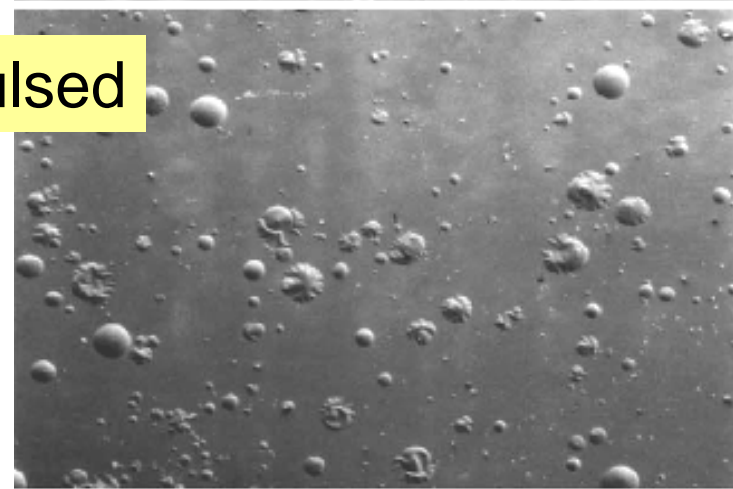
Beautiful MD simulations by Australian group, see, e.g., M. Bilek, et al., *IEEE Trans. Plasma Sci.* **31** (2003) 939

Anders, *J. Vac. Sci. Technol. B* **12** (1994) 815-820

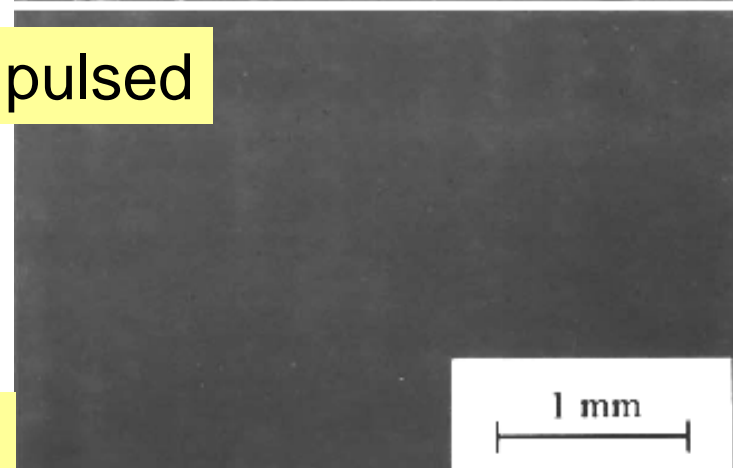
no bias



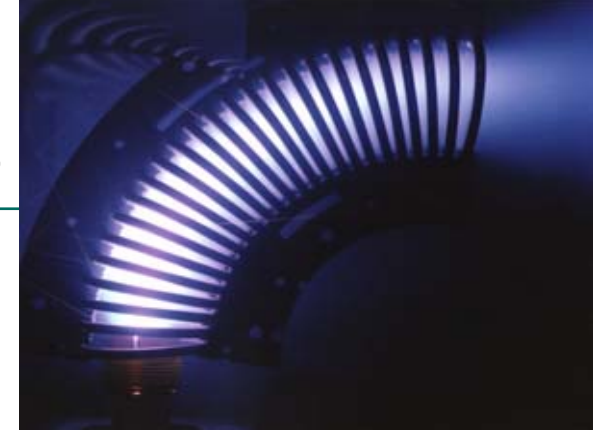
-200 V pulsed



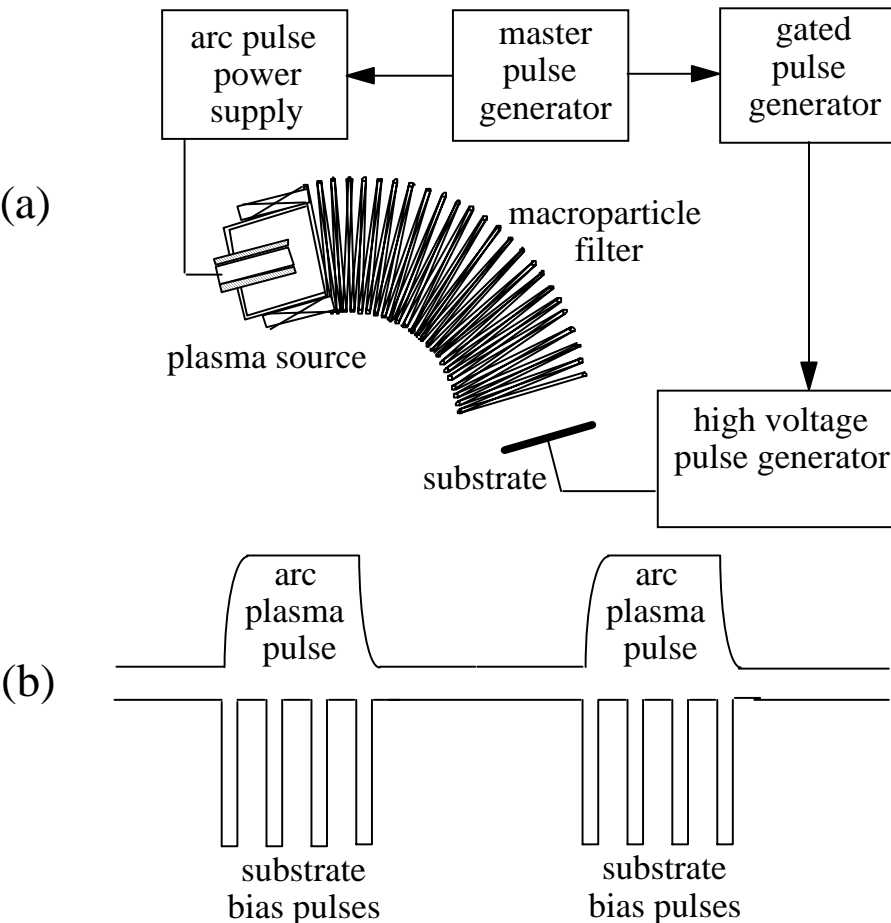
-2000 V pulsed



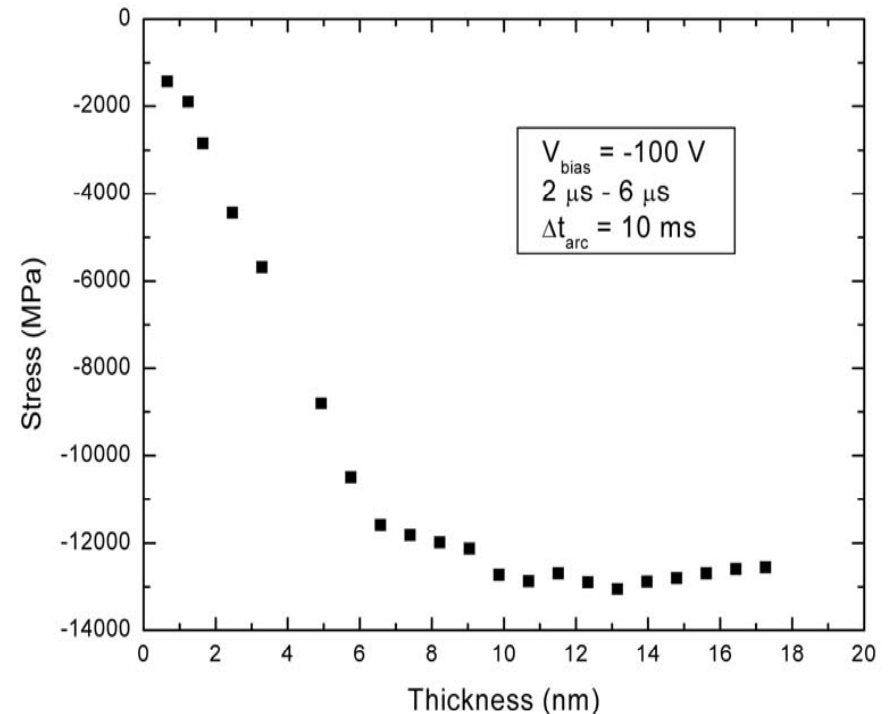
# Buildup and Control of Intrinsic Stress in ta-C Films



## Filtered pulsed cathodic arc and pulsed bias



Pulsed bias voltage is used to change carbon energy and thereby bonding and stress in film



**ta-C Multilayer  
made by Carbon-  
PIIID**

**200 nm**

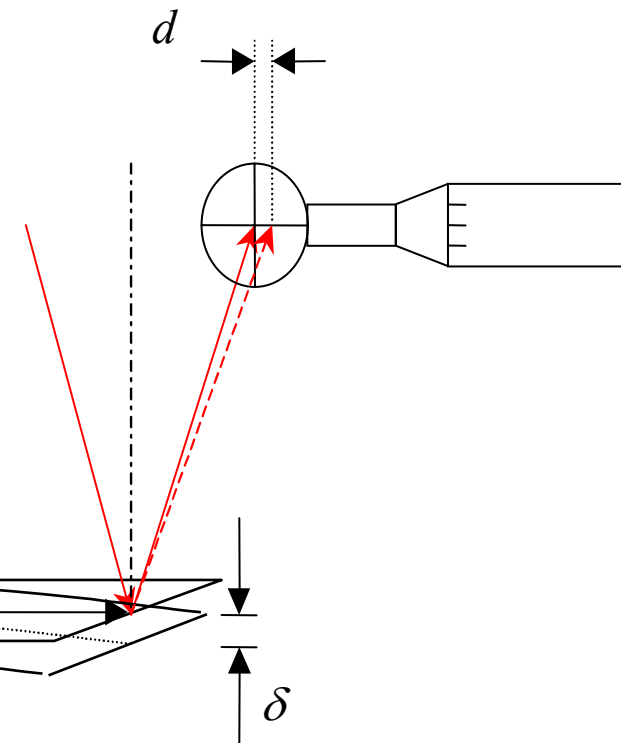
A high-resolution micrograph showing a series of parallel, dark, diagonal bands representing the layers of a ta-C multilayer. The bands are separated by lighter, textured regions. A scale bar in the upper left indicates a length of 200 nm. A circular feature is visible in the upper right corner.



# In-situ Monitoring of Stress for Stress Control by Thermal Spikes During Film Growth

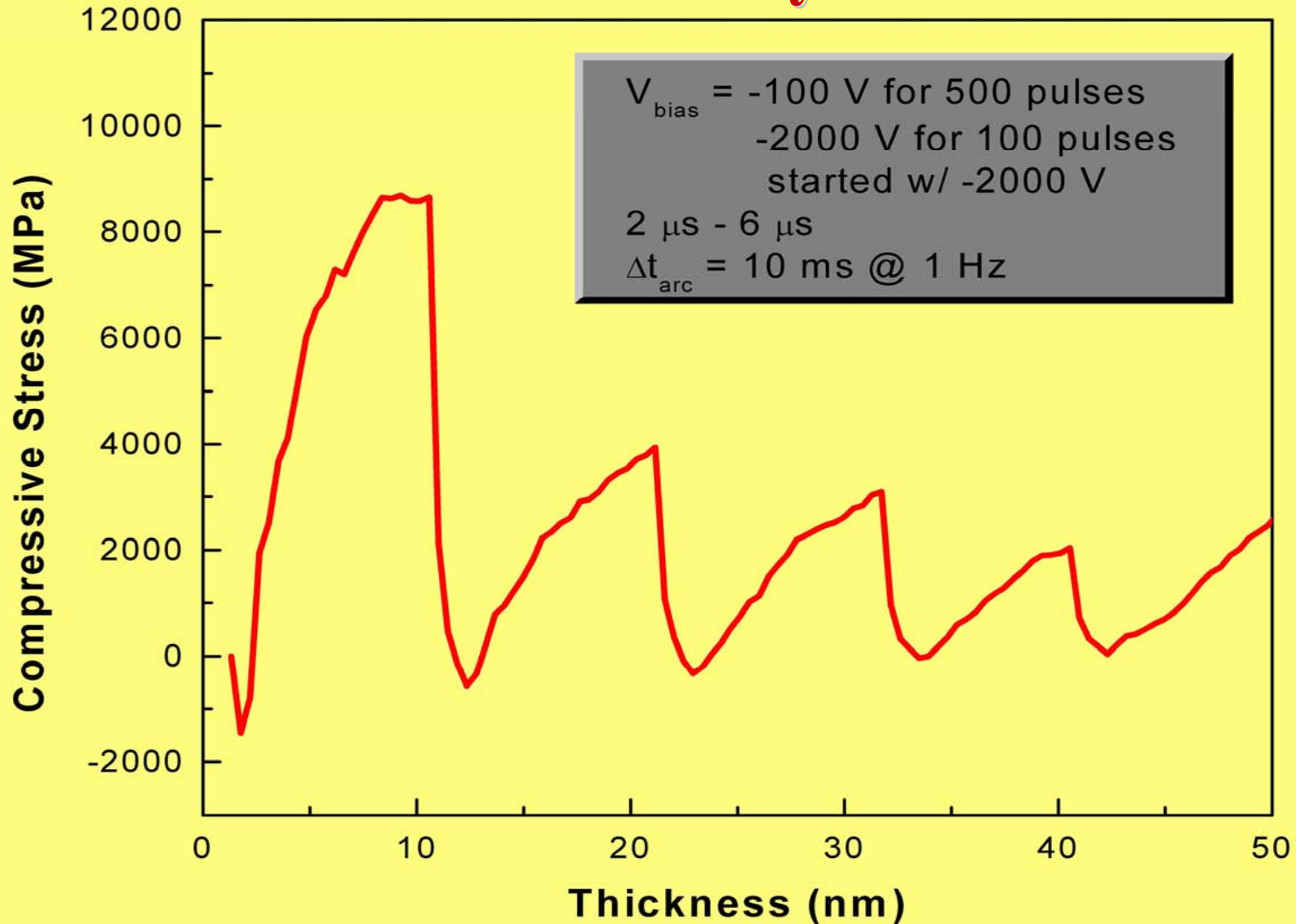


Deflection of a laser beam



Courtesy of O. Monteiro, Berkeley

# Stress Relaxation by Ion Bombardment





# Conclusions

- Cathodic arc discharge is characterized by explosive electron emission, coupled to production of cathode plasma
- Cathode plasma properties follow *Cohesive Energy Rule*
- Cathode processes are stochastic and self-organized, fractal model is most appropriate; fractal properties are found both in temporal and spatial properties
- Perhaps the oldest plasma technology, yet “emerging technology” with disadvantages and advantages
  - Macroparticles – which are addressed by filtering
  - high degree of ionization and energetic condensation to form dense films and nanostructures



*In preparation:*

**Cathodic arc plasma deposition:  
From fractal spots to energetic condensation**

Springer, New York 2006